

Research at MZES 1999-2003

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Mannheim 2004

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Preface

This report describes the recent development of the *Mannheimer Zentrum für Europäische Sozialforschung (MZES)*. It gives an overview of its institutional set-up, its research profile and research projects, the research cooperation in which MZES is involved and of the Centre's challenges for the future. The description mainly covers the years 1999-2003, the time during which the Centre has been functioning under its present structure. During this period the management of the Centre has been in the hands of the following persons:

Executive Board March 1999 - February 2002

Director: Prof. Dr. Jan van Deth

Head of Department A: Prof. Dr. Walter Müller Head of Department B: Prof. Dr. Beate Kohler-Koch

Executive Board March 2002 - February 2005

Director: Prof. Dr. Walter Müller

Head of Department A: Prof. Dr. Hartmut Esser Head of Department B: Prof. Dr. Franz Urban Pappi

Managing Director:

Dr. Reinhart Schneider

The report has been produced with the supportive cooperation of all parts of the Centre. Special thanks, however, go to Marlene Alle for the design and its implementation, to Sibylle Eberle for her dedicated secretarial assistance and to Christian Melbeck who helped to produce most of the appendix.

The report can also be downloaded from the publication section of the MZES homepage http://www.mzes.uni-mannheim.de/download/research1999-2003.pdf

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Research Agenda, Resources, Achievements and Challenges

Introduction

European integration has increasingly moved beyond establishing a common market. It represents one of the most significant developments in the political realm and the economic, social and cultural situation in Europe as well as in the position of Europe in the world. With a growing number of competences in many areas of political regulation being transposed to the Community level, the political order in Europe is being fundamentally reshaped with far-reaching, often unclear consequences for many areas of the national political systems and the national societies involved. The present process of enlargement of the EU necessitates further changes that are exemplified in the difficult negotiations on a European constitution.

The Mannheimer Zentrum für Europäische Sozialforschung (MZES) is an interdisciplinary research institute of the University of Mannheim dedicated to the study of these processes. In its research it combines the comparative analysis of societies and political systems in different European nations with research on the process of European integration and its repercussions on the development of the national societies and nation-states.

Scientific knowledge on the conditions, dynamics and consequences of the unfolding developments for many areas of governance, the life of citizens and their reactions to the changes is largely lacking. Similarly, knowledge is needed on promising prospects and ways of integration and on the obstacles to it. In hardly any area of similar significance does research lag behind the public needs to such an extent as here. MZES is making a concentrated effort to improve such knowledge and to achieve this through both its own research and cooperation with other centers.

With its concentration primarily on basic research MZES pursues an orientation that is congenial to a university institute. The Centre brings together and attempts to integrate the sociological and political science research competence and capacities existing at the various chairs of the Faculty of Social Sciences, and cooperates with other units of Mannheim University and other Mannheim-based research centres. Intensive cooperation also exists with institutes and researchers outside Mannheim, in Germany and abroad.

With its research agenda and strategy MZES has a unique position in the landscape of German social science research insti-

Research on European integration has to catch up with the fast and far-reaching political, economic and social transformations in Europe

MZES integrates the sociological, political science and other relevant competence in the Mannheim research environment and cooperates nationally and internationally for a better understanding of these developments

tutes and it is one of very few research centres in Europe at which research on core issues of social and political change in the course of European integration is pursued to a similar extent and with a similarly rich blend of disciplinary competences and approaches.

The MZES now is in its 15th year, and the Mannheim Faculty of Social Sciences is at an important juncture as nine of eleven professors of political science and sociology are retiring or will retire soon. These are sensible occasions to provide an overview of the Centre, its institutional set-up, research being done, the position of MZES in national and international research networks, and other achievements. This review mainly extends over the last five years, the time during which the Centre has been functioning under its present structure.

This introductory chapter begins with a brief history of MZES and a short overview of its present structure and research agenda. It then describes other general characteristics and recent achievements of the Centre such as its resources and their use, and its publication policy and record. It also discusses the Centre's arrangements and activities for scientific exchange and cooperation, as well as some challenges for the future. The three following chapters present the research aims and projects in the Centre's two Research Departments and the contribution of the Centre's infrastructure to the functioning of the institute. The appendix includes a series of documents that list some of the activities and products of the Centre.



The Centre's new home since 1997, shared with the ZEW (Centre for European Economic Research), is situated in Mannheim's L square, near the castle. It has added much to a growing visibility of MZES activities.

A Brief History and the Current Structure of MZES

The Mannheimer Zentrum für Europäische Sozialforschung (MZES) was established in 1989 as an interdisciplinary research institute of Mannheim University. Since then it has been receiving basic funding from Baden-Württemberg's Ministry for Science, Research and Art through the budget of Mannheim University. The proposal to establish the Centre was put forward

by the Social Science Faculty and was inspired by the long-term interest of several faculty members in the empirical study of modernization processes in the social and political systems of contemporary societies. The initiative was stimulated by Peter Flora, who later became the first director of the Centre. Its initial staff and student assistants name, Mannheimer Zentrum für Sozialwissenschaf-

ten, reflected this disciplinary origin, but the name was changed in 1991 to more accurately indicate the Centre's focus: empirically based interdisciplinary research on Europe.

The budget and personnel resources that were allocated enabled the Centre to be gradually established in the years up to 1992 when the Centre reached its full staff.1 The Centre started to work in 1989 with five scientific staff members, with initial efforts concentrated on developing the infrastructure necessary for research (library, computing and data archive). In the initial vears the three research units proposed in the original plans were gradually built up. They were complemented by a fourth unit through the integration of the Arbeitsbereich Geschichte und Politik der DDR, which had previously been established at the Faculty of Social Sciences by Professor Hermann Weber. The basic structure of research thus included the following four research departments and remained largely unchanged until spring 1999:

- I. Change of social structures in industrial societies
- II. Problems of governing in industrial societies
- III. Problems of West European integration
- IV. History and Politics of the German Democratic Republic and Eastern Europe.

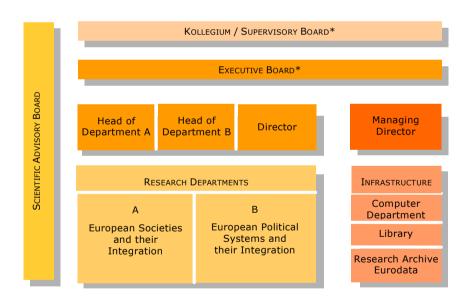
Research at the Centre began in 1989 with the integration of three projects then ongoing at the Faculty of Social Sciences. Once fully established, the Centre quickly underwent substantial growth in its research activities owing to externally funded projects. Year after year, grants for about ten new externally

- MZES started 1989 with five scientific staff – now the largest research institute of Mannheim University with a total of some 120 researchers, administrative

> Research areas in the first ten years of MZES

funded projects, often extending over several years, have been obtained. For several years now, some 30-40 projects are being carried out at MZES simultaneously. Aside from these projects, many other activities – seminars, international project meetings, workshops and conferences, graduate training summer schools and many other scientific exchanges and contacts mark the expansion of European research at MZES.

The growing size and increasing complexity, the concerns about developing imbalances between the research departments, the need for a better integration of research, and the ambition to sharpen the profile of the Research Programme made it advisable to amend the organisational structure of MZES. A new structure was implemented in February 1999. MZES now consists of the directorate, the administrative and other infrastructure services and – as its main research units – two Research Departments.



* The Supervisory Board elects the members of the Executive Board (Director and Heads of the Research Departments)

MZES organizational structure

Together with the director the heads of the two Research Departments constitute the Executive Board of MZES. The Director and the Executive Board are responsible for the development and implementation of the Research Programme and the steering of the concrete work of the Centre. They decide about the

detailed use of the resources, in particular about the allocation of personnel resources to research projects. The Managing Director directs and coordinates the administrative work of the directorate and other parts of the Centre, the work of the infrastructure and the cooperation with the university administration. The general directives such as the final decision on the Research Programme, broad guidelines for the budget, basic organisational and other rules and decisions with long-term implications are decided by the Kollegium². The Kollegium also elects the Executive Board and proposes candidates for the Scientific Advisory Board. The Scientific Advisory Board – composed of distinguished national and international scholars – serves as consultant to the Centre on issues of its long-term development. It also regularly evaluates the general Research Programme and single projects before they are officially started.

The Scientific Advisory Board currently includes the following scholars:

Prof. Dr. Stefano Bartolini Prof. Dr. Robert Erikson Prof. Dr. Stefan Leibfried Prof. Dr. M. Rainer Lepsius Prof. Dr. Johan P. Olsen

Organisation and Main Topics of Research

At the Mannheim Centre research priorities are defined by threeyear Research Programmes covering the three-year period in office of the Centre's Executive Board. One of the first tasks of a newly elected Executive Board is to develop (together with potential project leaders and researchers) a three-year Research Programme, in which the two Research Departments are the main units of organising research. Both departments concentrate research along a number of more specific Research Areas that usually last for more than one three-year period because they generally address research issues that require a number of specific projects in order to be adequately treated. Each Research Area generally includes a number of core projects which address the main research question of the area and several supplementary projects, which examine topics related to the Research Area though they do not constitute that Research Area's core domain. The Research Areas are organised by coordinators, usually Professors of the Faculty of Social Sciences, senior researchers at MZES or advanced Assistant Professors of the Faculty. The fol-

Three-year Research Programmes define the Research Areas and the core and supplementary projects of each area

RESEARCH DEPARTMENTS

A: European Societies and their Integration Head: Hartmut Esser B: European Political Systems and their Integration Head: Franz Urban Pappi

RESEARCH AREAS / Coordinators

- A1: The Development of Social Structures in European Societies Walter Müller
- A2: Social Services and Social Security: The European Welfare States in Comparison Peter Flora
- A3: Migration, Integration and Ethnic Conflicts Frank Kalter
- A4: Cultural Foundations of the Market Economy and the Welfare State Johannes Berger
- A5: Family and Social Relations
 Hartmut Esser

- B1: Engagement, Participation, and Voters' Behaviour Jan van Deth
- B2: Political Parties and Political Linkage Hermann Schmitt
- B3: The Election of Parliaments as a Coordination Problem of Parties and Voters Franz Urban Pappi
- B4: Governance in Europe Beate Kohler-Koch
- B5: International Embeddedness of European Governance Michèle Knodt
- B6: Institutionalization of International Negotiation Systems Paul W. Thurner
- B7: The Development of a European Regional System Egbert Jahn

lowing chart lists the Research Areas of each of the two Research Departments as they were chosen for the Research Programme 2002–2004³. The research priorities of these Research Areas, the work done and results achieved in the various projects are described in more detail in chapters 2 and 3.

In general terms the research problems studied in the two research departments can be briefly described in the following way: In Department A research is concentrated on the core elements of social structures and institutions that affect life chances and the social integration of individuals and social groups in European societies. The institutions the Department especially focuses

on are the welfare state, education, labour markets, and the family or alternative forms of intimate partnership. One common interest is how they shape the opportunities and behaviour of individuals and social groups in core domains of life in different European societies. Another common interest concerns the social inequalities and potential conflicts that result from the way

specific institutions structure the distribution of resources and the actions of individuals and their life chances. Projects in particular study the role of education and other individual resources in labour market processes and their significance for the extent and patterns of social inequality in different societies, the provision of social services through the welfare state and intermediary organisations, the development of family-based and other social relationships, and various aspects of

Department A

- Comparative Studies of European Social Structures
- The European Welfare State
- Families and Social Relations
- International Migration and its Impact on Ethnic and Cultural Differentiation and Conflict

international migration and the integration of migrants into the host societies. The projects elaborate how and why similarities and differences have evolved in different countries and what the prospects are for convergence or divergence in present and future developments.

In Department B one major focus is on classic problems of political participation in democracies, i.e. the issues of interest articulation and political behaviour of citizens and their impact (mediated through parties) on government election and political decisions. The specific contribution of the research done at

the MZES can be seen again in the systematic study of these processes under conditions of structural social change and different political structural environments as they exist in different European political systems. Examples are the study of the role of different party systems and of other varying institutional regulations in election procedures and government formation. Various projects study how this 'democratic process' is

Department B

- Political Participation in Democracies
- European Party Structures
- Multilevel Governance
- International Negotiations

evolving on the European level (such as in the studies of European party federations or the European elections). Other specific hallmarks of MZES research in this area are the newly developed studies of strategic voting and coalition formation in multi-party systems as well as the concerns pursued in several projects with modes of civic and political participation other than voting.

Other areas focus research on the changing structures of governance and political integration in Europe, with special atten-

tion given to the emerging model of multi-level governance. In this context, several projects are particularly interested in the extent to which governance in Europe is transformed through the growth of grassroots organisations and public interest groups with European or international constituencies and whether and how this wider involvement of 'civil society' can promote the emergence of an 'all-embracing European political space'. Several projects study European governance in the context of international governance structures and analyse the impacts of changes in the international context on institutional change within the EU. Another set of projects starts from the premise that international politics largely consists of international negotiations; these projects study the choice of the institutional settings of such negotiations and how these settings affect negotiation outcomes. Finally, several projects extend the concerns with political integration in Europe to the former USSR-dominated states of Eastern Europe and primarily investigate how domestic social and political features affect the position of these states in the competition for integration around the European centres of Brussels and Moscow.

Resources and Their Use

The dedicated staff is the most important resource

Table 1: Staff at MZES by financing source The most important resource the Centre has is the persons who work in various functions at the Centre. Compared to other social science research institutes like the Science Centre for Social Research in Berlin or institutes of the Max Planck Society, MZES is a small centre. Compared to other German social science university institutes MZES is a large institute. But its size is not due to an especially big basic personnel budget; rather, MZES reach-

| | MZES budget | Positions financed by research grants | Professors and other scientists from Social Science Faculty |
|---------------------------------------|----------------|---------------------------------------|---|
| Scientists in Research Departments | 9,5* | 14,75* | 18*** |
| Scientists in Infrastructure | 8** | - | - |
| Non-scientific staff | 7 | - | - |
| Total | 24,5 | 14,75 | 18 |

^{*} fixed term ** of which one fixed term ***

^{***} of which 11 C4- or C3- positions

es the size and the potential it has through pooling of resources from different sources (see table 1 which indicates the composition of the personnel presently working at MZES).

With its own basic budget MZES can finance 24.5 full-time positions. Most of these positions are used to sustain an excellent *infrastructure for research*. Its function is to support and facilitate research at MZES (1) by providing efficient administrative and secretarial services, and (2) by building up and continually providing high-standard computing, data, library, and documentation resources (more on the infrastructure pp. 185 ff)⁴. In order to obtain infrastructural services corresponding to the current state of research the scientific staff of the infrastructure is provided the opportunity to engage (up to 50% of working time) in research as well.

Aside from the positions for administrative and infrastructural tasks the MZES staff budget also allows the Centre to employ 9-10 (full-time) scientists working on projects of the two Research Departments. MZES uses these positions for strictly limited-term contracts to support projects of the Research Programme, mainly at the stage and with the obligation of preparing a grant application. These positions constitute less than one-fourth of all scientists in the Research Departments, Further crucial personnel capacity is gained from research grants and from the professors and other scientists of the Faculty of Social Sciences who participate in MZES research. The outstanding competence of the Faculty professors is a particularly valuable asset as they provide leadership at the Centre and have assumed the responsibility of directing the Centre and of stimulating and directing most of the research projects at MZES. The Centre in turn supports the Faculty professors in providing them with personnel support for preparing research proposals and grant applications. Fortunately the good infrastructural and personnel support of the Centre attracts almost all professors of political science and sociology to direct their research priorities towards the main research aims of MZES and to carry out their research in the MZES context.

The strength of MZES and also of the Mannheim social science faculty results from the combination of these different elements. MZES uses its basic budget to create an attractive research environment, supplements it in various ways and produces high returns on the inputs. The faculty in turn profits from the close cooperation with MZES. Teaching is research-related and MZES supports the internationalisation of teaching through offering

Resources from the Faculty, MZES and research grants are pooled and complement each other

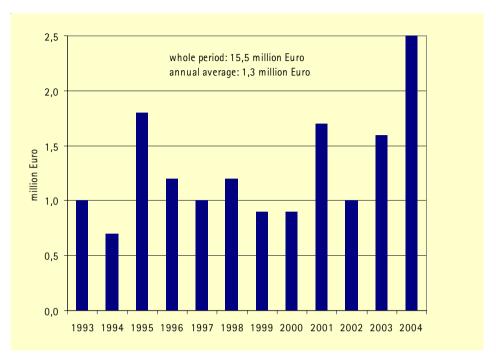


Figure 1: Research Grants 1993 - 2004 (2004 estimated)

courses – occasionally but increasingly – taught in English by international guests hosted by MZES. Finally, many students have exceptional learning opportunities through their direct involvement in research projects as research support staff. MZES employs about 50 such student assistants. Research can thus draw on well–trained graduates, and the research–intensive environment benefits doctoral and habilitation candidates as well as enhancing the training capacity of the Centre and the Faculty.

Other Resources - Projects and Research Grants

Apart from the personnel budget MZES receives from Mannheim University free use of office space and about 0.5 million Euro for additional personnel (short-term guest researchers and student assistants) and other expenses (library, technical infrastructure, travel and research expenses). As in the case of personnel, the use of these resources is strictly project-oriented. Resources are only provided for specific projects evaluated by the Scientific Advisory Board and approved by the MZES-Kollegium. Furthermore they are mainly provided as seed resources for the preparation of proposals for research grants and for support of projects that have already obtained research grants or are strongly committed to undergo peer review procedures and to obtain outside research grants. Projects that are not successful in this respect

Research is strictly project-oriented and peer-evaluated

are discontinued. Following the principle that all main projects are peer-evaluated and grant-supported, MZES submits itself to strong and continuous outside quality control.

Through this incentive structure MZES gains a high level of grant income. For years MZES has been among the most successful grant-winning institutions of Mannheim University and has become the largest research institute of Mannheim University. In the years 1993-2004 MZES has obtained a grant income of more than 15 million Euro or an annual average of 1.3 million Euro. It has been particularly successful in the four most recent years in which the annual research grants average more than 1.7 million Euro. The level of external resources obtained varies from year to year (see figure 1). Exceptionally high grant incomes in one year usually dampen such incomes in succeeding years because the Centre's potential is required to carry out the research involved in the research grants. Against this background the resources acquired in the most recent years speak for the vitality of the Centre and its strong position in the competition for research grants.

Most of the research grants are obtained from institutions that submit proposals to strong peer evaluation (see figure 2). The main source of funding is the German Research Foundation (DFG). Smaller parts of the research budget are provided by the Volkswagen and Thyssen Foundations or other research foundations, and a very small part by either the state of Baden-Württemberg or by ministries of the German Federal Government. While most of the resources are provided by German sources, the proportion of European funds has been growing in recent years. Most of the

Most grants come from the DFG, but the part from European sources is increasing

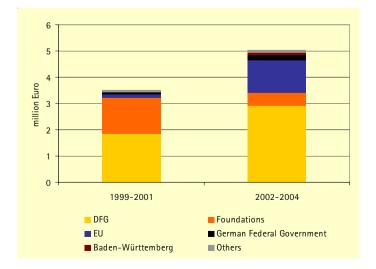


Figure 2: Acquired New External Funding 1999 -2004

.......................

EU funds have been obtained for international research networks MZES is leading or involved in (see below). A particularly large sum is expected from the EU in 2004 for MZES's leadership in the EU Network of Excellence CONNEX (Connecting Excellence on European Governance) "Efficient and Democratic Governance in a Multilevel Europe".

To take the year 2003 as an example: The grants acquired from outside and the basic funding of the MZES budget have provided resources for a total of 63 projects being carried out during the year with a total of 72 researchers involved at the MZES. Among the researchers, 13 are Professors or Assistant Professors at the Faculty of Social Sciences and one Professor Emeritus of the Faculty of Social Sciences.

Special Programmes

Pre-recruitment to Professorships

Provisons for bridging the generational gap

Presently and in the next few years MZES faces an almost complete generational replacement of the professorial staff of the Faculty of Social Sciences. The change in leading personnel over a short period can easily lead to a decline in research activity and to project discontinuity. In order to prevent such negative consequences MZES and the Faculty of Social Sciences have (successfully) intervened at the University of Mannheim and the Ministry of Science, Research and Art to provide resources for a measure that is rather unusual in the German university system, that is to recruit new professors before the present chairholders retire. This pre-recruitment option is envisaged for two chairs, one in sociology and one in political science. The double occupancies of chairs that will soon be implemented for a few years will help to bridge the generational gap.

Fellowship Programme

For the same purpose, but also in order to stimulate further exchange and collaboration, and to open MZES to researchers from other institutions, MZES has established a *Fellowship Programme* which exists in two variants.

Starting in 2002, the Fellowship Programme has recruited three Research fellows for a five-year period

In the first variant an established scholar is recruited to work at the institute for a longer (yet limited) period to stimulate innovative research in specific areas that are not well covered by the present staff or to complement existing research to establish a concentration of forces in a particular field. In 2002 MZES recruited three distinguished and experienced researchers for such fellowships: Dr. Daniele Caramani, Dr. Frank Kalter and Dr. Frank

Schimmelfennig. They have started several longer-term research projects at MZES. Frank Kalter works in the area of international migration and particularly focuses on the structural assimilation of migrants in the host societies. Recently Kalter has accepted a chair in sociology at the University of Leipzig, but cooperation with him will continue. With his work on the diversity of political cultures in Europe and their impact on European integration Daniele Caramani investigates a topic so far neglected at MZES and contributes to strengthening the bridge between Research Departments A and B. Frank Schimmelfennig's projects are concerned with explaining constitutional politics in Europe and herewith complement several other studies on European government.

A second variant of Fellowships offers established (mainly international) scholars the opportunity to participate in the long-term research activities of the MZES by visiting the institute at reqular intervals for short periods and collaborating with institute researchers. In this framework Professor Thomas Poquntke (University of Keele) contributes with short-term research stays to the institute's Research Area on the Europeanisation of Party Systems and in particular works as co-director of the project "Parties and Democracy in the European Union: Euro-Parties as New Democratic Intermediaries?" Dr. William Maloney (University of Aberdeen) makes important contributions to the Research Area "Engagement, Participation, and Voters' Behavior". Professor Douglas Massey (University of Pennsylvania), has worked at the MZES and helped to initiate new projects in the area on "Migration, Integration and Ethnic Conflicts". All these colleagues will regularly return to the MZES in coming years.

Graduate Training and Young Scholars Initiative

MZES puts strong emphasis on training the rising generation of scientists. Perhaps the most important measure is to provide a good and internationally oriented scientific context with many outstanding scholars visiting the Centre and being available for contacts and exchange. The international networks in which MZES participates provide ample opportunities for such exchange and also for explicit international graduate training. In the past, MZES has coordinated two EU-financed Training and Mobility (TMR) Networks; presently MZES is a participant in the "Research and Training Network on Dynamics and Obstacles of European Governance", in which MZES offers workshops on research methods. In addition, MZES enables young researchers to participate in international summer schools offering advanced training cours-

Advanced training of young researchers

es and it supports their participation (as well as that of other MZES researchers) in national and especially international seminars and conferences.

MZES has its own extended research seminar and lecture programmes well suited for graduate students and young researchers. They also can and do participate in various advanced courses and seminars offered at the Faculty of Social Sciences, at other university faculties, at ZUMA and also at MZES, where from time to time we have training workshops or working groups (organised by the staff) on advanced quantitative or qualitative methods.

MZES has been involved in creating a yearly international Summer School "Integrating Sociological Theory and Research" (ISTAR) within the European Consortium for Sociological Research (ECSR) and has organised the first Summer School of this continuing series in 1999. Since then several of our young researchers have participated in this Summer School.

In spring 2001 MZES started a *Young Scholars Initiative* through which the institute supports graduate students writing their dissertations on a topic integrated into one of the MZES Research Areas. So far the programme has been highly successful. We expect the first three dissertations from supported young scholars in 2004 (each after approximately three years of dissertation work). Beyond the stipend provided by MZES some of the graduate students have obtained outside grants on their own initiative.⁵ A second cohort of 2 young scholars started to work at the end of 2003. Additional recruitments are to be made in 2004. Many dissertations are also written in the context of ongoing research projects and partly financed by project work (see list of dissertations in appendix pp. 251–252).

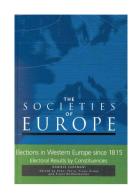
In order to further develop opportunities for dissertation research, plans are currently being discussed to install a graduate training college within MZES. In view of international graduate training MZES is preparing to become a Marie Curie Training Site. With this and other measures MZES intends to extend its activities in graduate training, shorten the time needed to prepare a dissertation, and increase the international composition of its staff.

Publications

The lasting results of a research institute are its publications. We mainly address the scientific community, which is also the audience that shows most interest in our work. In consequence, we primarily use scientific publication outlets in national and

international journals, monographs, edited collections and contributions to such collections. We aim at a high level of competitiveness in the international publication market and therefore as a rule abstain from subsidizing in-house publications. The work must convince journal editors and publishing houses. We also think each publication should appear in the context in which it fits best and therefore most books do not appear in MZES-related series. However, we do publish the MZES yearbook that year by year treats an important research topic of the Centre with contributions by MZES researchers and outside colleagues. A second Centre-related series is the ambitious 'Societies of Europe' data handbook series, the volumes of which provide detailed comparable time series data and substantive chapters on secular historical trends in European societies.

A quantitative overview of publications from 1999-2003 (see table 2) reveals that in each month of these five years MZES authors have published about one-and-a-half books on average, at least one article in a journal reviewed in the Social Sciences



Each month
1-2 books,
one SSCI-article and
many other publications

| | Number of publications | in non- German language |
|---------------------------------|------------------------|-------------------------------|
| Monographs | 55 | 15 % |
| Edited volumes | 38 | 45 % |
| Articles in SSCI-Journals | 72 | 53 % |
| Articles in other | 100 | 43 % |
| scientific Journals | | |
| Articles in other Journals | 29 | 14 % |
| Articles in edited volumes | 242 | 32 % |
| Working papers / reports | 124 | 67 % |
| Articles in Eurodata Newsletter | 15 | 100 % |
| Sub-total print publications | 675 | 42 % |
| Presentations at national or | 446 | 73 % |
| international conferences | | |
| Total | 1121 | 55 % |

Citation Index (SSCI) and several articles in other scientific journals or edited volumes. Many books have appeared with the most renowned international academic publishers (Oxford, Cambridge or Princeton University Press) and other excellent international publishing houses. In order to reach the international research community a large proportion of publications is in English.

Table 2: Publications 1999–2003

Figure 3a:
Publication points
per professor of
selected
departments of
political science
and sociology

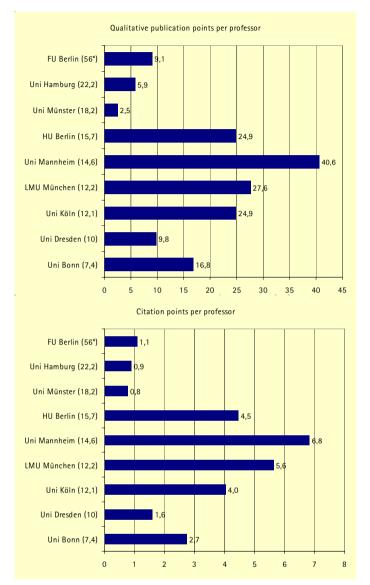


Figure 3b: Citation points per professor of selected departments of political science and sociology

Source: Sternberg und Litzenberger⁷

(results are based on special tabulations provided by the authors)

one of the MZES yearbooks



Do we reach the readers that we want to reach? A partial answer can perhaps be gained from evaluations of the publication record of selected departments of economics and social science recently published by Sternberg und Litzenberger⁷. These authors analysed articles published 1992–2002 in journals reviewed by the SSCI and the citations these articles have received. A hardly flattering result of this study is that German sociology and political science are not highly present in these journals, a result also confirmed by the study of Plümper⁸ and a similar study of the German Science Council on economics. We certainly were pleased

to see the good position of Mannheim in the German context (see figures 3a and 3b), but we know that we must substantially increase our efforts to achieve an excellent position in the international world of social science as well.

Another way to grasp the outside interest in our work is to analyse the visits to our homepage and the downloads of materials presented on the homepage, even though we recognize the problematic nature of such statistics. Over the two years 2002/2003 we have counted about 4 million visits to the homepage. Discounting routine hits from search engines and visits from MZES and other Mannheim University institutions⁹, there were about 1 million non-routine hits from the outside world each year. While the very large majority of hits are from Germany and other European countries, about 100 visits each day are from institutions belonging to the American academic EDU-net. This documents the presence of the Centre also in the American research community.

Perhaps the most telling indicator of the growing significance of the Internet for quick communication of research results can be gained from the number of downloads of our working papers. In the last two years more than 200.000 copies of Working Papers have been downloaded, each day about 300 copies. The 10 most frequently requested Working Papers have been downloaded about 50.000 times. This great capacity to quickly reach many potentially interested readers will lead us in the future to provide more of our article publications on the homepage as well.

As a research institute MZES mainly addresses the scientific community, academic teachers, students and research-oriented users in the public administration, in politics and in the media. But we are also interested in our results being used in the diverse fields of social praxis and politics to which they apply. Therefore MZES also provides expertise when requested by interested bodies, public commissions, ministries and other government agencies, but in order to keep to the main mission, there is no active policy to become abundantly involved in such tasks. In various ways MZES researchers also actively contribute to the dissemination of their results into society and politics – through public seminar events, lectures to interested organisations, contributions to political education, through press releases and articles in print media which reach the wider public. Even if we are not inactive in this direction (see pp. 290 ff. in appendix), the resources we can devote to such tasks are rather limited.

MZES is in high demand on the Internet

Thousands of downloads of working papers and data resources provided on the Internet



Cooperation and Exchange

Research of the scope and content carried out at the MZES necessitates intensive cooperation within the institute and with research groups and colleagues outside the MZES, both nationally and internationally. MZES is concerned with promoting all these different forms of exchange and invests in them.

Within the Centre the regular seminars of each of the departments provide ample opportunity to discuss the ongoing work of projects and to interact with invited colleagues from outside. Also the joint seminar of the MZES and the Faculty of Social Sciences with distinguished outside speakers contributes to making the MZES a place of lively exchange and intellectual discussion (see the 'Mannheimer Vorträge' series). Furthermore, several ad hoc interdisciplinary working groups with members from different parts of the Centre have been formed to cooperate on issues of common interest. Examples include working groups of researchers who are studying France or working groups which organise workshops on advanced quantitative or qualitative methodology.

In various ways MZES profits from the highly valuable opportunities in the research intensive Mannheim environment to cooperate with colleagues both inside and outside Mannheim University. In 1999 MZES launched the DFG-financed interdisciplinary research group "Institutionalization of International Negotiation Systems", in which MZES researchers pursue a common research programme with colleagues from the law and economics faculties and the Centre for European Economic Research (ZEW). With the ZEW, fortunately located in the same building,

MZES researchers cooperate in other projects as The social sciences, together with well. MZES researchers are also engaged in the economics and business administration, organisation and conceptualisation of the DFG are the lead disciplines in the scientific Collaborative Research Centre 504 on "Rationprofile of Mannheim University. ality, Decision Behaviour and Economic Model-The Centre profits from the research- ling" and they participate with two projects with intensive Mannheim environment. direct relevance to the Research Programme of MZES. Cooperation has also been productive

with the university-based Institut für Mittelstandsforschung (small business research) in projects on self-employment with various common publications and with ZUMA (for instance, in a large joint EU-financed project on European Social Reporting as well as through cooperation in the CHANGEQUAL network).



Nationally and internationally MZES has been and is involved in numerous forms of research cooperation, both in bilateral connections and in larger research networks. Many past or ongoing research projects initiated and directed by MZES researchers have been or are being carried out with partners in several other countries. Recent projects with large international teams include:

- Family Change and Family Policy in Comparative Perspective (directed by Bahle and Flora)
- A Comparative Analysis of Transitions from Education to Work in Europe (CATEWE, co-directed by Müller with Hannan (ESRI, Dublin) and Raffe (CES, Edinburgh))
- Socio-Economic Development of Self-Employment in Europe (co-directed by Müller with Arum (NYU, New York))
- Citizenship, Involvement, Democracy: An International Comparison (directed by Roßteutscher and van Deth)
- Comparative Analysis of Party Platforms for the European Election (directed by Schmitt)
- Parties and Democracy in the European Union: Euro-Parties as New Democratic Intermediaries (co-directed by van Deth and Poquntke (Keele University))

Many other projects also involve international cooperation, but they are not listed here, because they are on a smaller scale or MZES is not directing the project. In addition to project cooperation MZES and its researchers are strongly engaged in larger national or international cooperation networks (see table 3 for the most important of these networks). Most of them have been initiated and have been or are coordinated by MZES. Several of the networks had or have a strong graduate training component (No. 1, 2, 5, 6, 9), as they enable graduate student exchange and offer systematic international graduate research training through topical and/or methodological workshops and seminars. All the networks are closely tied to longer-term MZES research fields. In general, several specific research projects are carried out in close connection to a network and profit from it.

The first three networks on the list are already formally concluded in terms of grants available for them. However, in general, cooperation continues some time beyond the initial stage of financing. For instance, the CID-network (Nr. 3 – Citizenship, Involvement, Democracy) has created large new survey databases in 14 European countries during the grant period of the network. These data are now being analysed and will lead to various collective publications in the coming years.

Many projects are only possible through intensive international cooperation

MZES is involved in various international networks for graduate training, exchange and research cooperation Table 3: Overview of National and International Networks of MZES

| Time | Title | Aims | MZES- | Other participants | Financed |
|---------------------------------|---|---|---------------------------------|---|----------|
| 1996- 2000 | Family and the Welfare State in Europe | Training and Mobility of Researchers (TMR)– Network with special emphasis on the Comparative Study of Family (Welfare) Policies in European Countries | Coordination (Flora / Bahle) | 13 partner institutions in 13 European countries | n n |
| 1998- 2001 | Political Representation and Electoral Behaviour in the European Union | Training and Mobility of Researchers (TMR)-Network with special emphasis on research on the Functioning of Representative Democracy in the European Union | Coordination (Schmitt) | 11 partner institutions in 10 countries | E |
| 2000-2002 | Citizenship, Involvement, Democracy (CID) | Network to conduct surveys and create new databases for comparative studies of social and political participation, small democracy, social capital and citizen values | Coordination (van Deth) | Network of 19 partner institutions in 13 countries | ESF |
| 1996- 2005 4 | Governance in the European Union | Special Research Area-Programme focusing on issues related to the multi-level governance structure in the EU | Coordination (Kohler-Koch) | About 70 researchers from 16 European countries | DFG |
| 2002- 2005 5 | Dynamics and Obstacles of European Governance | Research Training Network with special emphasis on Judicialisation and Positive Integration; International Trade Governance in a Historical Perspective | Participation (Kohler-Koch) | U of Maastricht, U of Odense, TU Munich, U of Westminster, Charles University, Prague, U of Turku | EU |
| 2000- 2005 <mark>6</mark> | Cooperation with the Institute for European Studies of the Chinese Academy of Social Sciences | Improving EU-Studies in China | Coordination (Kohler-Koch) | U of Maastricht | EU |

Table 3 continued

| pa | | | | tes rr srs | |
|--------------------|---|--|--|---|--|
| Financed by | EU | DFG | EU | Local institutes of the network members | EU DFG |
| Other participants | ESRI, Dublin LASMAS (CNRS), Paris Nuffield College Oxford Sociology at Bicoca Milano Sociology at Tartu University SOFI Stockholm | Several German and international partners | Research groups from 43 Research Institutes and University Departments in 23 European countries | Network of approx. 12 other research institutes and universities | Cooperation of researchers from 23 countries (first wave |
| MZES- function | Theme-group Coordination (Müller) | Coordination (Esser with Huinink, Bremen) | Coordination (Kohler-Koch) | Membership (Brüderl, Esser) and Coordination (Esser, partly) | Chair of German national team and module develop- |
| Aims | Research cooperation of researchers in participating institutions in the areas of Nature and change of work patterns Income inequality and poverty Intergenerational inheritance of inequalities Changes in family life Social integration and social cohesion | Special Research Area-Programme creating a long-term panel database to study the change and formation of (new) patterns of intimate relations and of family and kinship structures | Mobilising and connecting of outstanding scholars to deepen knowledge of the present state and likely future development of European multilevel governance, its assets and deficiencies in terms of problem-solving capacity and democratic legitimacy | Cooperation and discussion on problems and results of European research on divorce (conditions, consequences, developments) | Comparative and longitudinal research on social, political, and economic attitudes among European citizens |
| Title | Economic Change, Unequal Life Chances and Quality of Life (CHANGEQUAL) | Family and Social Relations | Efficient and Democratic Governance in a Multi-level Europe. CONNEX (Connecting Excellence on European Governance) | International Network on Divorce Studies | European Social Survey |
| Time | 2003-2004 | 2004-2006 | 2004-2007 | 2002- 2006 10 | 2002-2008 |

..........................

For the near future the networks most important for research at MZES relate to the following areas:

- 1. Family and Social Relations (No. 8 in table 3): Related to this topic a new DFG Special Research Area Programme has been established with leading participation of MZES researchers (Brüderl, Esser) intending to conduct a large-scale and long-term panel study on the "Development of Relationships and Families". Cooperation partners will particularly include the research groups in Germany which participate in this panel study, but also colleagues from the similar Netherlands Kinship Panel Study (Dykstra, Kalmijn, Liefbroer, Mulder) and several colleagues working in other countries on related issues (e.g. Amato from Penn State University; Billary from Bocconi University in Milano; Diekmann from ETH, Zürich; Hoem from the Max Planck Institut für demographische Forschung in Rostock and Kohler from the University of Pennsylvania).
- 2. Economic Change, Unequal Life Chances and Quality of Life (CHANGEQUAL): The aim of this network is to intensify long-term research cooperation among several outstanding European research institutes working in highly overlapping areas. The consortium of institutes has established five topical research groups in which experts from each participating institute (or associated members) contribute to common projects (see list of Research Areas in Table 3, No. 7).
- 3. (Multilevel) Governance in the EU: Multilevel Governance in the EU has been a ongoing research topic at MZES. Since 1996 MZES has been coordinating a DFG-Schwerpunktprogramm concentrating on empirical analyses on how governance in Europe is changing in the process of European integration (No. 4). On a much wider basis research cooperation on multilevel governance in the EU will take place in the CONNEX Network (No. 9), which has recently been approved as a Network of Excellence in the 6th EU Framework Programme and will start its activities in summer 2004. As initiator MZES has gained the cooperation of many well-established research centres and distinguished scientists throughout Europe for this network and will coordinate its various activities.
- 4. European Social Survey (ESS): The European Social Survey is a new, academically driven social survey covering some 20 European nations. It is designed to chart and explain the interaction between Europe's changing institutions and the attitudes, beliefs and behaviour patterns of its diverse populations. Van Deth at MZES is leading the German team

CONNEX - MZES has become a leading Centre of Excellence in European social science research networks



conducting the ESS and played a central role in the international team preparing the ESS questionnaire module on Social Capital for the 2003 survey. Brüderl participates in the international group which has won the competition to design the module on "Family, Work and Well-Being" for the 2005 survey. MZES researchers will participate in various international groups to analyse the data.

Table 4: Cooperation and Exchange 1999-2003

| | No of co- operating institutes in | No of external participants at conferences etc. held at MZES from | No of presentations of MZES researchers given at seminars or conferences held in |
|---|--|---|--|
| Germany | 22 | 596 | 190 |
| EU countries (plus Nor- way and Switzerland) | 104 | 904 | 160 |
| EU candidate countries | 25 | 54 | 12 |
| Russia and other East European countries | 22 | 16 | 11 |
| Middle East | 1 | 41 | |
| USA and Canada | 15 | 106 | 50 |
| Asia | 5 | 46 | 16 |
| Others | | 15 | 7 |
| Total | 194 | 1778 | 446 |
| Total number of workshops / conferences | | 66 | |

A quantified view of the intensity of exchange and its regional extension can be gained from Table 4, which lists the numbers of cooperation partners and of different forms of exchange activities. MZES can build on reliable partnership in many countries that are crucial for the Centre's research agenda. Among the wide network of about 200 cooperating institutions are partners in almost all European countries and overseas (see column 1). Practically all connections consist of direct cooperation in research projects or in publications in which a MZES researcher is involved as editor or co-author. With many of the institutions

intensive contacts have already existed for many years and involve different MZES Research Areas.

MZES is also the venue for many workshops and conferences. To mention a few examples:

- In connection with the DFG-Schwerpunktprogramm "Governance in the European Union", led by Beate Kohler-Koch, MZES has hosted 10 conferences to further develop the area and to discuss and evaluate projects carried out within the programme.
- In 1999 the European Consortium for Political Research (ECPR) held its annual Joint Sessions of Workshops at MZES. Organized by Jan van Deth, 550 participants from all over the world discussed over three days the problems and prospects of studying social and political developments.
- On several occasions MZES researchers have organised large international conferences in cooperation with the European Consortium for Sociological Research (ECSR). In 1999 Walter Müller and Stefani Scherer started the series of the ECSR Graduate School and Workshops "Integrating Sociological Theory and Research" in Mannheim. As part of the ECSR-EURESCO conference series "European Societies or European Society?" Hartmut Esser organised in 2000 a conference on "Migration and Inter-Ethnic Relations in Europe" and Johannes Berger in 2002 a conference on "The Loss of the Social Bond? Euresco-Conference on the Future of Community in Advanced Western Societies".
- Franz Urban Pappi and others have organised various conferences and workshops of the Research Group "Institutionalisation of International Negotiations".
- For its 2001 European Meeting the Research Committee on Social Stratification of the International Sociological Association gathered at MZES. Over 100 international researchers contributed to the conference theme "Expanding Markets, Welfare State Retrenchment and Their Impact on Social Stratification".

Many other such events at MZES (66 in the years 1999-2003) have attracted close to 1800 participants.

In turn MZES researchers have presented and discussed their results in hundreds of conferences over the years. The fact that about 60% of such presentations are given outside Germany – and this also includes the young researchers – points to the in-

Each year MZES is visited by hundreds of national and international scholars and MZES researchers present their work in seminars and conferences worldwide

ternational orientation and the active integration of the Centre into the international research community.

Challenges for the Future

MZES has become a place at which through extensive international cooperation substantial contributions to the social science knowledge base on European social and political development accrue and from which they radiate. It brings many outstanding researchers to Germany and has become a forum for international exchange, not only for its own researchers, but also for other colleagues in Germany and abroad. As a core pillar within the Mannheim University focus on economics and social sciences and through its connections to other Mannheim-based economic and social science research centres (ZEW, ZUMA, ZI, Forschungsgruppe Wahlen) MZES contributes to making Mannheim a strong and highly visible Baden-Württemberg social science site with a high-level position in the German national context as well and one of growing significance in the international arena. The Centre, however, also faces several challenges for the future.

■ The almost complete generational replacement of professors in the Faculty of Social Sciences within very few years engenders the risk of substantial decline in activity and of discontinuity in research topics in the transition period. It is essential to minimize such ruptures. As the number of professorships in both concerned disciplines - political science and sociology is small, the replacement must be made with an adequate balance of competences needed for appropriate teaching of the political science and sociology curricula on the one hand, and priorities resulting from the research agenda of MZES on the other hand. The faculty must ensure that the expertise of the professors covers in sufficient breadth the core substance of the disciplines concerned. For the research agenda of MZES a high degree of specialisation on European comparative and integration research should have highest priority. Furthermore it is of crucial significance for the future that all replacements satisfy very high standards. These different concerns are not easy to reconcile. In this situation the Faculty pursues the strategy of achieving the best possible combination of academic excellence in broad subfields of the respective disciplines and a high research potential for European social research, while renouncing narrow topical denominations. MZES has developed a number of promising research topics for the future,

For the future MZES must ...

... further develop its research profile and integrate the strengths and research interests of the next generation of professors ... become an attractive training and research site for first-rate young

scholars in Europe

... obtain resources to reconcile the teaching demands at the faculty and the time needed for good research to ensure the future flourishing of the Centre

but the future research profile will largely depend on the specific competences of the newly recruited professors, who must then find ways to develop sustainable forms of cooperation and integration in a new Research Programme. This may lead to substantial changes in the specific research topics addressed, and not all topics that appear desirable may be accomplished.

- But not only new professors have to be recruited. The policy of high personnel flexibility MZES has adopted so that project directors can always work with staff well suited in their qualifications to project needs leads to high personnel turnover. This in turn requires constant efforts to select and train excellent young researchers. In the future, personnel recruitment should become more international. The Mannheim Centre has always had a fair proportion of non-native scientists among its scientific staff, but the MZES research agenda should reflect itself in an even stronger international composition of the staff. MZES aims to achieve a position in which it can compete internationally for the best staff and graduate students, and it seeks to create training opportunities that allow the Centre to fulfil these aims.
- Other research institutes of similar size as MZES are generally not university-based but exist as independent institutions outside the university system (such as Max Planck Institutes, Leibniz Society or other institutes). Usually they have full-time directors and other full-time leading scientific staff. MZES has no positions of its own for these functions. MZES recruits its directors and project leaders mainly from the professors of the Faculty of Social Sciences, who have full teaching and other professorial obligations and responsibilities in the faculty and for the chairs they hold 10. Since 1989 - when MZES was founded - student numbers have grown (from 1318 registered students for political science and sociology up to 1610 in 2003), but the number of teaching positions has been reduced (by four C1-C3 positions). Administrative demands at the faculty have substantially increased and teaching obligations have been raised (from 240 to 270 classroom hours per annum). With all these developments, time resources of faculty professors for MZES have clearly declined. As the intellectual inputs and the availability of faculty professors for developing research programmes, preparing and designing projects, careful guidance of project work and cross-project

cooperation represent one of the core resources MZES must build on, the over-commitment enforced by outside developments must be reversed. It is essential that measures be taken to counteract such tendencies in order to ensure a promising future for the Centre.

MZES strongly draws on the resources and the potential available in the Mannheim Faculty of Social Sciences, but over the years it has also developed an identity of its own. This identity has slowly grown through daily cooperation and exchange. For the future flourishing of the Centre, and in particular during the difficult transition period to come, it is crucial to nurture this identity. From this angle the present brochure not only desires to inform the outside world about the Mannheim Centre; rather, a no less important contribution may be to help ourselves understand who we are and what we can do.

Footnotes

- Eight scientific and two library staff members as well as six secretaries. The non-personnel budget grew from 260,000 Euro in 1989 to 580,000 Euro in 1992. Staff has been reduced since then by two (1 scientist, 1 secretary), and in real terms, the non-personnel budget has declined by about 25%.
- The Kollegium is composed of the political science and sociology professors of the Faculty of Social Sciences – including professors emeriti as long as they continue research at MZES, four professors of the economics faculty, other co-opted Mannheim university professors, and eleven elected representatives of several groups of researchers and other staff.
- In this brochure projects carried out only during 1999-2001 are assigned to the Research Areas of the Research Programme 2002-2004 into which they fit best.
- It secures quick access to central data sources in the social and political areas studied and it includes a specialised library that has on hand the literature, publications and documents relevant for research. Many of these services are done in ways also useful for the wider research community, e.g. by means of special library collections, through gathering and/or making accessible distinct data sets or document collections, and through the publication and archiving of such collections, for instance databases on family policies and on social security in the European countries, the widely used 'Eurobarometer Trend File' or the files on 'Political Leaders and Democratic Elections'.
- Irena Kogan and Dirk Leuffen have obtained a DAAD grant for their studies. Stefan Seidendorf has won project support from the Heidelberg Academy of Sciences to extend the scope of his research beyond the time supported by MZES.
- Subsidised publications essentially include only Working Papers and Newsletters, with which we either want to reach the wider public or make possible the publication of detailed analyses or documentary texts that are difficult to publish in other forms.

See Rolf Sternberg / Timo Litzenberger: Die Forschungsleistung der Wirtschaftsund Sozialwissenschaftlichen Fakultät der Universität zu Köln – ein bibliometrischer Vergleich von Fächern, Fächergruppen und Fakultäten. Working Paper No 2003-03, Wirtschafts- und Sozialgeographisches Institut, Universität zu Köln.

- See Thomas Plümper: Publikationstätigkeit und Rezeptionserfolg der deutschen Politikwissenschaft in internationalen Fachzeitschriften, 1990-1992. Eine bibliometrische Analyse der Veröffentlichungsleistungen deutscher politikwissenschaftlicher Fachbereiche und Institute. Politische Vierteljahresschrift XLIV (4), 2003, p. 529-544.
- About 25% of the hits are from MZES and other Mannheim University institutions; about 25% are estimated routine hits from search engines. The large majority of all visits concern three sections of the homepage each about the same number: the section describing the Research Programme and the research projects; the section on MZES publications; the section on the Research Archive Eurodata.
- $^{10}\,$ So far only the Director is allowed a 50% reduction of his teaching obligations.

Research Department A European Societies and Their Integration

European Societies and Their Integration

Research Department A focuses on the comparative analysis of European social structures and their integration, as well as on the historical and current development of the respective welfare states and intermediary institutions.

Research Department A grew from projects, research interests and activities conducted in the former Research Department I from 1989 to 1998. One aspect of this work has been Peter Flora's continuation of Stein Rokkan's historical societal and welfare state research; another has been the comparative analysis of social structures carried out by Walter Müller. This main focus is also reflected in the strong orientation of the MZES infrastructure toward macro-sociological-comparative research from the very beginning. With the re-organization of the MZES, and with the beginning of the Fourth Research Programme in 1999, the projects on social structures and social inequality and on the historical comparison of the development of welfare state institutions have been augmented and complemented by more specific thematic Research Areas, including migration and the process of ethnic differentiation, the normative acceptance of markets and of the institutions of the welfare state, and the analysis of alterations in families and living arrangements related to sociostructural changes.

The current Research Programme, which runs from 2002-04, has structured these research orientations into thematic sub-areas as follows:

A1: The Development of Social Structures in European Societies

A2: Social Services and Social Security: The European Welfare States in Comparison

A3: Migration, Integration, and Ethnic Conflicts

A4: Cultural Foundations of the Market Economy and the Welfare State

A5: Family and Social Relations

Research Area A1 (The Development of Social Structures in European Societies) mainly studies social stratification and social inequality in the various European countries and in particular investigates the role of education in these processes. It examines how – under different institutional conditions – education affects labour market outcomes and other individual life chances and how educational opportunities themselves depend on the degree of social inequalities prevailing in different societies. Stud-

The main focus: Comparative analysis of European social structures, institutions and their integration

Five Research Areas

Social Inequality

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ies have been strongly connected with work done in other international research centres on these issues and internationally cooperative projects also form the basis for future developments in this Research Area.

The Welfare States

Research Area A2 (Social Services and Social Security: The European Welfare State in Comparison) focuses on the comparative analysis of historical and current developments in restructuring the organisation of social services and social security systems, and also investigates the role intermediary institutions (e.g. churches) play in these processes. Work related to the Research Area also concerns the completion of two long-term publication projects, including the "Family Change and Family Policy"-series as well as the "Societies of Europe" historical data handbook series. Particularly significant to this work are the contributions of the Eurodata archive in the MZES infrastructure, which contains an internationally unique basis for a comparative-historical and macro-sociological analysis of the development of European welfare states.

Migration and Integration

Research Area A3 (Migration, Integration, and Ethnic Conflicts) investigates in different projects the conditions of social integration of immigrants and the related questions of the emergence of ethnic inequalities, differentiation and conflicts.

Markets and Morality

Research Area A4 (Cultural Foundations of the Market Economy and the Welfare State) engages in theoretical reflections on and empirical analyses of the moral convictions and other elements of the specific normative structure characteristic for both market economies and the institutions of social policy. It also studies the foundation for assumptions about the erosion of moral ties and solidarities in present-day societies.

Family and Social Relations

Research Area 5 (Family and Social Relations) was newly established as part of the Research Programme 2002–2004. Empirical studies of partnership and family development are conducted here with novel longitudinal data and with special consideration given to the social embeddedness of partnerships and families.

The internal relations of projects and activities

The five Research Areas of Research Department A are at least partly related and interconnected. Research Areas A3 and A5, for example, intend to establish common large scale and longitudinally designed data collection projects, some of which will have a service character for the wider scientific community, and a thematic and technical interlocking of the approaches is obvious and intended. There are also clear thematic relations among

Research Areas A1, A2 and A4 (and A5) with respect to the welfare state. Processes of partnership formation and family development, as well as their social embeddedness, are closely related to processes of social inequality studied in Research Area A1. They are also of relevance for the development of the welfare state, e.g. changes in fertility, separation and divorce as well as in family and kinship support. Welfare state regulations with their redistributions have direct and indirect effects on structures of social inequality and the respective nation-state characteristics and developments. Processes of (international) migration and the integration of immigrants are closely related to the emergence of (new) social inequalities and also have consequences for the respective welfare state regulations and their social acceptance. In various thematic ways there are also links to Research Department B, especially to those Research Areas and projects which deal with political participation, the structures of party systems (and their historical roots), the mediation of (moral) values, the structures of electoral behaviour, the analysis of policy areas as well as the formation of transnational spaces and ethnic conflicts in multi-ethnic societies.

Due to the programmatic focus of Research Department A, and in particular the comparative focus found in Research Areas A1 and A2, the research in this department has been internationally oriented since MZES was founded. But most of the other projects, which are not always initially designed as internationally comparative, are also strongly embedded in international cooperation. Within Research Area A3, for example, several projects are conducted in an explicitly cross-national comparative manner and in international cooperation, and within Research Area A5 all major projects are conducted in close coordination with similar projects at an international (as well as national) level. In this context, the participation of members of Research Department A in the network "Economic Change, Unequal Life Chances and Quality of Life" (known as CHANGEQUAL) should be mentioned, as should the presence of guest professors such as Richard Arum and Douglas Massey, who were invited to support the work in Research Areas A1 and A3.

Against this background, it should come as no surprise that there are numerous approaches and ways in which research projects are conducted at the Department. The perspectives range from macrosociological historical analyses and qualitative studies to rather abstract theoretical modelling and quantitative analyses. The research colloquium of Research Department A is especially

International connections

devoted to address and discuss theories, methods and the various approaches, but it also serves as a venue to present preliminary results and questions regarding the preparation of research proposals. Over time it has become a central forum for the department's internal integration.

A general aim for the future: The integration of comparative research and theoretical modelling Future work in Research Department A will partly include studies continuing along the long-term research fields, but also involve work in new directions, in particular concerning reforms of the welfare state and labour market institutions. The main challenges and chances for the coming work will be the further integration of historical-comparative projects with the more abstract models of generating mechanisms and basic social processes in the various field of sociological research at MZES.

Research Area A1

The Development of Social Structures in European Societies

Projects in Area A1

Completed Projects

Core Projects

- C1 Brauns, Kim, <u>Müller</u>, Scherer, Steinmann, Pollak (1996 2002) Educational Expansion and Social Reproduction in Europe
- C2 Brauns, Gangl, <u>Müller</u> (1997 2000) A Comparative Analysis of Transitions from Education to Work in Europe (CATEWE)
- C3 Gangl, lannelli, Kalter, Kogan, <u>Müller</u>, Raffe, Smyth, Wolbers (2001 2002)

 Evaluation and Analyses of the LFS 2000 ad hoc Module Data on School-to-work Transitions in Europe
- C4 Gangl, Lohmann, Luber, <u>Müller</u> (1998 2002) Socio-economic Development of Self-Employment in Europe

Supplementary Projects

- S1 Hall, <u>Müller</u> (1999 2001)
 Career Patterns of Men and Women in a Family Context:
 A Comparative Analysis of Germany and the UK
- S2 Kim, <u>Müller</u> (1996 1999)
 Family Relationships and Social Networks in Modern Societies:
 A Comparative Examination of Germany and South Korea
- S3 Gangl, <u>Müller</u> (1999 2001)
 Labour Market Processes and Structural Change:
 Allocation Dynamics and Unemployment in the US, Swedish and West
 German Labour Markets
- S4 <u>Müller</u>, Otte (1999 2001)
 Theoretical Construction and Empirical Examination of a Lifestyle Typology

Ongoing Projects or in Preparation

Core Projects

- C5 Ballendowitsch, <u>Rothenbacher</u> (2001 2004) Social Structure, Social Security, and the Social Position of the Public Service Sector: European Models and National Case Studies
- C6 <u>Müller</u>, Scherer (2003 2005)
 The Social Consequences of Labour Market Flexibilisation in Different EU Countries
- C7 <u>Caramani</u> (2003 2007) Political Cultures and European Integration

Supplementary Projects

- S5 <u>Kim, Müller, Reimer (2003 2006)</u> Social Selectivity in Tertiary Education and Labour Market and Stratification Outcomes
- S6 Brinton, <u>Grusky</u>, Jonsson, <u>Müller</u>, Pollak (2002 2003) Social Mobility in a Disaggregated Class Context: a Comparative Analysis of the Influence of Occupational Structuration on Mobility Regimes
- S7 Kalter, Kristen, Müller, Römmer (2003 2004) Longitudinal Studies for Educational Reporting. Exemplary Studies from Europe and North America
- S8 <u>Müller</u>, Pollak, Wirth (2004 2007)
 The Development of a European Socio-economic Classification

The Development of Social Structures in European Societies

1. Research Questions and Aims

Education, labour market participation and occupational position, work income and welfare state provisions are crucial elements of social structures and lasting determinants of life chances of individuals in modern societies. In this research area we study how these elements are related among each other, and, in particular, how they generate the social inequalities that exist between individuals, families, and social classes, and how the inequalities are reproduced from generation to generation.

With the studies we pursue four main aims: The first is to describe the extent and patterns of these inequalities and how and why they differ across social groups such as gender and ethnic communities. The second is to show how they are generated, and how they depend on specific social institutions such as educational systems, labour market regulation or welfare state protection. Thirdly, studies investigate the consequences of inequalities, so far in particular the consequences of inequality of social position for the formulation of interests and the generation of social and political conflicts. Fourth, we work at these essentially classical issues in the study of social structures and stratification in a decidedly European comparative perspective. For most of these issues knowledge on Europe as a whole and on similarities and differences among its constituent societies is still lacking. We want to contribute systematically comparative knowledge on the European social structural landscape at large and on its development in the European integration process. At the same time, we use the comparative approach to assess the generality of the mechanisms that generate the basic patterns and to identify the effects of factors potentially responsible for differences among societies, and for convergent or divergent developments between them. While so far we have mainly analysed the conditions existing in different countries of Europe and their development as Europe unifies, future work is planned to address the other direction as well, i.e. to study - in connection with the cultural diversity in Europe - the consequences of social inequalities in and between different countries on the European integration process.

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Describe through comparative studies the European social structural landscape and explain the generation of social inequalities and the consequences resulting from them

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This research programme has existed at MZES since its early years. It began with the final work on the CASMIN project (together with Robert Erikson and John H. Goldthorpe) that provided the now classic 'Comparative Analysis of Social Mobility in Industrial Nations' for the early decades after WWII. This project showed the crucial role education plays in shaping patterns of social mobility, as well as the intergenerational reproduction of social inequality. Several succeeding projects have concentrated on the role of education in this process in greater depth, for instance: What is the effect of different educational systems or of educational expansion on labour market outcomes and other life course consequences of education? Or, what are the implications of different educational institutions and educational expansion for the transmission of social advantage from parents to children? More recent projects have focused on other institutional characteristics of societies that also influence labour market behaviour and the stratification consequences resulting from it, such as labour market regulation, welfare state provisions, and family and kin networks or support systems.

The theoretical aim thus is to understand how institutions and structural conditions shape the actions of families, individual workers and employers, and why these conditions produce and reproduce social inequalities among individuals and families. For this we study how the institutions differ across countries, how the institutions operate, and why the institutional differences lead – often through similar mechanisms – to different individual and macro-level outcomes and produce differences in the social structures of European societies.

2. Main Lines of Research

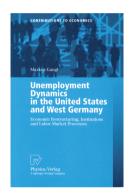
In the projects we concentrate on domains we see as the back-bone of social structures and of social stratification, namely families, education, and labour markets and their mutual dependence. It is here that individual resources are largely created and distributed. However, in modern societies it is indispensable to take the role of the welfare state into account as well. So far, we have done this for selected aspects only, chiefly the welfare state's impact on education and on the labour market (as employer, as income provider, and as labour market regulator). The family is central in our work as it is the essential economic, social and cultural resource for young people's educational aspiration and participation and – aside from education – for their placement on the labour market. In more selective ways, we have studied

the repercussions of education, the labour market and the welfare state on family formation processes, or how families and support networks complement or compensate stratification processes emanating from the market and the state (in projects S1 and S2 and in earlier related comparative projects).

Two sets of study designs and projects have been carried out recently or are being conducted.

- A first set of studies is based on representative cross-sectional or longitudinal population surveys and investigates (in strictly comparative ways) specific aspects of the social structural processes. A strong emphasis has been put on how in countries with differing educational systems and labour market institutions educational qualifications lead to different labour market and life course outcomes (C1, C2, C3).
 - Characteristics of educational systems that we have studied include their organisation in terms of tracking, stratification, relative prevalence of general education vs. vocational training, the combination of learning and working, and the spread of adult education.
 - Among labour market and welfare state institutions, we have especially considered effects of varying employment protection regulations and welfare support in the case of unemployment.
 - Among the outcomes studied, particular emphasis has been given to the process of school leavers' integration into more or less stable employment, to the risks of unemployment or precarious work, to labour mobility, and to the attainment of social status, class position and income in the course of work careers.
 - Much attention has been devoted to the issue of the (partly interrelated) processes of the expansion of educational opportunities and occupational change. How do the increasing rates of younger cohorts' participation in ever higher levels of education affect the social inequalities in educational attainment, the value of education in changing labour markets, and the extent and pattern of intergenerational mobility and immobility?
 - Other aspects studied include the implications institutional characteristics have for matching qualification to job requirements or for the relative significance education and work experience have for work careers.

Research designs I:
Comparative analysis
of cross-sectional or
longitudinal population surveys to assess
– under different
institutional
conditions –
the role of individual
resources on life
course outcomes



Research designs II: Study the changing position of specific groups of workers in changing social structures One innovative way to provide answers to these questions has been the integrated analysis of many countries, with their varying institutions, over many years, during which educational participation and labour demand varied. Multi-level models with explicit measures for educational and labour market institutions or participation rates then allow one to simultaneously test hypotheses about the effects of specific institutions or the effects of variation in supply and demand on the various outcomes that are of theoretical interest. We can then assess the impact of relevant resources and other characteristics of the individual actors taking into account the institutional and structural conditions under which they act.

We have also done comparative case studies involving small numbers of countries that were strategically selected for indepth analyses of the causal mechanisms in longitudinal (work or family) career processes under different institutional conditions. Examples of such case studies — pursued with advanced models of panel, event history or sequence analysis — are the analyses of early work career processes (in C1); the project on unemployment dynamics in the American and West German labour market (S3), and the case study of the particular role of the family in Southern European countries in coping with excessively high youth unemployment (in C2).

In response to claims by some authors that lifestyle differences have become more important than the classical dimensions of social differentiation and inequality, a supplementary dissertation project (S4) has conducted a local study of lifestyles. A theoretical instrument was developed in it to identify lifestyle groups, and it was used to assess the significance of lifestyle for explaining various aspects of social and political behaviour. The results indicate a rather limited explanatory potential of lifestyle differences.

2. A second variant of studies (C4 and C5) derives from another long term research strategy originally suggested by Peter Flora. Here the focus is on specific groups of workers which have a particular significance in and for the social structure of modern societies. We are currently involved in a project on civil servants (C5), and recently completed a study on self-employment (C4). A study on farmers was carried out some years ago. Interest in the civil servants derives from their specific (welfare) state dependent employment relationship, and their often privileged and exemplary position in terms of social security

— though this varies substantially across countries. For select European countries, the project studies the historical evolution in the institutional arrangement and establishment of the civil service and its particular social position and social security. A central question is how different states — with different welfare institutions, different civil service employment traditions and interest organisations — react as employers under the recent fiscal crises and pressures on the welfare budgets, and how this affects the social status and security of the civil service and of particular groups within it.

Aside from their specific characteristics as a social class, the *self-employed* are of particular interest today due to the recent growth in their numbers and to the expectation that self-employment can help to create new jobs. The nature of self-employment also has changed substantially in recent years, and there are wide differences between European countries in the size of this group, its recent growth rate, its social characteristics, and its respective status. The study details all



Range of countries and systems: Most of the studies concentrate on present EU member states. However, for theoretical reasons it has been crucial on occasion to include other advanced societies as well, particularly the U.S. In a few cases, we have included select advanced Asian countries. For instance, the special role of family solidarity and family based social networks in the Asian countries and in the South of Europe proved crucial for understanding high levels and particular forms of self-employment in both groups of countries and their distinctiveness in this respect from other advanced societies. A recent project has taken the first steps in investigating selected aspects of the social structural transformations taking place in Eastern European EU accession countries, as they make the transition from command to market economies (C3).

<u>Data:</u> Over the years, the projects have invested a great deal in creating a data infrastructure for advanced microdata-based comparative studies of Europe's social structures. Much effort went into obtaining access to microdata of the respective labour force surveys of the national statistical offices and preparing this data for comparative sociological analysis. Meanwhile, the MZES has built up a large archive of such data and the competence to use this data for comparative studies of Europe. The MZES has, for instance, been asked by the European Statistical Office to evaluate and analyse a module of the European Labour Force Survey that was collected in all European member states and accession countries (C3). However, we have also strongly drawn on the available socio-economic panel studies, and—what turned out to be most important—we have either participated in or organised international scholarly consortia in order to combine national data in joint comparative projects.

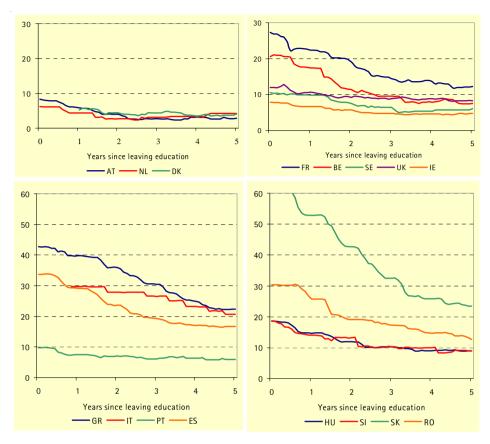
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this, but its most innovative feature is a comparative examination of the structural, institutional and individual factors that increase the likelihood of entry into – and then survival in – self-employment for eleven European and other advanced societies. For each country a longitudinal study has been conducted on the processes that lead people, at some point in their work career, to start a business of their own, and on the factors that keep such persons self-employed. A meta-analysis of the country studies then identified crucial conditions under which self-employment growth and stability is most likely to occur.

3. Selected Results

From the various domains of study only a few findings pertaining to education and its significance for individuals and society shall be highlighted here. In the literature there are claims of a growing decoupling between education and labour market, social class or life course outcomes. Our results do not confirm this. Rather we find that in most respects education continues to be a key resource and has returns that are both individually and socially advantageous. This is true for all countries and contexts we have studied. The basic mechanisms by which education is associated with different labour market or life course outcomes appear to be generally similar across countries and contexts. On the individual level a general finding, for instance, is: The higher the educational qualifications obtained, the better are the labour market prospects of individuals in practically all relevant aspects. In terms of educational system characteristics an important finding is: The clearer the signalling capacities of educational credentials are, the stronger the impact of educational criteria is in the competitive matching of individuals with acquired qualifications to jobs with required work abilities.

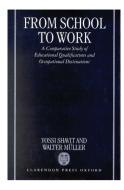
An Example for comaparative results: Unemployment in early working life However, comparisons among countries have also yielded substantial systematic differences. In countries with more stratified educational institutions (as in Germany), and qualifications more linked to specific occupations, ties between qualifications obtained, work careers and class positions later in life tend to be closer. Huge differences, for instance, exist among countries in the risk of unemployment school leavers experience in the stage of transition from school to work (see figures in the box "Unemployment in early working life"). In countries with a high level of occupation-specific vocational training in the secondary education system such as Austria, Denmark, and the Netherlands, un-

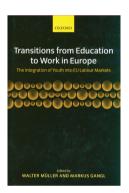


employment among school leavers is hardly higher than in the population at large. (Germany and Switzerland did not participate in the study, but other data indicate results similar to those for Austria and Denmark.) In countries in which secondary education provides mainly general education, the integration of school leavers into stable work tends to be more difficult and takes more time. Other factors (such as the level of education school leavers have, school-based vs. firm-based vocational training, the level of unemployment in the population at large or the level of work protection for the established workers) also matter and have been studied in detail. We have identified the institutional arrangements in education and training and on the labour market that affect the advantages and disadvantages of insiders vs. outsiders and facilitate or hinder the integration of newcomers and outsiders.

In view of the implications of macro-structural conditions and developments, we were able to show how the two general trends of development — educational expansion and occupational up-

Figure:
Unemployment rates
and years since
leaving education
Source: EU LFS 2000
ad hoc module







grading — often balance each other out, but also what the implications are if they do not.

Nearly everywhere, the highest risks are borne by the least qualified: they are the ones most negatively affected in economic downturns, and they also lose most in the long-term upgrading of qualificational requirements. Those who graduate from tertiary education gain the most from occupational upgrading and tend to be the least affected by economic cycles.

The projects have shown that Germany is among those countries with particularly strong links between education and work, as well as with a clearly smoother integration of (most) young people into the labour market. At the same time, educational attainment and later occupational and class position clearly depend more on family background in Germany than in many other comparable countries. Benefits and costs of specific elements in the institutional arrangement of the German education and training system have also been identified: Institutions of vocational training, for instance, provide an effective safety net, but they also strongly contribute to the high level of intergenerational class inheritance and social reproduction that prevails in Germany. These findings are consistent with, but go far beyond, the high level of social inequality in the school achievement tests that was found by the OECD's PISA study.

The use of comparative panel and other longitudinal data proved particularly useful for studying the consequences of institutional arrangements that vary between countries, for instance to understand how different forms of labour market regulation or unemployment insurance in each country lead to different levels, rates and durations of unemployment, and thereby affect different social groups or have differing long-term consequences for the life course.

Attention to inequalities among gender is given in practically all of the studies, even though we have not devoted project specifically to this issue. Rather we have focused research on the structural conditions and institutional arrangements that have an impact on gender inequalities, and help explain why some countries do better than others in particular aspects of the life chances of men compared with women.

While the major trends of development (such as in educational participation or occupational change) evolve in generally similar directions, we rarely found real convergence between countries. Educational reforms, for instance, have not led to a converging

set of educational institutions in Europe, and the decades of educational expansion have brought no substantial reduction in the differing emphases placed on general compared with vocational education. Countries have often implemented idiosyncratic educational measures typically adapted to their existing institutions in response to problems of integrating young people into the labour market, with a corresponding increase in rather than reduction of differences across countries.

4. Future Research

Current and future work is marked by continuity as well as by moves in new directions. We are involved in several international cooperative projects led by scholars in Tel Aviv (Shavit), New York (Arum), Stanford (Grusky), and Oxford (Breen). With these projects we are concluding work conducted in recent years on the comparative study of national education systems and their role in the labour market performance of individuals, as well as patterns of social inequality and mobility. One project (S5) deals with the specific social selectivity in differently organised systems of tertiary education. Another (S6) reassesses the evidence for social mobility when the measure employed is not social class but occupational cluster. In another project (S7) we provide expertise and knowledge to create better longitudinal databases for the newly planned German reports on education (Bildungsberichte). Finally, in a larger cooperative project (S8) - financed by the European Commission and co-ordinated by Richard Rose at Essex University – we will contribute to the development of a new and internationally comparative classification of socio-economic status to be used later in the European statistical system as an essential instrument for the official reporting on social inequality and social structures in Europe.

Aside from these projects, work on the organizational structure and status of the civil service in various European countries will be continued with national case studies and will lead to a final comparative assessment. A new project (C6) is intented to be started in cooperation with economists in the context of the newly established German Research Foundation (DFG) Special Research Programme on "The Potential for Flexibility in Heterogeneous Labour Markets". This project will investigate the consequences of labour market deregulation and welfare state retrenchment for different groups of workers in countries with varying labour regulations and welfare state guarantees; at issue is the growing instability and insecurity of work careers and

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Mehr Risiken —
Mehr Ungleichheit?

Abbau von Wohlfahrtsstaat,
Flexibilisierung von Arbeit
und die Folgen

Campus

living conditions. The groundwork to move towards this new field of study was prepared by earlier work on flexible forms of employment as well as a large international conference at the MZES under the auspices of the Research Committee on Social Stratification of the International Sociological Association. A selection of papers contributed to this conference appeared in the last Mannheim Centre Yearbook under the title: *Mehr Risiken – mehr Ungleichheit? Abbau von Wohlfahrtsstaat, Flexibilisierung von Arbeit und die Folgen.*

New major projects on the impact of European social and cultural diversity on the European integration process Research thus far has been oriented towards understanding and explaining how and why European societies differ in core social structural elements, and has analysed whether these converge or diverge as Europe integrates economically and politically. Daniele Caramani, who has recently joined the MZES as research fellow and is associated with both Research Areas A1 and B1. is expected to help advance in studies the European integration perspective. He will update his earlier produced handbook "Elections in Western Europe since 1815" in the "Societies of Europe" series and complement it with sections on elections to the European Parliament, as well as Central and Eastern European elections. In a forthcoming major book on "The Nationalization of Politics" he builds a bridge between the study of European social structures and European political behaviour. Related new projects (C7) are in preparation to study the impact of European social and cultural diversity on the European integration process. Caramani is cooperating in this area with Yves Mény at the European University Institute in Florence, for instance in organising a conference and workshop series on "Images of Europe. Alternative Models of European Integration and Potential Cleavages in the Forming European Political Space". All this work will emphasize more explicitly than hitherto the tensions in the process of European integration that result from the institutional, structural and cultural differences in these societies.

Key Publications

Books

Arum, Richard and Walter Müller (Ed.) (2004): The Reemergence of Self-Employment. Princeton and Oxford: Princeton University Press.

Caramani, Daniele (2004): The Nationalization of Politics. The Formation of National Electorates and Party Systems in Western Europe. Cambridge und New York: Cambridge University Press.

Gangl, Markus (2003): Unemployment dynamics in the United States and West Germany: economic restructuring, institutions and labour market processes. Heidelberg: Physica.

Müller, Walter and Markus Gangl (Ed.) (2003): Transitions from Education to Work in Europe: the Integration of Youth on EU Labour Markets. Oxford: Oxford University Press

Müller, Walter, Henning Lohmann and Silvia Luber (Ed.) (2000): Self-employment in Advanced Economies I-IV. Amonk, NY: Sharpe. (Special issues of International Journal of Sociology, Vol. 30: no. 3+4 and Vol. 31: no. 1+2).

Shavit, Yossi and Walter Müller (Ed.) (1998): From School to Work. A Comparative Study of Educational Qualifications and Occupational Destinations. Oxford: Oxford University Press.

Articles

Brauns, Hildegard (1999): Soziale Herkunft und Bildungserfolg in Frankreich. Zeitschrift für Soziologie, 28, issue 3, pp. 197-218.

Brauns, Hildegard, Susanne Steinmann, Annick Kieffer and Catherine Marry (1999): Does Education Matter? France and Germany in Comparative Perspective. European Sociological Review, 15, issue 1, pp. 61-89.

Gangl, Markus (2002): Changing labour markets and early career outcomes: labour market entry in Europe over the past decade. *Work, employment and society,* 16, issue 1, pp. 67–90.

Ishida, Hiroshi, Walter Müller and John Ridge (1995): Class Origin, Class Destination and Education: A Cross-National Study of Ten Industrial Nations, *American Journal of Sociology*, 101, p. 145–193.

Marry, Catherine, Annick Kieffer, Hildegard Brauns and Susanne Steinmann (1998): France-Allemagne: Inégales avancées des femmes, Revue Française de Sociologie, 39, No. 2, p. 353–389.

Müller, Walter, Hildegard Brauns and Susanne Steinmann (2002): Expansion und Erträge tertiärer Bildung in Deutschland, Frankreich und im Vereinigten Königreich. Berliner Journal für Soziologie, 12, issue 1, pp. 37-62. Müller, Walter (1999): La estructura de clases y el sistema de partidos. *Zona Abierta 86/87*, pp. 113-178.

Rothenbacher, Franz (2003): The Changing Public Sector in Europe: Social Structure, Income and Social Security. Pp. 101-109 in: Arno Tausch (Ed.): The Three Pillars of Wisdom? A Reader on Globalization, World Bank Pension Models and Welfare Society. New York: Nova Science Publishers, Inc.

Scherer, Stefani (2001): Early Career Patterns: A Comparison between Great Britain and West Germany. European Sociological Review, 17, issue 2, pp. 119-144.

Scherer, Stefani (2000): Assetti istituzionali e differenze di genere nell'accesso al mercato del lavoro: un confronto internazionale. *Inchiesta*, pp. 75–84.

Shavit, Yossi and Walter Müller (2000): Vocational secondary education. Where diversion and where safety net? *European Societies*, 2, issue 1, pp. 29–50.

Research Area A2

Social Services and Social Security:

The European Welfare States in Comparison

Projects in Area A2

Completed Projects

Core Projects

- C1 <u>Bahle</u>, B. Fix, <u>Flora</u>, Fux, Holzer, Knispel, Kraus, Maucher, Pfenning, Rothenbacher, Wiesehan, Willekens (1993 2002)

 Family Change and Family Policy in Comparative Perspective
- C2 <u>Bahle, Pfenning</u> (2000 2001)

 The Structure of Social Services: An International Comparison –
 Local Case Studies in Denmark, Germany, France,
 the United Kingdom and Spain

Supplementary Projects

- S1 <u>Bahle, Flora</u>, Pfenning, and TMR grant holders (1996 2000) Family and the Welfare State in Europe (EU TMR Programme)
- S2 Bartsch, <u>Flora</u>, <u>Scheiwe</u>, <u>Willekens</u> (1998 2000) *History of Family Law in Western Europe*
- S3 <u>Scheiwe</u> (1998 2000) Gender Inequalities and the Development of Family Law
- S4 <u>E. Fix</u>, <u>Flora</u> (1998 2000) *Growth to Limits*
- S5 <u>E. Fix</u> (1999 2002)

 Intermediary Structures and the Welfare State:
 the Consociational Societies in Historical and Comparative Perspective

Ongoing Projects or in Preparation

Core Projects

- C3 B. Fix, Flora (1999 2004)
 Intermediary Structures and the Welfare State:
 The Role of the Churches in Western Europe
- C4 <u>Bahle</u> (1999 2004) Social Services in the Welfare State: A Comparison of Great Britain, France, and Germany

Social Services and Social Security: The European Welfare States in Comparison

1. Research Questions and Aims

The common framework of the projects in Research Area 2 is a comparative-historical and macro-sociological analysis of the development of European welfare states from the late nineteenth century to the present. At the core of this analysis are the variations of the institutional and organizational structures of the welfare states, their differences across space and their changes over time. These structures shape in important ways the life chances of major population groups and contribute to the formation of social groups and social conflicts. In this sense comparative welfare state research is a crucial element of the comparative analysis of social structures.

The theoretical point of reference of the comparative analyses is the Rokkanian model of European state- and nation-building. It offers a series of possible explanations for the structural variations of the European welfare states. Thus, for example, differences in the process of state formation may explain the more centralized or decentralized character of welfare states, differences in the process of nation-building their more universalistic or particularistic character. Variations in the cleavage structures may offer an explanation for the more étatist or intermediary character of the welfare states, and all processes together contribute to an understanding of the basic ideas about social order, which may be found in the attributes of welfare state institutions.

2. Main Lines of Research

The focus of the projects in Research Area 2 is on institutional variations in social services and social security systems among European countries and their explanation. The comparison focuses on the institutions and organizations of the welfare state in a stricter sense, but at the same time an attempt is made to put it into a broader societal context. This holds above all for the relationships between welfare state institutions and the family as well as the relationships between public and intermediary organizations with similar functions. This comparison of welfare states has a strong empirical orientation. It is intended to systematically compile and process aggregate data from social and financial statistics. It has also become increasingly important to carry out a detailed analysis of the relevant institutions and their legal foundations. Thus historical-institutional analysis is combined with

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Stein Rokkan's model of European state- and nation-building as point of reference for comparative welfare state research



Comparative research on family policy in Europe

aggregate data analysis on the basis of national and international statistics and data sources.

3. Selected Results

The projects in Research Area 2 were developed on the basis of "Growth to Limits", one of the major international projects in the field of comparative-historical welfare state research directed by Peter Flora. In this project, the development of Western European welfare states since World War II was studied with a focus on welfare state institutions, in particular social security systems. This project was one of the most systematic attempts to study the growth and institutional variations of European welfare states in historical perspective. It remains an important source of reference in this area today.

The projects in Research Area 2 started from this former research basis and broadened the perspective in two respects: the analysis was extended to social services and family policy and was focused more on the relationship between welfare state institutions strictu sensu and other social institutions and welfare producing agencies like the family and intermediary organizations. There are significant variations among the European countries in these respects.

In the past research period 1999-2002, the focus of the projects was on the relationship between the (welfare) state and the family. The core project during this period was the international project on family policies (C1). In this project, family changes and family policies were studied in 18 Western countries in a long-term perspective. The analysis focused on the structural and institutional conditions that shaped family policies in individual countries. In addition, comparative studies on specific fields of family policies were carried out and a data base on family policies in Europe was established. This project was a systematic attempt to study the long-term developments in all fields of family policy, including family law, employment policies for families, taxation and social security as well as the institutionalization and politics of family policies. The major product of this project will be a series of standardized country studies. The reports were produced by a group of international collaborators on the basis of secondary data analysis. They are currently prepared for publication (see list of key publications).

In addition to this core project, three other family-focused projects were carried out: the Training and Mobility of Researchers (TMR) network "Family and the Welfare State in Europe" (S1), and two

projects in the field of sociology of family law, "Gender Inequalities and the Development of Family Law" (S3), and "History of Family Law in Western Europe" (S2).

The TMR programme "Family and the Welfare State in Europe" was a major contribution to the international research profile of the Mannheim Centre. The focus of the TMR activities was the mobility and training of young researchers who were integrated into the research network of the core family policy project. They contributed to this project in various ways depending on their field of study and expertise. The young researchers also worked on their own comparative studies related to the core project activities. A selection of these comparative studies was published in an edited volume (Pfenning and Bahle, 2000). During its lifetime the TMR project employed a total of 36 young researchers from 13 European countries. They were offered research stays at 11 institutions universities and research institutes – that participated in the TMR programme. The MZES as the co-ordinating institute of the programme offered more than 140 months of research stays for a total of 17 young researchers. A second core activity in the training programme consisted of workshops offered on various research themes. During the lifetime of TMR 12 international workshops and 2 international conferences were held; 3 of the workshops and the 2 conferences were held in Mannheim. The purpose of the workshops was to discuss ongoing research conducted by the young researchers. They were organized by teams of senior researchers from the network and included external experts as guest speakers and discussants.

All these studies have been pursued in close cooperation with the MZES Eurodata archive. While on the one hand, the projects profit from the availability of rich collections of data in the archive, on the other hand they also nurture Eurodata's involvement to create elaborated and validated databases for comparative European research, such as published in the 'Societies of Europe' data handbook series or in more specialised research data bases such as the "Eurodata Family Policy Database" or "The Cost of Social Security 1949–1993 Database" (see section on Infrastructure in this volume or http://www.mzes.uni-mannheim.de/fs_daten_e.html).

4. Current projects and future perspectives

The ongoing and planned projects in Research Area 2 in the research period 2002–2004 will contribute to the current debate on the reform and restructuring of the welfare state. The projects are mainly concerned with social services, families and other inter-

Training programme on "Family and Welfare State in Europe"



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mediary structures. A common focus is on variations across countries in the extent and character of "stateness" and "centralization" of the welfare state.

Social services a neglected area in welfare state Comparisons among welfare states have so far concentrated on social security, whereas the area of social services has been largely neglected. But it is especially in this area that strong variations among countries exist. This is mainly due to the fact that intermediary organizations play a much more important role. These organizations also show great variation. Terms often used to describe recent developments in this area, such as 'privatization' and 'decentralization', do not suffice to explain the problem. From the sociological point of view, the changes and reforms in social policies since the 1980s and 1990s lead to interesting questions such as how the state, intermediary organizations, and the family share in the division of labour, or what function the local and the regional dimension of welfare states performs in this context. The central goal of the ongoing projects in Research Area 2 is to explain such differences with the help of historical-comparative macrosociological analyses. We furthermore analyse the relationship between the welfare state, intermediary organizations and local institutions which provide social services.

These studies are carried out in the framework of two projects, "Intermediary Structures and the Welfare State: The Role of the Churches in Western Europe" (C3) and "Social Services in the Welfare State: A Comparison of Great Britain, France and Germany" (C4).

The role of churches as welfare providers

The first project studies the role of the churches as welfare providers on the basis of historical-comparative secondary analysis and a survey on social services provided by church-related organizations today. The relevant social institutions of churches play a significant historical role in the context of social services. The early differences among the intermediary organizations providing social services can be largely explained by the variations in church structures, in particular with regard to their relationship to the state. The social service institutions run by the church have evolved since the late nineteenth century. While their social and political significance has relatively diminished as a result of the decline of the religious movements since the late 1950s, most of these institutions continue to exist. They were able to maintain their dominant role for a considerable period of time and were crucial in defining the space of manoeuvre for other intermediary structures, thus leaving traces of their significance on the entire range of these organizations in their specific national contexts. A survey on the present situation of church-based welfare organizations was conducted in 2002; data are currently being analysed. The study has so far shown major variations across countries as well as in the profile of social services provided by Catholic and Protestant welfare organizations. The varying significance of religious welfare organizations is explained partly by differences in historical legacies and partly by differences in welfare state policies which led to varying forms of integration of these services into the legal framework of the welfare state.

The second ongoing project in this area studies the changing role of the welfare state in social service systems in three different welfare states: Great Britain (more specifically: England and Wales), France and Germany. The focus is on recent reforms that have transformed the role of the state in these systems. A first finding is that the role of the state is changing, but not declining in general. Despite obvious trends towards privatisation and decentralisation in the provision of services, the role of the welfare state in financing, standardizing and controlling services and service providers is increasing in the three countries. Variations among the countries can be explained by different institutional legacies and the impact of politics and policies during the reform period.

Besides these projects the major future contribution from work done in this Research Area will be the publication of the studies on family policy in the countries of Western Europe together with comparative chapters analysing the similarities and differences in the long term historical developments of family policy observed in these countries. The following books are being prepared for publication in a series at Oxford University Press.

- Thomas Bahle (Ed.), Family Change and Family Policies in Capitalist and Socialist Central Europe: Austria, France, Germany, Hungary, and Poland.
- Peter Flora and Thomas Bahle (Eds.), Family Change and Family Policies in the Consociational Democracies: Belgium, The Netherlands, and Switzerland.
- Peter Flora (Ed.), Family Change and Family Policies in Southern Europe: Greece, Italy, Portugal, Spain.
- Peter Flora and Matti Alestalo (Eds.), Family Change and Family Policies in the Scandinavian Welfare States.

The changing role of states in the provision of social services

Key Publications

Family Policy Series:

Kamerman, Sheila B. and Alfred J. Kahn (Ed.) (1997): Family Change and Family Policies in Great Britain, Canada, New Zealand, and the United States. Oxford University Press.

THE FOLLOWING COUNTRY STUDIES, THAT WILL APPEAR IN THE FAMILY POLICY SERIES, ARE AVAILABLE AS MZES PREPRINTS:

Alestalo, Matti, Virpi Kosunen, Ann Muuri, Irma-Leena Notkola, Riitta Säntti and Pentti Takala (2002): Family Change and Family Policies: Finland. Preprints of the Mannheim Centre for European Social Research / Nr. 6. Mannheim.

Bahle, Thomas, Birgit Fix, Peter Flora, Franz Kraus, Franz Rothenbacher and Harry Willekens (2002): Family Change and Family Policies: Belgium. Preprints of the Mannheim Centre for European Social Research; No. 2. Mannheim.

Bahle, Thomas, Birgit Fix and Franz Rothenbacher (2002): Family Change and Family Policies: Germany. Preprints of the Mannheim Centre for European Social Research; No. 10. Mannheim.

Bernhardt, Eva M., Ulla Björnberg, Ann-Zofie Divander, Gudny Björk Eydal, Björn Halleröd, Jonas Hinnfors and Anna-Karin Kollind (2002): Family Change and Family Policies: Sweden. Preprints of the Mannheim Centre for European Social Research / Nr. 5. Mannheim.

Cuyvers, Peter, Anton Kuijsten and Hans-Joachim Schulze (2002): Family Change and Family Policies: The Netherlands. Preprints of the Mannheim Centre for European Social Research / Nr. 1. Mannheim.

Fridberg, Torben (2002): Family Change and Family Policies: Denmark. Preprints of the Mannheim Centre for European Social Research / Nr. 3.
Mannheim.

Fux, Beat (2002): Family Change and Family Policies: Switzerland. Preprints of the Mannheim Centre for European Social Research / Nr. 8. Mannheim.

Kolberg, Jon Eivind (2002): Family Change and Family Policies: Norway. Preprints of the Mannheim Centre for European Social Research / Nr. 4. Mannheim.

Books

Bahle, Thomas (1995):
Familienpolitik in Westeuropa.
Ursprünge und Wandel im
internationalen Vergleich.
Frankfurt/NewYork.

Fix, Birgit (2001): Religion und Familienpolitik: Deutschland, Belgien, Österreich und die Niederlande im Vergleich. Wiesbaden: Westdeutscher Verlag.

Flora, Peter, Stein Kuhnle and Derek Urwin (Ed.) (1999): State Formation, Nation-Building, and Mass Politics in Europe. The Theory of Stein Rokkan. New York: Oxford University Press.

Pfenning, Astrid and Thomas Bahle (2000): Families and Family Policies in Europe: Comparative Perspectives. Frankfurt am Main: Peter Lang.

Rokkan, Stein and Peter Flora (2000): Staat, Nation und Demokratie in Europa: Die Theorie Stein Rokkans. Frankfurt: Suhrkamp.

Scheiwe, Kirsten (1999): Kinderkosten und Sorgearbeit im Recht: eine rechtsvergleichende Studie. Frankfurt am Main: Klostermann.

Articles

Bahle, Thomas (2003): The changing institutionalization of social services in England and Wales, France and Germany: is the welfare state on the retreat? *Journal of European Social Policy*, 13, issue 1, pp. 5-20.

Bahle, Thomas (2003): Staat, Kirche und Familienpolitik in westeuropäischen Ländern. Ein historisch- soziologischer Vergleich. *Politische* Vierteljahresschrift (PVS), Sonderheft, issue 33, pp. 391-411.

Fix, Birgit (2003): Familienpolitik im internationalen Vergleich. Von Europa lernen. In: Fthenakis, W.E./ Textor, M.R. (Hrsg.) (Ed.): Online-Familienhandbuch. München: Staatsinstitut für Frühpädagogik.

Fix, Birgit and Elisabeth Fix (2002): From charity of client-oriented social services production: a social profile of religious welfare associations in Western European comparison. *European Journal for Social Work*, 5, issue 1, pp. 55-62.

Scheiwe, Kirsten (2000): Equal Opportunities Policies and the Management of Care in Germany. Pp. 89-107 in: L. Hantrais (Ed.): Gendered Policies in Europe. London: Macmillan.



Research Area A3

Migration, Integration, and Ethnic Conflicts

Projects in Area A3

Completed Projects

Core Projects

C1 Blohm, Diehl, <u>Esser</u>, Granato, Schmidt (1998–2001) Participation of Immigrants

C2 <u>Kalter</u> (2000–2002)
Conditions and Processes of Migrants' Structural Assimilation in the German Soccer League System

Supplementary Pojects

S1 Esser, Haug (1996–1999)
Social Capital and (Chain) Migration

Ongoing Projects or in Preparation

Core Projects

- C3 <u>Esser</u>, Ganter, Jäger (1999–2004) Ethnic Cleavages and Social Contexts I + II
- C4 Dollmann, <u>Esser</u>, Kristen (2000 2003) Educational Decisions in Immigrant Families
- C5 <u>Kalter, Massey</u>, Schupp (2003–2005) Social Capital and the Dynamics of Transnational Migration (Polish Migration Project)
- C6 <u>Kalter</u> (2003–2005)
 Segregation and Labour Market Outcomes of Turkish Immigrants (in a Comparative Perspective)
- C7 <u>Esser (2003–2005)</u> Structural Assimilation and Migration Biographies

Supplementary Projects

- S2 Esser, Kogan, <u>Müller</u> (2001–2003) Integration of Immigrants in the EU Countries
- S3 <u>Kalter</u>, Kogan (2002–2003) Ethnic Minorities' Education and Occupational Attainment: the German Case
- S4 <u>Esser</u>, Stocké (2003–2005) Educational Aspirations and Reference Groups

Migration, Integration, and Ethnic Conflicts

1. Research Question and Aims

Research in Area A3 focuses on international migration and its impact on ethnic and cultural differentiation. Thus it covers aspects which have become more and more important for the internal integration of nearly every European society as well as for the integration of Europe as a whole. Substantially, the projects can be categorized as dealing either with the causes of migration or with its consequences. The superordinate questions may be formulated as: What are the mechanisms that drive people to migrate? And: What are the mechanisms that promote or hinder their incorporation into the receiving society?

With respect to the latter question one aspect refers to the members of the receiving society. Here, a major focus of research is on the emergence and stabilization of social distances and overt discrimination. The second aspect refers to the immigrants' themselves. Here, a special emphasis is given to the problem of 'structural assimilation', i.e. to the question of whether immigrants - in the course of time and over generations – tend to occupy positions in the social structure similar to those held by the indigenous population. Theoretically and empirically, it is this structural dimension which turns out to be the most crucial aspect of immigrants' integration. Accordingly, ethnic disadvantages in the core spheres of society, above all the labour market and the educational system, receive much attention. As a consequence many projects are closely linked to guestions and problems addressed in Research Area A1. In a wider sense structural positions also refer to other aspects of life, like e.g. membership in associations or family types, the latter pointing to a close connection to projects in Research Area A5.

The close relation to state-of-the-art research in other subfields of sociology, most notably social mobility and sociology of the family, is one important feature that distinguishes migration research in the MZES from migration research in many other more specialized research institutes in Germany and Europe.

The starting point of research on migration and integration in the MZES is marked by the project "Migration Potentials", which dealt with the structure of migration decision–making processes. The project later gave rise to start a special Research Area on "Migration, Integration, and Ethnic Conflicts", complementing the research on the causes of migration with projects on important

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The close relation to state-of-theart research in other subfields of sociology is one important feature that distinguishes migration research in the MZES consequences. Core projects referred to the patterns and causes of immigrants' participation in clubs and associations (C1), the structure of immigrants' educational decisions and their consequences for educational achievement (C4), and the enforcement processes of negative attitudes and social distances toward foreigners embedded in social networks (C3). Recently a number of new projects were initiated, focusing the research even more closely around the problem of structural assimilation (especially occupational attainment), concentrating narrowly on the impact of social capital and setting research activities more strongly within a European comparative perspective.

2. Main Lines of Research

Besides the substantive bond of being related to the phenomenon of migration, a further common feature of the projects in Research Area A3 is their common theoretical orientation as well as their fundamental interest in more general theoretical mechanisms. Basically, research in this area can be understood as an attempt to contribute to classic but still unsolved questions of migration sociology by trying to make use of newer suggestions in general sociological theory. While the character of migration research in many other institutes is mainly 'event-driven' in principle, the strongly theory-driven approach is the second important and distinctive feature of migration research at the MZES.

Starting from a rational-actor-based and basically resource-oriented perspective, especially three recent theoretical developments play a key role in nearly each of the projects. First, the extension of the concept of capital to include also cultural and social re-

sources, thus pointing to the fact that a mere economic approach resting only on financial and human capital may be insufficient to understand many migration and integration phenomena. Second, the concept of social production functions, emphasizing that in addition to possession of resources it is the societal determined or defined value of certain

resources which may play an important role in explaining ethnically varying behaviour or ethnic disadvantages. Third, the notion of bounded rationality, assuming that actors have only incomplete information and that this may have particular consequences for the decision–making processes of potential migrants as well as for the immigrants in the receiving society.

In addition to a common substantive and theoretical basis, all projects in this sub-area share the goal of testing the assumed

A further common feature of the projects is their interest in more general theoretical mechanisms

Three recent theoretical developments play a key role:

- extension of the concept of capital
- social production functions
- bounded rationality

mechanisms empirically using adequate quantitative methods. As migrants and immigrants are (relatively) 'rare populations', however, available standard surveys are often not applicable. Thus primary data collection is a usual step in most of the projects which – including necessary pre-studies to develop instruments and test research designs – gives the project a longer duration. It has to be noted that this circumstance also makes it more difficult to set the research right from the beginning within a European comparative context. Only recently, analysis of secondary data has become more and more possible, thanks to the improved availability of mass data from the official statistics (which due to their sample size include 'enough' immigrants). Some of the newer project initiatives try to make use of this fact, which seems at least a promising path for the analysis of labour market processes.

3. Selected Results

Major results of the research projects confirm that the abovementioned view on migration and integration processes indeed seems to be a fruitful perspective and may shed new light on some puzzling phenomena. Above all, the fact that ethnic segregation and stratification obviously persist in the second and even the third generation challenges the traditional paradigm of inevitable assimilation over time. As a reaction to this, widespread views in migration research tend to interpret such findings as being either - positively - evidence for the emergence of a new 'multicultural' society, or - negatively - as evidence for so-called ethnic revivals and potentially emerging fundamentalism. In contrast to this, our projects show that often there might be other, more unemotional reasons behind observable patterns. With respect to immigrants' participation, for example, it was found that a third of all Turkish immigrants do have some sort of affiliation, and that participation indeed still takes place mostly in ethnically segregated and homeland-orientated clubs. However, rather than being political interest groups for their clientele in Germany it could be shown that ethnic associations mainly serve as marketplaces where immigrants can obtain status and social approval for homeland-specific resources and cultural skills. Yet if these resources and skills are generalizable, i.e. easily transferable to the receiving society, and if the societal value of these resources is well-defined, segregation and other ethnic disadvantages are far less likely. In the case of youth immigrants in football associations, for example, it turned out that the higher the performance level the less pronounced is segregation and also discrimination by (indigenous) clubs or colleagues.

Ethnic segregation and stratification obviously persist in the second and even the third generation A similar story holds for ethnic disadvantages in the labour market. While ethnic disadvantages with respect to occupational attainment are prevalent in nearly every European country, our

In Germany, occupational attainment is mainly a matter of qualifications rather than of discrimination

projects clearly show that in Germany, this is mainly a matter of qualifications rather than of discrimination. While the Turks play an exceptional role in this respect, labour market disadvantages of all second generation labour migrants vanish once we control for human capital. The institutional system in

Germany, above all the vocational training system, seems to clearly define the value of formal skills, resulting in a close link between the educational system and the labour market and a reduced incidence of mechanisms like statistical discrimination.

However, our projects also show that access to educational qualifications heavily relies upon former family investment from birth

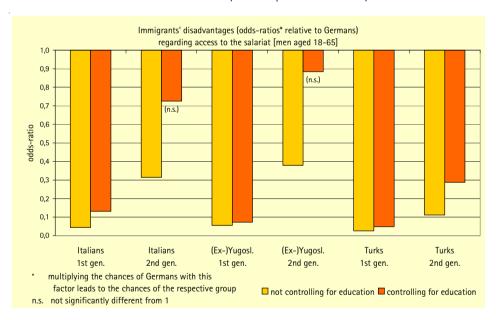
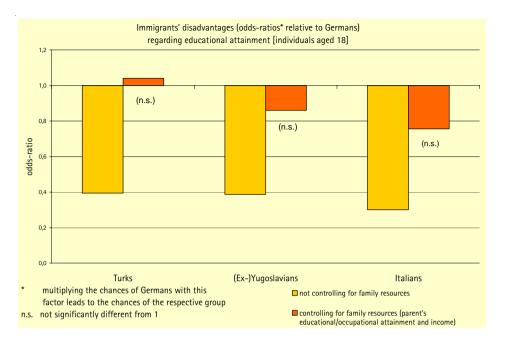


Figure 1: Immigrants'
disadvantages
regarding access to
the salariat

onwards continuously throughout the different transitions in the school system. In particular, the early educational career up to the first transition from primary into secondary schooling seems to be of crucial importance for children's further educational perspectives. We observe that immigrant families profoundly differ from their German counterparts in their means to effectively support their children in school life and to protect them against the risks of failure. The immigrant origin population often lacks important



resources necessary for a successful navigation of the educational system such as information or specific cultural knowledge. In accordance with models of decision-making under bounded rationality this leads to underinvestment in human capital.

Figure 2: Immigrants' disadvantages regarding educational attainment

In addition, a strongly segregated primary school system adds to a further ethnic disadvantage. High immigrant concentrations in kindergartens and elementary schools do not provide the favourable conditions required for successful language learning, which is a key competence for educational attainment. We can show that growing up in segregated environments with large proportions of non-natives present reduces the individual chances to transfer into one of the higher branches of secondary schooling. Apart from the detrimental structural condition of ethnic school segregation, we do not find evidence of systematic discrimination on the part of the schools. Comparing scores from standardized achievement tests with school grades reveals a strong correlation, meaning that children of immigrant origin obtain grades that match their actual achievement level.

4. Future Research

Many of the new projects in the fifth research project will build on these findings and further delve into the mechanisms of structural assimilation and stratification. One of the most puzzling questions deriving from past research is the exceptional role of Turks concerning labour market integration. One of the core projects (C6) will explicitly address this problem and ask whether the observed disadvantages, in addition to resulting from differing qualification endowments, might be due to cultural differences, discrimination or – what seems to be most likely – occupational and residential segregation resulting in disadvantageous network structures. Further insights into the specific disadvantages of Turks in Germany will not least derive from two supplementary projects which analyze processes of occupational attainment in a wider comparative research design, involving top researchers from many Western immigration countries (S2 and S3).

Another core project aims at a comprehensive life-history study of immigrants in Germany (C7). While research so far could detect important mechanisms of the perpetuation of ethnic inequalities in selected dimensions of integration, many questions remain open on the general causal relation among several dimensions of integration (like cognitive, structural, and social) and on their complex interplay, which leads to the intergenerational transmission of ethnic disadvantages. We will try to further disentangle these mechanisms by approaching them with advanced methods of longitudinal analysis. Also here, it seems that a close orientation toward and even cooperation with other international studies, above all Ruben Rumbaut's "Children of Immigrants Longitudinal Study" in the US, is possible and promising.

Comparative research also plays an important role in the new Polish migration project (C5). It builds on former MZES research on migration decision–making processes and the specific role of network structures and tries to combine it with the trend–setting Mexican Migration Project (MMP). Leading MMP– researcher Prof. Douglas Massey could be won as a Research Fellow of the MZES, and will support internal researchers in their attempt to set up comparable long–term cumulative research on the European migration system. As the impending EU–enlargement raises many current questions on the future of migration streams in public debates, it challenges existing empirical research. Thus, the present point in time (and the case of Poland) seems to be a very promising occasion to start such an initiative.

As one can see, all new research projects involve difficult data collection and will be set within a cross-national comparative perspective. As a consequence they have a middle- or even long-term perspective and will thus characterize the core of the activities of the Research Area in the coming years.

Key Publications

Books

Diehl, Claudia (2002): Die Partizipation von Migranten in Deutschland: Rückzug oder Mobilisierung? Opladen: Leske und Budrich.



Esser, Hartmut (2000): Soziologie. Spezielle Grundlagen. Band 2, Die Konstruktion der Gesellschaft. Frankfurt/New York: Campus-Verlag.

Ganter, Stephan (2003): Soziale Netzwerke und interethnische Distanz. Theoretische und empirische Analysen zum Verhältnis von Deutschen und Ausländern. Wiesbaden: Westdeutscher Verlag.

Haug, Sonja (2000): Soziales Kapital und Kettenmigration. Italienische Migranten in Deutschland. Opladen: Leske + Budrich.



Kalter, Frank (2003): Chancen, Fouls und Abseitsfallen. Migranten im deutschen Ligenfußball. Opladen: Westdeutscher Verlag.

Articles

Blohm, Michael and Claudia Diehl (2001): Wenn Migranten Migranten befragen. Zeitschrift für Soziologie, 30, issue 3, pp. 223–242.

Diehl, Claudia and Michael Blohm (2003): Rights or Identity? Naturalization Processes among "Labor Migrants" in Germany. *International Migration Review*, 37, issue 1, pp. 133–162.

Diehl, Claudia and Michael Blohm (2001): Apathy, Adaptation, or Ethnic Mobilization? On the Political Attitudes of an Excluded Group. *Journal of* Ethnic and Migration Studies, 27, issue 3, pp. 401-420.

Esser, Hartmut (2001): Kulturelle Pluralisierung und strukturelle Assimilation: das Problem der ethnischen Schichtung. Swiss Political Science Review, 7, pp. 95–130.

Esser, Hartmut (1999a): Die Situationslogik ethnischer Konflikte. Auch eine Anmerkung zum Beitrag "Ethnische Mobilisierung und die Logik von Identitätskämpfen" von Klaus Eder und Oliver Schmidtke. Zeitschrift für Soziologie, 28, issue 4, pp. 245-262.

Esser, Hartmut (1999b): Inklusion, Integration und ethnische Schichtung. *Journal* für Konflikt und Gewaltforschung, 1, issue 1, pp. 5-34. Ganter, Stephan (2001): Zu Subtil? Eine empirische Überprüfung neuerer Indikatoren zur Analyse interethnischer Beziehungen. Kölner Zeitschrift für Soziologie und Sozialpsychologie, 53, issue 1, pp. 111–135.

Granato, Nadia and Frank Kalter (2001): Die Persistenz ethnischer Ungleichheit auf dem deutschen Arbeitsmarkt: Diskriminierung oder Unterinvestition in Humankapital? Kölner Zeitschrift für Soziologie und Sozialpsychologie, 53, pp. 497–520.

Kalter, Frank and Nadia Granato (2002): Demographic Change, Educational Expansion, and Structural Assimilation of Immigrants: The Case of Germany. European Sociological Review, 18, pp. 199-216.

Kalter, Frank (2001): Die Kontrolle von Drittvariablen bei der Messung von Segregation. Ein Vorschlag am Beispiel der familialen Assimilation von Arbeitsmigranten. Zeitschrift für Soziologie, 30, pp. 452-464.

Kalter, Frank (2000): Structural Conditions of Preferences for Segregation. *Rationality and Society*, issue 12, pp. 425-448.

Kalter, Frank (1999): Ethnische Kundenpräferenz im professionellen Sport? Der Fall der Bundesliga. Zeitschrift für Soziologie, 28, issue 3, pp. 219-234.

Kristen, Cornelia (2002): Hauptschule, Realschule oder Gymnasium? Ethnische Unterschiede am ersten Bildungsübergang. Kölner Zeitschrift für Soziologie und Sozialpsychologie, 54, issue 3, pp. 534-552.

Research Area A4

Cultural Foundations of the Market Economy and the Welfare State

Projects in Area A4

Completed Projects

Core Projects

- C1 <u>Berger</u>, Hamann, Karl, Ullrich, Wössner (1997–2000) *The Moral Economy of Unemployment*
- C2 <u>Berger</u>, Hamann, Karl (1999–2001)

 Public View on Benefits for the Unemployed (not externally funded)

Supplementary Projects

S1 <u>Berger</u> (2002)

 ${\it Conference: The Loss of the Social Bond? Euresco\ Conference\ on\ the}$

Future of Community in Advanced Western Societies

Ongoing Projects or in Preparation

Core Projects

C3 <u>Berger</u>, Christoph, <u>Ullrich</u> (2003–2005) *The Acceptance of the Welfare State*

Supplementary Projects

- S2 <u>Berger</u>, Hamann (2002–2003) *Institutional Features of the Programs for Unemployed People and the Correlated Chances for Solidarity* Dissertation project
- S3 <u>Ullrich</u> (2002–2003) *Cultural Foundations of the Welfare State and Welfare State Reform*
- S4 <u>B. Fix</u> (2003–2006)

 Protestantism and Welfare State Reform:

 New Forms of Social Work in Western Europe

Cultural Foundations of the Market Economy and the Welfare State

1. Research Questions and Aims

The general objective of the projects in Research Area A4 is the analysis of the cultural and normative foundations of the market economy and the welfare state. Whereas it is generally acknowledged that welfare state institutions rest on moral beliefs, it seems less obvious that the market system is not value-neutral, but expresses moral convictions too. Thus, a specific normative structure is characteristic for both market economies and welfare state institutions. Not only the latter but also the former are founded on specific ideas of social solidarity and justice.

Starting from this general framework, the aims of the individual research projects are twofold. On the one hand, they aim at clarifying the extent to which these ideas coincide with value orientations and collective representations of actors. On the other hand, they address the question of how different normative orientations towards the welfare state and the market economy potentially interrelate. Especially in the public discourse, the view is widely held that the spread of value orientations typical for a market economy will eventually undermine the social bond necessary for the social cohesion of society.

As to the first question, one aim of the research is to show that the social acceptance of welfare state institutions is furthered by a match between value orientations of actors and the built-in morale of the different institutions of social policy. This topic is pursued in the project "Institutional Features of the Programmes for Unemployed People and the Correlated Chances for Solidarity" (S2). In addition, the project "The Social Acceptance of the Welfare State" (C3), financed by the Thyssen Foundation, has been started. The general aim of this project is to substantially improve the empirical evidence on attitudes of the German population towards major welfare state institutions.

As to the second question, the fear is widespread that the moral bond of the advanced western societies is weakening or even in the process of dissolution. The contention that the further spread of the market system and its attendant spread of "commercial" attitudes will eventually undermine the moral foundations not only of the market economy, but also of the welfare state, seems to win approval in different camps of thought. An international

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Both, market economies and welfare state institutions are founded on specific ideas of social solidarity and justice ------------

conference was held in Seefeld (Austria) in September 2002, to mobilize and collect the available knowledge on the future of solidarity in Western societies (S1). Given the public concerns and the discrepancy between widespread fears on the one hand and empirical evidence on the other hand, the aim of the conference was threefold. First, to clarify the main concepts for analysing the strength and the development of the "social bond" and to shed light on the main sources that condition its strength. Second, to improve our knowledge as to existing forms of solidarity and the changes they are subject to. Third, to provide a basis for a sounder judgment concerning the extent to which the public concerns that motivated this conference are justified. We plan to publish the main contributions of this conference in the 2005 MZES year-book.

2. Main Lines of Research

Research in Area A4 is based on the idea that social regulations of all kinds can be characterized not only by their function, but also by their built-in "value judgments". They put into practice existing ideas of a legitimate social order. The task of sociological research is not to substantiate these judgments but to explore them and to shed light on the consequences of decisions guided by value considerations. Research in Area A4 is dedicated to this task.

In addition, the institutional mix typical for advanced western societies is not at all stable but varies in accordance with certain phases of social development and the nations under consideration. In accordance with the principal goals of research at the MZES, one of the central tasks of macro-sociological research now is to clarify the reasons why a particular mix prevails in a given country during a specific period of time. In addressing this question, the research in Area A4 is guided by the following very broad ideas:

Explaining an action requires reference to the value orientations it contains; a narrowly constructed strictly economic approach is unlikely to be successful in explaining actions. The core model of rational choice has to be severely modified to "fit" with the data.

At least two modifications are necessary for the theory to be consistent with the data: introducing the notions of "bounded rationality" and of "embeddedness" in the action-theoretical framework. For similar ideas, see especially Research Area A3, project C5.

3. Selected Results.

The main findings of the project "Moral Economy of Unemployment" (C1) are published in a monograph (Hamann et al. 2001).

Social regulations of all kinds put into practice existing ideas of a legitimate social order



Based on qualitative interviews with employees, this project investigated the social acceptance of social security benefits for the unemployed. Altogether, there are three income-support programmes delivering benefits for the unemployed in Germany: unemployment benefits (*Arbeitslosengeld*), unemployment assistance (*Arbeitslosenhilfe*) and social assistance (*Sozialhilfe*). The main emphasis of the study was on the collective representations (*Deutungsmuster*) underlying positive and negative assessments of benefits for the unemployed.

The empirical results show that, contrary to the widespread public opinion, the acceptance of these benefits is very high. This holds true for all investigated programmes. Nearly all respondents agreed with the different, and partly opposing, basic principles of the programmes as well as with the different criteria of eligibility. The most important collective representation underlying these supportive acceptance judgments turned out to be a specific perception of the risk of unemployment. In a rather fatalistic manner. unemployment is interpreted as a risk everybody is equally exposed to and nobody can be held accountable for. Consequently, the recipients of benefits are not blamed for their situation. Most interestingly, the analysis of the acceptance judgments has shown that perceptions of different types of recipients (e.g., unemployed youth, elderly unemployed, long-term public assistance recipients) are fundamental for certain beliefs about the investigated income maintenance programmes. On the other hand, respondents also noticed the possibility of misuse and accused especially recipients of social assistance of abusing benefits. However, it should be noted that this did not lead to a complete rejection of social assistance, nor of any other programme. Instead the respondents demanded a better regulation of means testing and proof of eligibility to prevent misuse.

The ideas guiding the research on the "Moral Economy of Unemployment" serve as the framework for a dissertation project: "Institutional Features of the Programmes for Unemployed People and the Correlated Chances for Solidarity" (S2). It is the aim of this project to show that the social acceptance of the three programmes for unemployment compensation in Germany is influenced by institutional features, in particular the degree of redistribution caused by these programmes, which is by far their most important feature. Depending on the kind and the extent of redistribution realized by the three programmes, different forms of solidarity are required from the persons who finance them. Furthermore, we analyse whether institutional features like the level of benefits

Contrary to the widespread public opinion, the acceptance of benefits for the unemployed is very high

Perceptions of different types of recipients are fundamental for certain beliefs about the investigated income maintenance programmes and the payment duration lead to longer spells of unemployment by providing incentives to stop job search activities.

4. Future Research

So far, the activities of the Research Area have concentrated on the analysis of the acceptance of welfare programmes for the unemployed. Several efforts have been undertaken to widen the research perspectives:

First, the project "The Acceptance of the Welfare State" (C3) extends on several core institutions of the German welfare state. For the first time, acceptance judgments about substantial institutions of the German welfare state will be generated in a direct way. A survey especially designed for this purpose will be carried out. It will supplement or substitute indicators for acceptance and for explanatory factors so far used in several national and comparative surveys. After the completion of this project, a similar comparative study on the acceptance of welfare institutions in Europe is planned. This study will be done either by an original crossnational survey or by secondary analysis of available data.

A way to track down the cultural prerequisites of the development of welfare states is to examine the impact of religious factors. Therefore, a new project will deal with the impact of Protestantism on welfare state reform in Western Europe in the field of social service production. The project, called "Protestantism and Welfare State Reform: New Forms of Social Work in Western Europe" (S4), starts from the observation that innovative models of welfare production such as case-management were first developed in countries with a Protestant religious background, namely in the Scandinavian countries, the United Kingdom and the Netherlands. The specific research question of the project is: Do Protestant religious welfare associations have a concept of welfare production different from Catholic ones? To answer this question, three methods (survey, document analysis and expert interviews) will be used. If a selective affinity between Protestant social ideas and the development and promotion of new forms of social work can be found, further research should analyse the influence of Protestant concepts on the development of social security systems.

Finally, one of the most intriguing and challenging questions regarding the further development of advanced western societies refers to the topic "the future of solidarity". Especially the public discourse is dominated by widespread concerns that solidarity among citizens is subject to a process of erosion. These concerns have been fuelled by Robert Putnam's "Bowling Alone". The empir-

For the first time, acceptance judgements about German welfare state will be generated in a direct way

A new project will deal with the impact of Protestantism on welfare state reform in Western Europe ical evidence for a "decline of community" collected in this book notwithstanding, the difference between public concerns on the one hand and thorough empirical research on the other hand is striking. In order to address the general issue, it is above all necessary to clarify the leading concepts organizing the analysis in this field ("social capital", "community", "embeddedness", the "moral bond" etc.) and to determine the main sources that condition the strength of the moral bond, social ties, solidarity etc. The fact that similar ideas related to the role of social capital and citizenship are pursued in Research Area B1 will considerably facilitate research of this kind at MZES.

Key Publications

Books

Berger, Johannes (1999): Die Wirtschaft der modernen Gesellschaft. Strukturprobleme und Zukunftsperspektiven. Frankfurt a. M. / New York: Campus-Verlag.

Hamann, Silke, Astrid Karl and Carsten G. Ullrich (2001): Entsolidarisierung? Leistungen für Arbeitslose im Urteil von Erwerbstätigen. Frankfurt/New York: Campus Verlag.

Articles

Berger, Johannes (2003): Sind Märkte gerecht? *Zeitschrift für Soziologie*, 32, issue 6, pp. 462-473.

Berger, Johannes (2002): Normativer Konsens und das Agenturproblem der Unternehmung. Pp. 193-218 in: Schmid, Michael; Maurer, Andrea (Ed.): Neuer Institutionalismus. Zur soziologischen Erklärung von Organisation, Moral und Vertrauen. Frankfurt/Main: Campus. Berger, Johannes (2001): Der diskrete Charme des Markts. Pp. 13-34 in: Karl U. Mayer (Ed.): Die beste aller Welten. Marktliberalismus versus Wohlfahrtsstaat. Eine Kontroverse. Frankfurt a. M.: Campus.

Karl, Astrid, Carsten G. Ullrich and Silke Hamann (2002): Akzeptanz und Akzeptanzunterschiede von Arbeitslosenversicherung und Sozialhilfe. Zeitschrift für Sozialreform, 48, issue 1, pp. 53-76.

Karl, Astrid, Carsten G. Ullrich, and Ulrike Wössner (1998): Akzeptanz und Akzeptabilität wohlfahrtsstaatlicher Institutionen. Überlegungen zur systembedingten Akzeptanz von Leistungssystemen bei Arbeitslosigkeit. Zeitschrift für Soziologie 27: 431-446.

Ullrich, Carsten G. (2002): Reciprocity, justice and statutory health insurance in Germany. Journal of European Social Policy, 12, issue 2, pp. 123–136. Ullrich, Carsten G. (2001): Die

Ullrich, Carsten G. (2001): Die Akzeptabilität sozialer Sicherungssysteme. Zur Bedeutung grundlegender Systemmerkmale für die Akzeptanz wohlfahrtsstaatlicher Institutionen. Sozialer Fortschritt, 50, pp. 165-171.

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Research Area A5

Family and Social Relations

Projects in Area A5

Ongoing Projects

Core Projects

- C1 Aisenbrey, <u>Brüderl</u>, Schröder (1999–2006)
 The Pluralisation of Living Arrangements and Family Forms /
 Work and the Family
- C2 <u>Esser</u>, Kneip (2003–2005) Social Embeddedness and Partnership Relations
- C3 <u>Kalter</u> (2003–2005) Marriage Patterns of Immigrants and Structural Assimilation
- C4 <u>Brüderl, Esser</u> (2003–2005)

 Panel-Study of the Emergence and Change of Intimate Relations
 (Part of DFG-Schwerpunktprogramm "Familie und soziale
 Beziehungen")

Family and Social Relations

1. Research Questions and Aims

Research Area A5 on "Family and Social Relations" focuses on the theoretical explanation and systematic empirical analysis of processes of pair and family development in a contextually and temporally comparative perspective. The reasons for the recent establishing of this Research Area in Research Department A were assumptions and observations of lasting changes in pair and family relations in the course of comprehensive social changes in nearly all (West) European countries, sometimes referred to as individualisation, pluralisation, and de-standardisation. Concrete processes are here the emergence of new forms of long-term partnerships, a strong decrease in fertility, changed intergenerational relations and increasing separation and divorce rates. Overall, the projects aim at strongly improving the theoretical understanding and the empirical database for the (comparative) analysis of processes of pair and family development.

From the very beginning, analysis of family processes has been a central field of research at the MZES, particularly with projects on changes in family law and family policy and on family change in Western Europe in a cross-national perspective. In the context of research on family-related topics at the MZES also the Mannheim Divorce Study was conducted, with information on more than 5000 marriages the largest study of this kind in the world. Results and experiences of this study partly motivated and guided the conception of the Research Area A5. Its topic is strongly related to the Research Areas A1 and A3. Aspects of social embeddedness and of family and kinship structures constitute an important part of social and cultural capital. Thus, they become important for understanding the emergence and reproduction of social inequalities, studied in Research Area A1. [In Research Area A2, previous work on the relations between family policy and family change is continued in a macrosociological-comparative perspective.] Family embeddedness of immigrants and particularly processes of intergroup marriages are central for processes of intergenerational integration of immigrants and hence for the topic of the Research Area A3.

All projects of the Research Area have empirical as well as theoretical-methodological goals. Empirically, they are about the (comparative) description and explanation of the development of new forms of long-term partnerships, conditions and effects of interethnic relationships and marriages. This area also examines the inter-

Coordinator:
Hartmut Esser

Scientific Staff: Silke Aisenbrey Josef Brüderl Hartmut Esser Frank Kalter Thorsten Kneip Jette Schröder

Describe and explain changes in the relations of couples and families, fertility and separation and divorce in long-term and comparative studies ------------

nal organisation and (in)stability of relationships and marriages, as well as the emergence and effects of social embeddedness of relationships. Our theoretical-methodological efforts aim at fundamentally improving explanatory concepts as well as databases for the analysis of processes of the developments of families and couples. This endeavour will facilitate international comparisons.

2. Main Lines of Research

Against this general background four conceptual requirements are seen as important for the establishment and functioning of the

Research Area. Firstly, the theoretical explanation of processes of pair and family development requires a Four general requirements: systematic specification of general mechanisms of pair and family dynamics. Usually available data sets almost

never include variables that are relevant to these proc-

■ inclusion of social embeddedness esses to a satisfactory extent. Therefore, secondly, continuation of (comparative) analyses merely on the basis of secondary analyses of available data sets (e.g. data from national microcensuses or national general population surveys) is not very promising. Thirdly, long-term panel studies are necessary because the development of pair and family relationships consists of processes of "situational logics" that are also rooted in early stages of the life course and hence can scarcely be recorded validly with data based on retrospective questions. Finally, systematic consideration of the pairs' social embeddedness is inevitable, starting with the measurement of objective opportunity structures of local relationship and marriage markets, social relations to the families of origin and other relatives, the network of (mutual) acquaintances and friends as well as other memberships and partic-

a general mechanism

- primary data collection
- long-term panel studies

ipation.

Systematic links of macro-micro-macrorelations to explain country-specific variations in family processes Models that systematically connect structural processes at the societal macrolevel with the dynamic of individual expectations and motives allowing for the explanation of actions at the microlevel and again with their (often unintended) structural effects form the theoretical basis of the work within this Research Area. This approach allows the reconstruction of a causal connection of structural patterns of relationship and family development (e.g. lower fertility and higher separation rates) with differences between and changes in structural conditions (e.g. increasing women's employment, changes in family policy or a general change in values). Different kinds of theories of bounded rationality serve as the microfoundation of the models. These theories also include models that connect aspects of "rational" action with the orientation toward cultural ideas and internalised values as well as with the emotional commitment typical for relationships and families. Thus it becomes possible both to use the now well-established instruments of household and family economic theories and to account for the fact that cultural, normative and emotional commitments may possibly override any "rational" or "strategic" consideration. The aspect of social embeddedness is here systematically included by applying elements of network analysis and theories as well as of the now further developed theory of social capital (see also part 3 below).

Research Area A5 with these general aims and conceptual considerations was installed with the 5th Research Programme of the MZES in 2002. It bundles activities and interests in this field that have either not yet or only casually been conducted at the MZES or that have been anchored in other Research Areas. Among the projects included is a project on marriage patterns of immigrants and their correlation with structural assimilation (C3) and an internationally comparative study of pluralisation of new life forms and family relations (C1). Its central projects, however, are the preparation of a large and long-term panel study (C4) and a special project on the operationalisation of pair relations and marriage markets (C2). As the Research Area is new, practically all projects are in a very early stage of project preparation.

3. Selected Results

The Research Area and its single projects rest upon preoccupations, partly for many years, with the respective questions, particularly in connection with the Mannheim Divorce Study. In this study, the basic theoretical element for the explanation of the (in-)stability of marriages has been the model of frame selection. This model is based upon Gary Becker's economic theory of family, but additionally states the conditions under which "rational" incentives and opportunities do not play any role. The idea is, that people under certain conditions are unconditionally oriented to a 'definition' of their situation and ignore all other aspects, like temptations or costs. The central condition for such a strong framing of a marriage as an unquestionable relationship without any conceivable alternative is the "accessibility" of certain general attitudes that are socially mediated and enforced and the "match" of a corresponding mental model (e.g. that of an ideal family) with the respective current situation, i.e. the match between the respective partner and his current behaviour.

The framing of marriages: Findings from the Mannheim Divorce Study Findings from the Mannheim Divorce Study, first of all, revealed that the framing of the marriage (at the beginning, measured e.g. by church wedding and the orientation of a "real" family with several children) shows by far the strongest effect on the explanation of divorces. This effect can partly be explained by the fact that in case of a stable framing investments in the so-called marriage-specific capital, like children or mutual properties, are done without hesitation. As a consequence, the marital gain increases, which is important for the further course of the marriage. This is different in only weakly framed marriages. In these marriages a downward spiral of omitted investments, resulting ongoing deterioration of the marital gain and an increased propensity to define the relationship as being in a crisis soon sets in.

Moreover, the model of frame selection implies certain statistical interaction effects of the framing of the marriage with other circumstances that may cause divorces. Thus, a strong framing stabilizes a marriage even if the marital gain decreases and if overall opportunities for separations increase within society and respective barriers are reduced, e.g. in the course of cohorts. If, in contrast, these opportunities do not exist, then even weakly framed marriages remain stable. This effect should become even stronger with the pairs' social embeddedness, because the social embeddedness in overlapping networks has particularly the effect of an ongoing ritual and symbolic reinforcement and thus of a "social" framing of the respective marriage: Overlapping networks of mutual friends protect the marriage against crises even in case of an only weak framing. Accordingly, the risk to divorce will increase

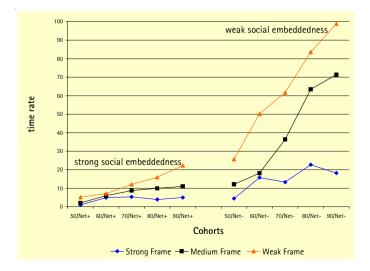


Figure: The interaction of framing, social embeddedness and marriage cohorts in the explanation of divorces (bivariate)

superproportional, if the social embeddedness is absent, the framing of the marriage gets weaker and if opportunities for a easier separation increase over cohorts. This threefold interaction effect could clearly be demonstrated in the Mannheim Divorce Study (see the figure). It even remains stable when other relevant variables for the explanation of divorces are controlled (e.g. like religiosity, man's and woman's employment, children, and common property-or living in urban areas; not shown).

Though the results are very clear, they are not beyond all doubt. The problem is generally well known from life history studies: Important variables can only be measured as retrospective data and/or proxy information on the partner. Particularly with regard to variables of attitudes and "mental models", as well as the existence and change of social networks, the validity of the data remains highly questionable. This problem of the retrospective bias of cognitions and answers, which is especially relevant with regard to pair and family relations with their very strong emotional aspects, as well as the obvious limits of the Mannheim Divorce Study (despite the many merits of this study as compared to other ones) gave reasons to address these questions in a major, long-term effort with adequate means. This effort also includes the cooperation with research groups in other countries, which have started or will start similar projects.

4. Future Research

Due to the short time period since the implementation of the Research Area there are no substantial results yet from the different new projects. The most concrete result of activities to date was the preparation and formulation of an application for a DFG (German Research Foundation) Special Research Programme "Development of Relationships and Families". The group of applicants for this programme consisted of five persons from different locations: Brüderl, Mannheim; Esser, Mannheim; Huinink, Rostock/Bremen; Nauck, Chemnitz; Walper, München. The application was approved by the DFG in May 2003. Esser and Huinink now coordinate this DFG Research Programme.

With the start of the DFG Research Programme in April 2004, concrete work started on the establishment of a long-term panel study encompassing three cohorts of respectively 5000 respondents, with the first two waves in 2008 and 2009. During the prior four years (2004 to 2007) a pilot study will be conducted aiming at the development and validation of the necessary instruments. For that purpose a central preparatory service project ("mini-panel") will be implemented. Several projects of the DFG Research Programme

The core-project: Panel-Study on "Development of Relationships and Families" connected with the mini-panel serve the theoretical and methodological preparation of the coming large-scale panel project.

The central aim of the research programme for Research Area A5 could thus be successfully completed within an unexpectedly short time period. Due to this rather rapid development and the scope of the project, the activities in the Research Area now are shaped clearly by its involvement in the DFG Research Programme. Following these developments the primary implication for the research programme of Research Area A5 is the implementation of the central coordination of the four-year mini-panel project at the MZES by Brüderl (C4). Tasks especially include data collection and methodological issues. In preparation for the large panel project, Esser is conducting a project (C2) on the operationalisation and validation of instruments for the measurement of the pairs' social embeddedness and their available social capital, as well as coordinateing other projects devoted to the measurement of social embeddedness.

The international connections

Centred around the DFG Research Programme several (national and international) conferences and meetings will take place from 2004 on. An important part of future activities in this context will additionally consist in the establishment and extension of contacts with research groups working on similar projects, particularly in other countries (within Europe and probably in the USA). Among others, there are already contacts with the steering groups of the SOEP (Wagner and Schupp) and the Netherlands Kinship Panel Study in the Netherlands (Kalmijn and Liefbroer), with the directory of the Max Planck Institute for Demography in Rostock (Hoem) as well as with a similar initiative in Italy (Billari, Milano). Comparative analyses on the development of pair relations and families can then be conducted after the establishment of the respective national projects, thus allowing for a better empirical foundation and a longer-term perspective than hitherto.

... and more

In addition to these activities connected with the DFG Research Programme, other projects will also be conducted within Research Area A5. Brüderl is starting a project on "Family Policy, Labor Force Participation and Fertility" with data from the Family Survey 2000 (conducted by the *Deutsches Jugendinstitut*, Munich). This project (C1) is part of a European initiative for a comparative project on the topic. Brüderl is participating here in the preparation of a module on "Family, Work, and Social Welfare" for the European Social Survey in connection with activities of the CHANGEQUAL Network, in which the MZES is a member. Esser and Kalter are conducting preparatory work on the realisation of the project on

"Marriage Patterns of Immigrants and Structural Assimilation" (C3). In a middle-range perspective it is planned to coordinate this project with the long-term panel study of the DFG Research Programme, probably in the form of an additional sub-sample of typical groups of immigrants and their families.

Key Publications

Articles

Brüderl, Josef and Thomas Klein (2003): Die Pluralisierung partnerschaftlicher Lebensformen in Westdeutschland 1960-2000: Eine empirische Untersuchung mit dem Familiensurvey 2000 . Pp. 189- 217 in: Bien, W. und Marbach, J. (Ed.): Partnerschaft und Familiengründung. Opladen: Leske+Budrich.

Brüderl, Josef and Frank Kalter (2001): The Dissolution of Marriages. The Role of Information and Marital-Specific Capital. *Journal of Mathematical Sociology*, 25, pp. 403-421.

Esser, Hartmut (2003): Soziale Einbettung und eheliche (In-) Stabilität. Pp. 117-139 in: Michael Feldhaus, Niels Logemann und Monika Schlegel (Ed.): Blickrichtung Familie. Vielfalt eines Forschungsgegenstandes. Festschrift für Rosemarie Nave-Herz anlässlich ihrer Emeritierung. Würzburg: Ergon.

Esser, Hartmut (2002): In guten wie in schlechten Tagen? Das Framing der Ehe und das Risiko zur Scheidung. Eine Anwendung und ein Test des Modells der Frame-Selektion. Kölner Zeitschrift für Soziologie und Sozialpsychologie, 54, issue 1, pp. 27-63.

Esser, Hartmut (2002): Ehekrisen. Das Re-Framing der Ehe und der Anstieg der Scheidungsraten. Zeitschrift für Soziologie, 31, issue 6, pp. 472-496.

Esser, Hartmut (2001): Das "Framing" der Ehe und das Risiko zur Scheidung, S. 103 – 126 in: Huinink, Johannes, Klaus Peter Strohmeier und Michael Wagner (Ed.), Solidarität in Partnerschaft und Familie. Zum Stand familiensoziologischer Theoriebildung, Würzburg.

Esser, Hartmut (1999): Heiratskohorten und die Instabilität von Ehen, S. 63 – 89 in: Klein, Thomas und Johannes Kopp (Ed.), Scheidungsursachen aus soziologischer Sicht, Würzburg.

Kalter, Frank (2001): Die Kontrolle von Drittvariablen bei der Messung von Segregation. Ein Vorschlag am Beispiel der familialen Assimilation von Arbeitsmigranten, Zeitschrift für Soziologie, 30, S. 452-464.

Research Department B

European Political Systems and Their Integration

European Political Systems and Their Integration

Department B encompasses three broad research domains, which were organised as three separate departments until 1998. The researchers in these departments were predominantly political scientists who are now concentrated in one department.

The three broad research domains are:

- I. Comparative Politics encompassing the following Research
 Areas
 - B1 Engagement, Participation, and Voters' Behaviour
 - B2 Political Parties and Political Linkage
 - B3 The Election of Parliaments as a Coordination Problem of Parties and Voters
- II. European Studies and International Relations in the Research Areas
 - **B4** Governance in Europe
 - B5 International Embeddedness of European Governance
 - **B6** Institutionalization of International Negotiation Systems
- III. Contemporary History of Central, South–Eastern, and Eastern Europe, that is the former Soviet Union and its satellites, in Research Area
 - B7 The Development of a European Regional System

Each area focuses on specific research questions – described below in the respective chapters – and not only on similar subjects of research like European nation–states or the European Union. Research Areas B1, B2, B4, B6, and B7 continue projects which build on a longer history of cumulative research, whereas research areas B3 and B5 are new additions to the Fifth MZES research programme (2002–2004). The seven Research Areas rely on various research paradigms, the projects within an area often following either a quantitative or a qualitative approach. We nevertheless stress the dialogue between approaches and among the projects of all seven areas. The main forum for this dialogue is the colloquium of the department, which meets weekly during the winter and summer terms of the university.

A more intense form of academic exchange and communication is collaboration between projects in order to profit from project-specific expertise. These relations between Research Areas will be emphasized when the three broad research domains are delineated in the following paragraphs.

3 research domains

7 research areas

I) Comparative Politics

Professorial staff: Jan van Deth Franz Urban Pappi Wolfgang C. Müller Empirical research in comparative politics was heavily influenced by the behavioural approach with its emphasis on survey data of national electorates or national elites. In Germany, this approach to comparative politics is associated with political sociology, whereas the institutional or neo-institutional approach would be classified as comparative government (Vergleichende Regierungslehre). The projects of Research Areas B1, B2, and B3 rely mainly on survey data when studying engagement, participation and voters' behaviour (B1), political parties and political linkage (B2), or the election of parliaments as a coordination problem of parties and voters (B3). But the institutional approach also blossomed into some excellent project publications, such as the edited book by Hermann Döring on "Parliaments and Majority Rule in Western Europe" (Frankfurt and New York, 1995) or the monograph by André Kaiser on "Mehrheitsdemokratie und Institutionenreform" (Frankfurt and New York, 2002).

With the European Union assuming more and more characteristics of a federal state, the traditional boundaries between the fields of comparative politics and international relations become blurred when studying EU institutions and policy-making. Thus models explaining collective decision-making of national governments, developed and applied in earlier projects by Pappi, Henning, König, and Bräuninger, were later applied to EC policy making in EU projects of Research Area B6. Further cross-fertilizations among the three broad research domains will be discussed in the following sections.

II) European Studies and International Relations

Professorial staff: Beate Kohler-Koch Research on European integration, on the European Community or the European Union has been one of the strongholds of MZES research since the early 1990s, i.e. since Beate Kohler-Koch joined the Faculty of Social Sciences and MZES. The highlights in this period were the early projects on the role of regions in multilevel governance and the projects of the DFG-funded Special Research Area Programme "Governance in the European Union" (DFG-Schwerpunktprogramm, coordinated by Kohler-Koch). The list of successful projects will now be continued with the EU funding of a network of excellence on the topic of "Efficient and Democratic Governance in a Multi-Level Europe. Connecting Excellence on European Governance" (CONNEX).

EU research being an academic growth industry, we decided not to include all EU projects in a single Research Area, but rather to

separate EU's "domestic", i.e. internal politics and policy from its "foreign" or external policy. Thus, the Research Area B4 "Governance in Europe" includes projects addressing issues of internal politics and policy, while projects focusing on external policy are included in Research Area B5, which investigates the international embeddedness of EU governance and may build a bridge to more general questions of international relations in the next Research Programme.

Research Area B6 also includes some EU projects, but again with a distinctive research question. The MZES researchers here collaborate with colleagues from the Economics and Law Faculties and from the Centre for European Economic Research (ZEW, Mannheim). This collaboration is funded as a DFG–Research Group for a six-year period ending in 2005. The goal is to explain institution-building in the international, originally anarchic system. The MZES projects focuse on the EU, which is seen as the endpoint of a scale of increasing institutionalisation of an international negotiation system.

III) Contemporary History of Central, Southeastern, and Eastern Europe

Research Area B7 and its projects focus on regional integration centered around the Russian Federation and the problems of nation-building in the era of transformation after the dissolution of the Soviet Union. The specific research question concerns the interrelationship between domestic political developments and the involvements of these states in western international organizations like EU or NATO on the one hand versus an independent or Moscow-oriented foreign policy on the other hand.

In this Research Area, the all-European international system is of similar importance as in Research Area B5. The relationship between these two Research Areas will be strengthened by a project on international and non-governmental conflict management in Southeast Europe.

The projects apply qualitative methods, analysing official documents of governments and parties, newspapers and other periodicals. This historical approach has the advantage of achieving results which can be easily communicated to journalists and the general public, especially if the findings are complemented by semi-standardized elite interviews.

Present and Former Senior Research Staff of Department B

Senior MZES Researchers are all professors at the Faculty of Social Sciences of the University with the normal teaching load of nine

Professorial staff: Egbert Jahn hours per week (increased from eight hours starting with the winter term 2003/04) during seven months in the academic year. In order to strengthen the research capacities of the MZES, the institute started a fellowship programme in 2002, recruiting three fellows at the academic level of "Privatdozenten", two of whom contribute to the research programme of Department B in the next five years.

Research Fellows

- 1. Since September 2002, Frank Schimmelfennig has a full-time appointment at Department B with the domain of European Studies and International Relations, thus strengthening its research potential. There he complements the research profile ideally by connecting research issues in different Research Areas. While his projects on the Eastern enlargement and the constitutional politics of the EU-constitution building concern core issues of the Research Areas B4 and B5, his research interest in strategic action in international communities raises questions which are also tackled in Research Area B6.
- 2. Daniele Caramani is associated with Department A and B. In Department B he complements both Research Areas B1 and B4. His research interests in concepts of European integration as advocated by political parties and supported by parts of the electorates and in their relationship to traditional social cleavages fit into both Department B and Department A.

Privatdozenten and academic careers at MZES

Evaluating the political science projects at the MZES over a longer period of time (since the early 1990s), one important aspect is the academic success of its past and present researchers. A university institute like MZES hires young scholars who still have to write their PhD dissertations or Habilitation theses. Focusing only on the latter, more advanced group, it should be mentioned that three of its present staff members are "Privatdozenten" (Andrea Römmele, Frank Schimmelfennig, Hermann Schmitt) and the following former members are now professors at universities in Germany or England: Herbert Döring, Christian Henning, Markus Jachtenfuchs, Thomas König, Thomas Poguntke, Rüdiger Schmitt-Beck.

Future Research Perspectives

As far as future research at the MZES is concerned, a precondition of further success is that the professors of the Faculty of Social Sciences remain engaged in the research programme of the Centre. For Comparative Politics the continuation of two Research Areas in the near future is already guaranteed. The political sociology projects now covered by Research Area B1 and related projects on

electoral behaviour in other Research Areas, are now directed by two professors at the Faculty of Social Sciences (van Deth, Pappi). The second stronghold will be comparative government (government formation, decision-making of parliaments) which will be strengthened in the near future by the recruitment of Prof. Wolfgang C. Müller, formerly at the University of Vienna and since October 2003, Professor of Political Science at the Faculty of Social Sciences of Mannheim University. The more specialized Research Area B3 is part of Sonderforschungsbereich 504 "Rationalitätskonzepte, Entscheidungsverhalten und ökonomische Modellierung" and will be finished with this SFB.

With regard to European Studies, the Faculty of Social Sciences and MZES agree that this domain has to remain a landmark of Mannheim social science. The problem will be whether the large field of EU Studies and International Relations can be combined in one chair at the Faculty of Social Sciences in the future. For the MZES it will make sense to continue the separation of domestic EU politics and policy from the international system, treating regional integration not exclusively within the EU context.

Finally, concerning the developments in Central and Eastern Europe, the area studies presently pursued on this region are made possible by the specialization of the present Professor of Political Science and Contemporary History at the Faculty of Social Sciences, Egbert Jahn, and his associates who are able to speak and read the respective languages. It is doubtful whether the faculty will be able to recruit a new professor with a similar profile as its contemporary historian. Nevertheless, from the MZES viewpoint, it will be crucial to continue research at least on new Central and East European member states of the EU either from an international relations, a comparative politics or a contemporary history perspective.

In addition, new recruitments to tenured political science professorships at the Faculty of Social Sciences open new opportunities for the research programme of Department B, especially in cases where the respective requirements of faculty and MZES can be taken into account simultaneously. This was possible when the faculty had to recruit a successor for Graf Kielmansegg. Since October 2003, Wolfgang C. Müller is holding that chair of Political Science. He will predominantly teach comparative government, with an emphasis on European parliamentary systems. This specialty will fit perfectly into the research programme of Department B.

Wolfgang C. Müller is preparing a research agenda on legislative politics and lawmaking in Europe In the following sections each of the Research Areas of Department B is described in more detail. Since Prof. Müller's future research agenda is not yet integrated into one of the established Research Areas, an outlook of his planned research agenda on legislative politics and law making in Europe is included at the end of the descriptions of the present Research Areas. In addition, we include a section on two associated projects of the former head of Research Area *Geschichte und Politik der DDR*, Hermann Weber, who continues his research on communism as a professor emeritus.

Research Area B1

Engagement, Participation, and Voters' Behaviour

Projects in Area B1

Completed Projects

Core Projects

C1 Braun, Elff, Lasthuizen, <u>van Deth</u> (1996-2000)

Political Interest, Participation and Affect in Representative Democracies

Supplementary Projects S1 <u>van Deth</u> (2000–2002)

Citizenship, Involvement, Democracy (CID). International Network of Researchers

Ongoing Projects or in Preparation

Core Projects

- C2 Roßteutscher, van Deth, Zmerli (2000-2004)
 Citizenship, Involvement, Democracy: An International Comparison
- C3 Abendschön, Berton, Schäfer, Stuck, <u>van Deth</u> (2000–2006) *Learning to Live Democracy (LLD)*

Supplementary Projects

- S2 <u>Roßteutscher</u>, <u>van Deth</u> (2000-2004) Welfare through Organisations: A Comparative Analysis of British and German Associational Life
- S3 <u>Zittel</u> (2000–2005)
 Parliaments, Representative Government and New Electronic Media
 Environments: An International Comparison
- S4 <u>van Deth</u> (2002-2004) Society and Democracy in Europe. German Part of the Project "European Social Survey (ESS)"

Engagement, Participation, and Voters' Behaviour

1. Research Questions and Aims

Projects in this Research Area concentrate on democratic decision–making and the process of interest articulation with an emphasis on individual behaviour and orientations. They focus on the development of various modes of social and political engagement and participation, which are seen as a core requirement for the endurance of democratic political systems. A revival of civic engagement and citizenship – combining different modes of private and public concerns – might offer a solution for a number of social, political, and societal problems. In addition, the introduction of new technologies (internet, email) presents unique opportunities for new forms of engagement and participation.

The central question of Research Area B1 is focused on: how can the claims and expectations of an emancipated and individualised citizenry, be attuned to the requirements of democratic decision-making in mass societies? Negatively formulated, this means that the chances for democratic decision-making have to be ascertained with respect to the development of apathy, disaffection, and alienation among citizens. Major themes in the research projects are the relationship between social and political engagement and the development of 'civil society', the opportunities of new information and telecommunication technology for political participation, and the political socialisation of young pupils.

National contexts (in structural and in cultural terms) still provide the most important context for the development of different modes of social and political engagement of citizens in various countries. General explanations and interpretations, therefore, should take national contexts into account and accentuate the context- and path-dependencies of social and political engagement. For the research questions in this area, institutional aspects of the European integration process are part of the changing context and play a secondary role. Integration is conceptualised here as the possibility that the political cultures of different nations may grow closer. The basic premise is that long-term social developments in various countries will lead to a convergence of cultures at the national level as well as to increasing differentiation at sub-national levels. These processes of cultural convergence and differentiation can also be seen as important prerequisites, promoters of, or obstacles to European integration.

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Convergence of cultures at the national level and increasing differentiation at sub-national levels

The present projects continue the approach to political engagement developed in recent years. Especially the project 'Political Interest, Involvement, and Affect' (C1) focused on the socio-economic and institutional contexts for the differences and changes in political engagement in Europe (see van Deth 2000; van Deth and Elff 2001 and 2004). This extensive project has been completed. The next step is to study the impact of social contacts and social engagement on political engagement - which is the main question to be answered by the projects presently carried out (C2, S1 and S2). A third step is concerned with socialisation processes, and for this purpose a first project concerning the orientations of first grade pupils has already been developed and empirical research began in 2004 (C3). In this way, three main and complementary approaches - socio-economic and institutional contexts, social networks and engagement, and socialisation - to explain differences and changes in political engagement are being investigated. The current projects focus especially on the second main area (social networks and engagement) and work has already started to deal with the third subject (political socialization of young children).

2. Main Lines of Research

The following common aspects characterize each project in Research Area B1:

- They focus on the orientations and expectations of individuals;
- The scope and meaning of specific modes of engagement for individual citizens are to be established in empirical ways;
- Engagement is a dynamic process;
- Impacts of changing opportunity structures (institutional, cultural, technical) are deliberately taken into account.

Research projects in this area cover three main themes:

- 1. Interdependencies between modes of social and political engagement. Specific projects dealing with this theme are:
 - Citizenship, Involvement, Democracy (CID). International Network of Researchers (S1)
 - Citizenship, Involvement, Democracy: An International Comparison (C2)
 - Welfare through Organisations: A Comparative Analysis of British and German Associational Life (S2)
 - European Social Survey (S4)

- 2. Impact of new technologies for citizens' engagement. The relevant project here is:
 - Parliaments, Representative Government and New Electronic Media Environments: An International Comparison (S3)
- 3. Development of social and political orientations. This theme is covered by the project:
 - Learning to Live Democracy (LLD) (C3)

Since projects in this area concentrate on democratic decision-making and the process of interest articulation with an emphasis on individual behaviour and orientations, several links with projects in other MZES Research Areas focusing on institutional and organisational aspects are evident. Links exist with "European Party Federations" (Research Area B2- project C3), "The Euromanifestos Project" (B2 – C2), and "Electoral System and Coalition Government as Incentives for Strategic Voting" (B3 – C2).

3. Selected Results

The area of social capital, citizenship, civil society, social involvement, trust, and, more generally, the chances and opportunities for improving citizens' involvement in democratic societies, represents one of the most controversial topics in the social sciences in the last few years. Debated is whether associations really provide a cure against the diverse pathologies (e. g. Decreasing electoral participation, increasing cynicism towards politics in general) of contemporary representative democracy. The projects (C2, S1 and S2) on "Citizenship, Involvement, Democracy" (CID) enable an examination and evaluation of available predominantly normative approaches by integrating the results from national studies (representative surveys as well as organisation studies) into a common comparative framework. At present, high-quality survey data collected in 13 societies (Denmark, Switzerland, East and West Germany, Russia, Portugal, Norway, Spain, The Netherlands, Slovenia, Moldova, Romania, Sweden) are available. An additional organisation study in six European cities/communities (Aberdeen, Mannheim, Bern, Aalborg, Enschede, Sabadell) makes it possible to study the structure of voluntary sectors and chances for participation and mobilisation in very different contexts. In addition, the first wave of the European Social Survey (covering 23 European countries including Turkey and Israel; project S4) includes an extensive module based on the questionnaire used in the CID project (C2).

Political engagement and political values are not simply a 'by-product' of available social capital Extensive secondary analyses of older data (especially the World Values Surveys and Eurobarometers) show that the presumed positive relationships between social engagement, trust, and norms and values are difficult to identify empirically. In general, members of different voluntary associations are characterized by higher levels of democratic attitudes and involvement. For instance, members are more satisfied with democracy than nonmembers. Another example is that politics has a greater saliency for members than for non-members. That is, compared to other areas of life such as work, family, leisure or religion, politics is of relatively high importance to members of associations (see Fig-

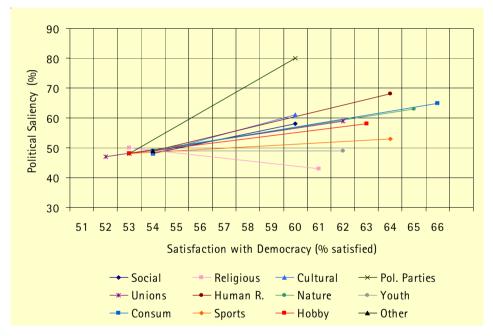


Figure 1: Satisfaction with Democracy and Political Saliency among Members (right points) and Non-Members (left points) of Voluntary Associations (Source: Eurobarometer; 1998)

ure 1). However, frequently these differences are modest and mainly restricted to members of political parties and various social movements. Only for members of religious organisations, we find that their average level of political engagement is lower than for non-members. Furthermore, political engagement and political values are not simply a 'by-product' of available social capital, but have a variety of other sources as well. Therefore, much of the literature on democracy and social capital can be challenged on the basis of our preliminary findings. However, this poor corroboration of the expectations is restricted to the micro-level only; on the cross-national level many expectations

about the positive consequences of social engagement, trust, and norms and values for democratic citizenship are confirmed (see Gabriel, Kunz, Roßteutscher, and van Deth 2002). This paradoxical result provides the main challenge for further research.

Preliminary analyses of the structure of the voluntary sector on the basis of the CID data aimed at two rather different goals, both of which started with attempts to reduce the never-ending list of different voluntary associations. Firstly, the organisation study of the CID project was used to explore the possibilities to construct a taxonomy of various voluntary associations (see Roßteutscher and van Deth 2003). On the basis of this taxonomy, the astonishing variety of voluntary engagement indeed can be summarized in a systematic way, to a more convenient, empirically based overview of three main categories with a total of eight subgroups (Family and raising children, Leisure activities, City politics, Neighbourhood politics, 'New Politics' concerns, General welfare concerns. Target group concerns, and Interest representation). This taxonomy provides the basis for further empirical analyses on the relationships between social engagement and democratic citizenship.

A second attempt to reduce the list of voluntary associations focuses on the various modes of political participation and trust on the one hand, and the explanation of these phenomena on the basis of various types of social engagement on the other. Here the well-known distinction between 'bonding' and 'bridging' voluntary associations is relevant. After characterising voluntary associations on the basis of their 'constitutive goods' as stimulating more 'bridging' or more 'bonding' types of social capital, extensive analyses confirmed the expectation that 'bridging' associations are much more relevant for the development of political participation and trust than 'bonding' organisations are (see Zmerli 2002 and 2003, respectively). This result clearly shows that even a rather simple distinction between voluntary associations highly improves our knowledge about the democratic effects of social engagement.

The development of institutional opportunities for political involvement via the use of computer networks is the focus of a comparative study on political representation in Germany, Sweden and the United States (S3). As it turns out, political elites across these three cases are under pressure to strengthen the linkage function of individual representatives via new media and to thus open up new avenues for political participation. Howev-

Trends towards electronic democracy still vary with political context

er, these trends towards electronic democracy still vary with political context; this finding contradicts popular notions of 'cyberoptimism' and 'cyberscepticism'. The different positions of members in parliamentary systems and in presidential systems explain many of the differences in the way the opportunities of the Internet are used by political elites. In addition, legal rules in different countries result in different usages of, for instance, discussion forums (see Zittel 2001).

4. Future Research

The current projects in this area are in different phases of completion. The two parts of the CID project have reached the phase of preliminary data analyses, and a number of papers have been written. In these analyses the relationships between contextual and structural factors on the one hand and aspects of democratic citizenship on the other are emphasized. At a meeting in Madrid in November 2002 the CID group discussed more than 20 papers. The modified versions of these papers will be published in 2005 in two volumes. Working titles are:

- Citizenship and Involvement Among the Populations of European Democracies (edited by Jan van Deth, José Ramon Montero, and Anders Westholm);
- The Voluntary Sector in European Cities (edited by William Maloney and Sigrid Roßteutscher).

In addition to the publication of the first results of the international parts of the CID project, several other publications are planned. The first wave of the European Social Survey is available now, and a publication (edited by Jan van Deth) contrasting the main findings for Germany with those obtained for the other countries is already prepared by a group of German social scientists from different universities for publication in late 2004. Furthermore, the extensive data collections about the voluntary sectors in Mannheim and Aberdeen are used for a book publication focusing on the role of welfare organisations: "Welfare through Organisations", by William Maloney, Sigrid Roßteutscher, and Jan van Deth.

Crucial impulses for the development of democratic attitudes start at a young age. Based on this assumption a new project has been developed focusing on pupils at a young age (C3). This project aims to obtain information about political involvement, understanding, and basic orientations of children toward European democracy by the time they enter elementary school. In addition, interviews with parents and teachers are planned, as well as the

collection of information about schools (neighbourhood, 'school climate', resources etc.). In this way, the relative impact and relevance of socialisation agents and instances can be estimated. Pupils aged 6–7 years will be interviewed at the start and end of their first school year. For this purpose a questionnaire is designed for children who cannot read or write. The results of the pre-test of this questionnaire clearly show that political and social attitudes can be registered in a meaningful way: children of this age group possess consistent attitudes that can be measured with standardized instruments. The German Research Foundation (DFG) approved this project in May 2003 and provides substantial financial support for a period of three years.

Mid-term planning goals follow directly from the core research projects developed in the last few years. The 'Learning to Live Democracy' project will be the core project for the next years. Contacts are already available with researchers in Britain, the Netherlands, and France to explore the opportunities for collaboration and exchange of experiences in the area of political socialization. The challenge to develop cross-national research on early socialisation of young children is probably one of the most exciting problems to be dealt with. In addition, the German Research Foundation (DFG) funded the second wave of the European Social Survey 2004. Successive waves of the European Social Survey will become available for further research on a biannual basis. With the availability of empirical findings about political socialisation and various waves of the European Social Surveys in the near future, it will become possible, to attempt to compare and integrate the results of the major projects developed in the last few years, that is, to consider the impact of socio-economic and institutional contexts, social networks and engagement, and socialisation on the differences and changes in political orientations.

Challenge to develop cross-national research on early socialisation

Key Publications

Books

Gabriel, Oskar W., Volker Kunz, Sigrid Roßteutscher and Jan W. van Deth (2002): Sozialkapital und Demokratie. Zivilgesellschaftliche Ressourcen im Vergleich. Wien: WUV-Universitäts-Verlag.



Roßteutscher, Sigrid (Ed.) (2004): Democracy and the Role of Association: Political, Organizational and Social Contexts, London: Routledge. (in print)

Articles

Deth, Jan van and Martin Elff (2004): Politicisation, economic development, and political interest in Europe. *European Journal of Political Research*, 43, issue 3, pp. 475–506.

Deth, Jan W. van (2000): Interesting but irrelevant: Social capital and the saliency of politics in Western Europe. European Journal of Political Research, issue 37, pp. 115– 147.

Roßteutscher, Sigrid (Ed.) (2003): Symposium: Social Capital: Towards a 'new' research agenda. *European Political Science* 2 (3).

Roßteutscher, Sigrid (2002): Advocate or Reflection? Associations and Political Culture. *Political* Studies, 50, issue 3, pp. 514–528.

Zittel, Thomas (2004): Digital Parliaments and Electronic Democracy: A comparison between the US House, the Swedish Riksdag, and the German Bundestag. In: R.K. Gibson, A. Römmele, S.J. Ward (Ed.): Electronic Democracy. London: Routledge. (in print)



Zittel, Thomas (2004): Political Representation in the Networked Society: The Americanization of European Systems of Responsible Party Government? *Journal of Legislative Studies*, 9, issue 3. (in print)

Zmerli, Sonja (2003): Applying the concepts of bonding and bridging social capital to empirical research. *European Political Science*, 2, issue 3, pp. 68–75.

Research Area B2

Political Parties and Political Linkage

Projects in Area B2

Completed Projects

Core Projects

C1 Römmele, <u>Schmitt</u>, and TMR grant holders (1998-2001) Political Representation and Electoral Behaviour in the European Union / (EU TMR Programme)

Supplementary Projects

S1 <u>Schmitt-Beck</u> (1995–1999)
Intermediation Environments of Voters: An International Comparison

S2 <u>Poguntke</u> (1996–1999)
The Development of Organizational Linkages between Parties and Society in Western Europe (1960–1990)

S3 <u>Kaiser</u> (1996-2000)
 Majoritarian Democracy and Institutional Reform.
 A Comparative Study of Australia, Britain, Canada and New Zealand

Ongoing Projects or in Preparation

Core Projects

- C2 Binder, Schmitt, Wüst (2002-2005)
 Comparative Analysis of Party Platforms for the European Election.
 (The Euromanifestos Project)
- C3 Ayirtman, <u>Poguntke</u>, Pütz, <u>van Deth</u> (2001–2004) Parties and Democracy in the European Union: Euro-Parties as New Democratic Intermediaries?

Supplementary Projects

- S4 Binder, <u>Schmitt</u> (2001–2003)
 Political Leaders and Democratic Elections
- S5 <u>Schmitt, Wüst</u> (2002-2003) Survey among the Candidates Standing for Office in the German Federal Election of 2002 (German Candidate Study)
- S6 Gibson, <u>Römmele</u> (2002–2003) The Role of New ICTs in the German Federal Election of 2002
- S7 D. Müller, <u>Römmele</u>, Woywode (2002-2004) New ICTs and the Innovation Capacity of Political and Commercial Organisations
- S8 <u>Gschwend</u>, Leuffen, Pütz, Römmele, <u>Schmitt</u> (2002-2005) The French Study: Political Leaders in the Super-Election of 2002 in France

Political Parties and Political Linkage

1. Research Questions and Aims

In representative democracies political parties are the key intermediaries between citizens and the state. Among other things, they organise general elections; formulate electoral platforms; mobilise the electorate; structure the vote; and form governments. Through all of this political preferences of voters are formed and transformed – however imperfectly – into electoral mandates of party governments, and ultimately into public policy.

While political parties have been in charge of this linkage function during most of the 20th century, they are now confronted with major challenges. These challenges affect both angles of political linkage, the citizenry and government, as well as the very process of political linkage. On the side of the citizenry, processes of social modernisation are changing the social and political skills and involvement of many citizens. Government, at the other side of the linkage mechanism, is being thoroughly transformed by the growing complexity of multi-level government structures. The latter is of course characteristic of the polities of EU member-countries. But it goes beyond that; complexity is growing as well on the level of the nation-state, as processes of devolution and recurring situations of divided government demonstrate. The process of political linkage itself, then, is thought to be changing not least as a result of rapid changes in mass political communication.

Against this background, the central research question of this Research Area is how political parties are performing their linkage task between citizenry and government under conditions of social-structural and political-structural change. Under somewhat varying perspectives, and regarding different levels of the multi-tiered system of government of the European Union, the seven projects in this Research Area all pursue this common research question.

This Research Area is a newcomer to the 2002–2004 Research Programme of the MZES. However, some of its constituent projects – those related to the series of European Election Studies being one example – have a long history and have been pursued in the framework of former Research Programmes. A special feature of the Research Area is to apply one and the same research perspective both to the political system of the European Union and to its constituent national polities.

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How well are political parties performing their linkage task between the citizenry and government?

Research centres around political parties

- their organisation and internal functioning, their campaign activities, the characteristics and electoral relevance of their political personnel, and the ability to represent their voters

2. Main Lines of Research

2.1. Party Organisation

Two research projects are dealing with the organisation and internal functioning of political parties, one focusing on European party federations and the other on national parties. Thomas Poguntke and Jan van Deth analyse the organisational development of EU party federations and their changing political role in the European political process (C3). So far, these transnational party federations have played only a minor role in policy formulation and decision-making at the European level. However, the increased importance of the European Parliament in conjunction with the official recognition of Euro-parties in the treaties of Maastricht and Nizza have created a more favourable environment for them, suggesting that both their organizational strength and their political influence will grow. The project will pay particular attention to the potentially shifting balance of importance between national political parties and their Europarties as democratic inter-mediaries. It will address the guestion of whether and to what extent Euro-parties can help improve the democratic accountability of the political process of the European Union, thereby enhancing the legitimation of European governance. To this end, the project combines the analysis of party documents with a large number of elite interviews covering actors within national parties, Euro-parties, the European Commission and the European Parliament.

The other project on the organisation and internal functioning of political parties focuses on new information and communication technologies (or ICTs) and the innovative capacity of political and commercial organisations (S7). This inter-disciplinary project ana-lyses how political (=parties) and commercial organisations (=firms) make use of new ICTs and whether this use triggers organisational innovation. Whereas political organisations have so far mainly been analysed according to their external communication ties, analyses of commercial organizations have focused predominantly on the internal use of new ICTs. This project sets out to combine these two perspectives. It aims at insights of a rather general nature on the influence of new ICTs on organizational development.

2.2. Parties and Election Campaigns

Election campaigns are classic periods of intense political communication between citizens and political parties. They provide ideal opportunities to study the linkage capacity of political parties. Taking the German federal election of 2002 as their empirical object, two projects are looking into the election campaigns of political parties.

One project directed by Andrea Römmele analyses the use of new ICTs during the campaign (S6). It investigates the relevance of the internet for political parties in a national election campaign. Do parties use these new ICTs at different organisational levels for campaigning? And if so, what are they using them for? Comparing the German case study to earlier research in the UK and Australia, the question is asked: do country-specific contexts make a difference?

The other project in this domain is a survey among the constituency candidates of the "relevant" political parties standing for office in the German Federal Election 2002 (S5). The study covers a variety of topics - campaign activities of respondents, their recruitment and political career, social and political attitudes, and social background. The central research question is whether parties and their candidates have indeed lost touch with their voters, and if so, which factors have contributed to this. 51% of the candidates have been participating in the survey, which is part of an international comparative research project. Largely identical questionnaires have been used in previous surveys among candidates standing for office in parliamentary elections in Australia, New Zealand, Great Britain and the Netherlands. In each of these countries, a number of questions have also been asked in representative voter surveys to allow for cross-level comparisons.

2.3. Party Leaders and the Vote

Two further projects tackle the characteristics and political relevance of party leaders (rather than those of constituency candidates). One is directed by Hermann Schmitt and analyses the impact of leader characteristics on vote choices in both diachronic and cross-national comparative perspective (S4). While long-term determinants of mass electoral behaviour such as political party affiliation and social-structural locations are said to become less important, the relevance of short-term factors – political leaders and issues – is said to increase. The central research questions of this project are whether political leaders indeed have become more important for vote choices over time; whether their relevance varies according to the institutional and political context of a voting decision; and what this actually means for the

Campaigns: the high season of political linkage?

How important are party leaders for the vote?

quality of the linkage process between society and politics and for representative democracy more generally.

Closely related is project S8, directed by Hermann Schmitt and Thomas Gschwend, which seeks to provide comparable survey information on the impact of political leaders on vote choices in France. Due to its electoral and party system, France is a very particular case in comparative party and election studies. While this adds to the professional interest in empirical information about French electoral politics, comparable data are scarce. By administering the CSES II module (Comparative Study of Electoral Systems) among a representative sample of French voters between the presidential and parliamentary elections of 2002, this project aims at diminishing that information gap.

2.4. Representation

Two studies belong in this final category: the EU-funded Training and Mobility of Researchers (TMR) Network "Political Representation and Electoral Behaviour in the European Union" (project C1), and the DFG-funded Euromanifestos project (C2). Both were initiated and directed by Hermann Schmitt. Organised by the MZES in Mannheim, the TMR network was operative during a total of four years, from May 1998 through May 2002. In addition to the MZES, eleven prestigious research institutes and political science departments covering most of the present EU member countries contributed to this network by the exchange of some of their PhD students and post-doctoral researchers, contributions to network training programmes, and by joint research initiatives and publications. More then 30 so-called "Young Scholars" have participated, over a dozen dissertations have been produced to date, and more than 50 cooperative publications have been stimulated by, and in one way or another made possible by, this programme.

This network was based on three pre-existing cooperative research enterprises – the European Election Study (EES), the International Committee of Research into Elections and Representative Democracy (ICORE), and the Comparative Study of Electoral Systems (CSES) – all of which are also involved in primary data collection. This network has been a stunning success. In an instrumental perspective, it shaped a new generation of election researchers extending over most of Western Europe. In substantive terms, it helped to reestablish the original close link between studies of voting and representative democracy. And finally, in professional terms, it tried to counterbalance the some-

How close is the "representational bond" in the European Union?

what unproductive predominance of economic views of voting and democracy by advancing social-psychological and mass communication-based approaches.

The second project in this category is the Euromanifestos Project. It is part of the European Election Study 1999 (EES'99) which focuses on the conditions for effective political representation in the European Union. Within this broader context, the Euromanifestos project studies which issues parties emphasise in their election manifestos and under what conditions these issues become salient to the voter and relevant for their vote choices. The degree of congruence between the programmes which parties promote on the occasion of elections at different political levels (national vs. European Parliament elections) is also established, as is the responsiveness of political parties to changing political concerns of the voters.

Empirically, the project concentrates on political parties' issue emphasis and on the governmental frame in which the different issues are presented (national or European or unspecific). For comparative reasons, the well-established coding frame of the Comparative Manifesto Project has been adapted in a modified version (modifications were necessary to be able to document the governmental frame of arguments as well as specific European issues). The findings of the Euromanifestos analysis will be compared to other elements of the EES 99, such as the media content analysis, the post-election survey and the EP roll call data collected by other members of the international EES project team.

3. Selected Results

The two party organisation projects in this area are still very much in their early stages, and it would be premature at this point to present their major results.

The campaign studies have also just recently begun analysing the data they generated. With regard to the use of new ICTs for campaigning in Germany in 2002, the first impression is that electoral and political factors are more relevant to explaining the major parties' use of the web, while socio-structural factors of the constituency explain the minor parties' activities better. Surprisingly little variance is observed in site content, but party size and outlook does appear to be linked to the overall presence online.

With regard to the German Candidate Survey, first analyses suggest that directly elected MPs are more representative of the

Direct representation reflects voters' concerns, while proportional representation reflects their ideology concerns of their parties' voters, while list-elected MPs are closer to the overall ideological position of their parties' electorate. A first comparison of German and New Zealand Candidate Survey findings concentrated on elite support for electoral arrangements. Both countries share a "mixed member proportional" (MMP) electoral system that combines single member districts with proportional representation. New Zealand's experience with MMP is relatively brief, having held just three elections since 1996, while Germany's experience dates back to the 1950s. Using data collected through surveys of parliamentary candidates, the influence of various factors such as partisan self-interest, minority status, incumbency, ideology, and attitudes about candidate selection on support for the electoral system can be investigated. Overall support for MMP is strong in both countries, with small parties and those to the left of the ideological spectrum being most supportive of the system. Those who are dissatisfied with MMP are likely to be those dissatisfied with the candidate selection process or those in the opposition.

Personalities of political leaders are not becoming more important for voters

The study on the role and importance of political leaders is somewhat more advanced, and results are more firm in this domain. The forthcoming book will feature two main findings. One is that there is no uniform trend. This implies that there is no uniform secular trend of the kind that leader evaluations are becoming more important for the vote. The second main finding is that leader effects on the vote are conditional (see table 1). The conditions under which leaders are becoming more important are

Table 1: The Impact of Party Evaluations and Leader Evaluations on Party Choice (figures are effect coefficients from multiple regression analysis)

| | | b | beta | sig. t | |
|--|----------------------|----------------|--------------|--------|--|
| Main effects: | | | | | |
| party evaluationleader evaluation | | .0395 .0222 | .264 .158 | .000 | |
| Interactions between leader eva characteristics: | luation and party | | | | |
| - size of party (party's share of va- ideological distance between so | | .0014 0073 | .416 211 | .000 | |
| Interactions between leader evaluation and system characteristics: | | | | | |
| - presidential vs. parliamentary s | ystem (1/-1) | .0133 | .142 | .000 | |
| Multiple R Variance explained | .687 47,2 percent | | | | |

Source: Political Leaders and Democratic Elections Project. A subset of all available national election studies from the nine countries under study are analysed, those which allow to compute a left-right distance between voters and parties. These are mostly studies from the mid-1970s on. N of cases (stacked data matrix) = 222 745. Effects smaller than β =.05 are not reported.

three. The first condition is the type of party: leaders of large (potential) government parties are more important for the vote, and perhaps increasingly so. The second condition is the regime type: leaders in presidential systems are somewhat more important for the vote than elsewhere. The third condition puts leader effects under some reservation: the evaluation of leaders of ideologically close parties is more important for the vote than that of leaders of more distant parties.

Studies on the effectiveness of political representation, finally, have a tradition at the MZES. The 1994 European Election Study, in combination with surveys among EP candidates, MNPs and MEPs, was essentially a representation study. The major finding of this study was that the process of political representation in the European Union works well as long as issues of normal politics are at stake. However, it works less well with regard to EU constitutional politics (Schmitt and Thomassen 1999). This was explained by the apparent lack of salience of "Europe" among voters. These findings suggested it was worth taking a closer look at the processes of political communication as well as at the "input" of political parties into this process when the 1999 European Election Study was conducted. Therefore the current Euromanifestos project determined what the parties actually say in their EP election programmes. First analyses of these data found that parties seem to fail to articulate the concerns of their voters. While they talk a great deal about Europe and the EU, they are unable to link these topics with the more mundane issues that voters really worry about, such as unemployment or the more general state of the economy (Schmitt and Binder 2004, see figure 1).

4. Future Research

The electoral connection requires meaningful choice sets (Schmitt and Wessels 2003). If there is no meaningful choice, the linkage function of political parties, which relies heavily on the electoral mechanism, is bound to fail. One perspective of future research in this Research Area therefore concentrates on the preconditions of meaningful choices which involve parties' ability to articulate voters' concerns; their ability to communicate them effectively during the campaign; and their ability to represent them in formulating governmental policies. Meaningful choice sets are needed in elections at every level of the multi-level system of the EU. Diverse choice options at lower levels (e.g. national parties) need to fit together into larger coalitions

European Union democracy works well as long as issues of normal politics are at stake. It works less well with regard to EU constitutional politics

(e.g. EU party federations) at higher levels, etc. This could become a particularly pressing problem after the enlargement of the Union towards Central and Eastern Europe.

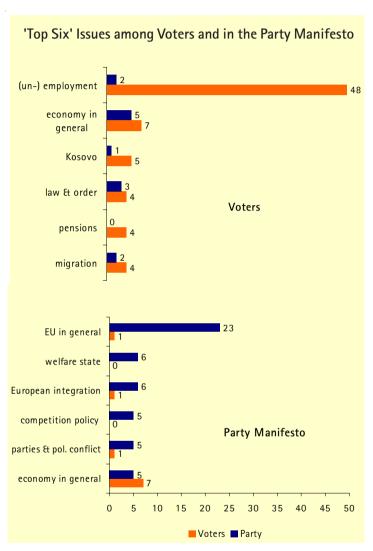


Figure 1: Issue Congruence between the European Peoples Party and Its Voters in 1999

Source: European Election Study 1999 post-election surveys and the Euromanifesto project

Key Publications

Books

Aarts, Kees, André Blais and Hermann Schmitt, (Ed.) (2004): Political Leaders and Democratic Elections. Oxford (forthcoming).

Römmele, Andrea, Rachel Gibson and Stephen Ward (Ed.) (2003): Schwerpunktheft "Party Politics on the Net". London: Sage.

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Research Area B3

The Election of Parliaments as a Coordination Problem of Parties and Voters

Projects in Area B3

Completed Projects

Core Projects

C1 Hinich, Pappi, Shikano (1999-2001)

Electoral Competition and Decision Making in

Multiparty Systems

Ongoing Projects or in Preparation

Core Projects

C2 Gschwend, <u>Pappi</u> (2002-2005)

Electoral System and Coalition Government as Incentives

for Strategic Voting

Supplementary Projects

S1 Gschwend, Schmitt (2002-2005)

The French Study: the Strategies of Voters in the

Super-Election of 2002 in France

S2 Shikano (2003-2004)

The Change of the Coordination Problem from the Single Non-transferable Vote to a Parallel System

The Election of Parliaments as a Coordination Problem of Parties and Voters

1. Research Questions and Aims

Parliamentary democracy with multiple disciplined parties is the predominant type of governmental regime of the member states of the European Union. National parliaments are elected by different electoral systems, but insofar as single parties do not gain majorities in the national assemblies, government formation is a complicated process ending in a coalition of several parties, i.e. either in minimal winning coalitions, oversize coalitions or minority governments. In all three situations, major policy changes initiated as government bills will only be passed into law if governments are backed by the necessary parliamentary majorities. Thus, policy-oriented parties and voters have to take into account potential governments even before elections, since a single party may not gain the opportunity to implement its ideal policies, which it communicated to the public in its election platform.

We distinguish three types of coordination problems:

- 1) Coordination between parties concerning coalition options,
- coordination among voters via (rational) expectations concerning the voting behaviour of the various types of party identifiers in a given election,
- 3) coordination between voters and parties concerning
 - (a) strategic voting and
 - (b) strategic candidate selection.

Some multiparty systems approach the simplicity of two-partysystems when the electorate is confronted with only two realistic government options. More fragmented party systems where parties may also compete in a multidimensional ideological space may create so many government options that parties refrain from signalling coalition preferences to voters before the election.

Voters coordinate their actions indirectly through expectations about the voting behaviour of their fellow citizens. These expectations are built into the very act of voting in large electorates as soon as voters realize that the vote of a single citizen has a negligible effect on the final outcome.

Our research question concerns problem 3, the coordination between voters and parties.

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3a) Do voters solve the coordination problem between the supply and demand side of the electoral market by strategic voting?

3b) Do parties solve the coordination problem between the supply and demand side of the electoral market by strategic candidate selection?

Strategic voting is defined as a vote not for one's most preferred party, but for one's second (or even third) preference because the expected utility of this vote is higher than the one for one's first preference. Strategic candidate selection is understood as the selection of candidates aiming at maximizing the chances of a future coalition government and not necessarily maximizing single party votes. Both types of strategic actions depend on the electoral system, which provides incentives and restraints both for voters and parties.

The present research projects focus on question 3a. In the future, we aim at a collaboration with Research Area B2 to also investigate question 3b.

Strategic Voting and strategic candidate selection depends on the electoral system, which provides incentives and restraints both for voters and parties

2. Main Lines of Research

This Research Area focuses on a specific topic of the rational choice literature, strategic decisions, thereby combining substantively the topic of voters' behaviour of Research Area B1 and the topic of political parties and political linkage of Research Area B2. The earlier projects ("Implications of Institutional Parameters for Electoral Decision Making in Multiparty Systems" and "Electoral Competition and Decision Making in Multiparty Systems") mark the beginning of this new Research Area. Within these projects, electoral behaviour was analysed as a rational choice problem, and election campaigning of parties was only investigated as perceived by voters within a spatial model of party competition and not as a problem in its own right. These forerunner projects focused on voting behavior in Germany and here especially on

- 1) Party preference profiles of the German electorate (Pappi and Eckstein in *Public Choice* 1998),
- 2) Split-ticket voting as a type of strategic voting (Pappi and Thurner in *European Journal of Political Research* 2002), and
- 3) The use of aggregate data to identify split-ticket and/or strategic voting (Gschwend, Johnston and Pattie in *British Journal of Political Science* 2003).

At present, the core project of the Research Area is a project on "Electoral System and Coalition Government as Incentives for Strategic Voting" (C2) with which Pappi and Gschwend partici-

pate in the DFG-Special Research Area 504: "Concepts of Rationality, Decision Behaviour and Economic Modelling". This collaboration with economists, sociologists and social psychologists offers the unique opportunity to overcome the narrow focus of expected utility theory, which is also the basis of strategic voting in its original formulation.

We shall empirically test hypotheses derived from this theory about the process and political consequences of strategic voting. In the first phase of this project, we are interested in identifying and explaining various strategies voters employ in different electoral systems. We expect that the amount of strategic voting and the strategies employed depend on two characteristics of the electoral as well as the party system, as can be seen in the following two-by-two table. The first dimension is district magnitude and a second dimension is described by the preelection identifiability of majority coalitions.

Our general hypothesis is that the easier it is for voters to identify coalitions before an election the more likely they are to vote strategically. Furthermore, we expect to find more strategic voters following the wasted vote logic in small electoral districts, while we expect to identify various strategies to support possible coalition governments in large districts. In the latter case, both large and small parties may profit from strategic voting, whereas the wasted vote logic will always penalize smaller parties.

We will use both aggregate data on election returns in districts as well as survey data. The research on Germany is complemented by a survey we ourselves conducted in Belgium for the 2003 parliamentary election, by another survey on the 2002 French presidential and parliamentary elections (the French Study, S1) and a Japanese panel survey covering both the last single transferable vote election and the first parallel mixed system election

The amount of strategic voting depends on the district magnitude and the preelection identifiability

Table1: District Magnitude and Identifiability

| | District Magnitude | | | | |
|----------|--------------------|--|--|--|--|
| | | large | small | | |
| Spain, J | | (2) Germany (List Vote), Portugal, Spain, Japan, Italy (List Vote) | (1) Germany (Candidate Vote), UK, France, Italy (Candidate Vote) | | |
| | | (3) Netherlands, Belgium | (4) Canada | | |

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(S2). As far as aggregate data are concerned, we can build on Caramani's excellent data collection (2000), which is updated with the help of the Eurodata archive of MZES.

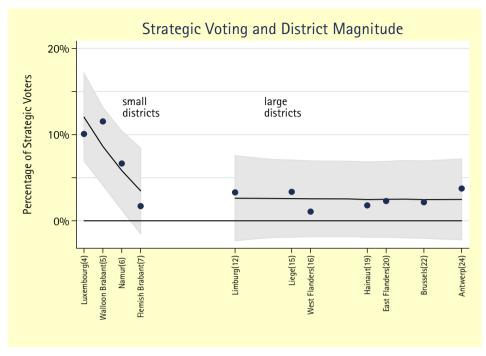
Our general research strategy is to rely on new survey data first of all for the least researched case of strategic voting, and that is for countries falling into category 3 (Belgium, Netherlands). All other national election systems in categories (2) and perhaps (1) are candidates for aggregate data analyses or secondary analyses of survey data if available.

3. Selected Results

Empirical evidence on strategic voting is available from aggregate and survey data. A famous example of the German mixed-member Bundestag election (personalisierte Verhältniswahl) is the finding that the difference between the second (proportional) votes minus the first (plurality) votes in single member districts of the small parties FDP or Greens becomes larger the more competitive the plurality race is between the constituency candidates of the two large parties CDU/CSU and SPD. With competitiveness being interpreted as an expected close race between the viable constituency candidates, none of which a voter prefers most, the expected utility of a strategic vote against one's first preference makes sense. Thus for instance a vote for an FDP or Green candidate would be wasted in this situation, whereas in a close race one can help one's second preferred party, for instance the CDU or the SPD.

The second vote is not a valid indicator of party preference

Convincing as this interpretation may be, it cannot be strictly tested with aggregate data. Taking the FDP as an example, this interpretation is built on the tacit assumption that the difference between second and first votes for the FDP is caused only by FDP supporters leaving their party in narrow districts when voting for a constituency candidate. But the second vote is not a valid indicator of party preference. It could well be that supporters of a large party give their second vote to the small liberal party, e.g. to help their preferred coalition partner to cross the 5percent threshold. Rigorous tests of strategic voting have to rely on individual data. As we have learned from the special case of vote splitting in German Bundestag elections, about one-fifth of the electorate does split its vote and two types of strategic voting can indeed be identified: An SPD or CDU/CSU candidate vote of FDP or Green supporters and a Green or FDP second vote of SPD and CDU supporters who prefer the respective coalition. It is easy to measure party preferences for a set of parties with the



help of survey questions. But it is far more difficult to prove that the first case does indeed reveal the wasted vote and the second case a threshold insurance logic (Leihstimme), since a wasted vote should depend on the competitiveness of the constituency race between CDU and SPD candidates and threshold insurance makes sense only for supporters of large parties who are expecting that their minor coalition partner may fail to get any parliamentary seats by a very narrow margin, so that some additional votes can indeed make a difference.

Our first results about strategic voting in Belgium analysing the survey we conducted are also very encouraging. The data provides evidence for the hypothesized mechanism that the lower the district magnitude the higher voters' expected probability in these electoral districts that their vote is going to be wasted and therefore the more likely they are to cast a strategic vote.

The above graph makes this transparent. It shows the observed amount of strategic voting per electoral district and the regression lines predicting the amount of strategic voting together with its 95% confidence interval systema based on a regression on the number of seats distributed at the district level (logistically transformed). As expected, in small districts (with district magnitude < 11) we observe systematically more strategic voting the less seats are dis-

Figure: Predicting the amount of strategic voting

In small districts we observe systematically more strategic voting the less seats are distributed in these districts

tributed in these districts. The incentives to vote strategically channelled through the district magnitude in large districts (with district magnitude \geq 11) is apparently no longer strong enough such that in these districts the number of strategic voters is only by chance different from zero.

Being at the very beginning of this project, we cannot definitely answer the question whether we will be able to get good measures of expected votes and whether these measures, multiplied by the utility difference between first and second ranked party, have the hypothesized effect on the voting decision. At least, we are prepared to learn that expected utility logic may fail in this instance, too, as it did already concerning the non-voting paradox. We hope to contribute to rational choice and/or behavioural solutions for these problems.

4. Future Research

A major lacuna of the Research Area concerns projects on strategic candidate selection. In parallel mixed systems like Italy or Japan, parties forming a cartel to win a majority of seats in the next parliament may coordinate candidate selection for the single member districts to guarantee plurality victories of their cartel candidates. Without coordination it could easily happen that multiple candidacies of the same cartel will impede a cartel victory against a united competitor cartel. They trust that their cartel clientele will vote for the cartel candidate irrespective of his or her party membership. Two research questions come to mind immediately:

- 1) Do the supporters of the member parties of a cartel conform to the coordination endeavours of their parties?
- 2) Does the necessity of strategic candidate selection, which itself depends on the electoral and party system, induce the emergence of strong national party headquarters able to enforce their between-party deals?

As is true for strategic voting, strategic candidate selection has to be studied comparatively since it depends on institutional variation of electoral systems and variation in party systems. Comparative research on these questions, focusing first of all on European national systems, will contribute to a better understanding of these systems and the strength and backing of their governments. We have to learn more about the coordination efforts of parties when selecting candidates for national parliaments and, possibly, coalition governments (question 3b).

Strategic candidate selection has to be studied comparatively

Key Publications

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Research Area B4 Governance in Europe

Projects in Area B4

Completed Projects

Core Projects

- C1 <u>Kohler-Koch</u>, Quittkat (1998-2002) *Europeanization of Interest Intermediation:*
 - French Trade Associations in Comparative Perspective
- C2 <u>Lilli</u>, M. Luber, <u>Stahlberg</u> (1999-2001) *Identity and Identity Processes: A European Comparison*(not externally funded)

Ongoing Projects or in Preparation

Core Projects

- C3 <u>Kohler-Koch</u> (1996-2005)
 - Governance in the European Union
- C4 De Bièvre, Dür, Kohler-Koch (2002-2005)

 Dynamics and Obstacles of European Governance: Judicialisation and
 Positive Integration; International Trade Governance in an Historical
 Perspective (EU Research Training Network)
- C5 <u>Rittberger</u>, <u>Schimmelfennig</u> (2003–2005)

 Constitutional Politics in the European Union: Parliamentarization and the Institutionalization of Human Rights
- C6 Finke, <u>Kohler-Koch</u> (2003–2006)

 EU-Society Relations and the Formation of a Multi-level Intermediary

 Space (I): EU Involvement Strategies and the Formation of a European

 Political Space
- C7 Jung, <u>Kohler-Koch</u> (2003-2006)

 EU-Society Relations and the Formation of a Multi-level Intermediary

 Space (II): Local Europe Grassroots organisations in European

 Governance
- C8 <u>Kohler-Koch</u> (2003-2007) *Efficient and Democratic Governance in a Multi-level Europe* (EU Network of Excellence)
- C9 <u>Schmitt</u> (2004-2007)

 Political Support and Legitimacy in the New Europe

Supplementary Projects

- S1 Braun, Kohler-Koch (2001-2003)
 Directory of General Interest Groups/NGOs at European and (Selected)
 National Levels
- S2 Kohler-Koch, Leuffen (2001–2004) Does Cohabitation Matter? French European Policy-Making in the Context of Divided Government Dissertation project
- S3 <u>Kohler-Koch</u>, Seidendorf (2002-2004)

 Europeanization of Nation-State Identities? A Franco-German

 Comparison of Identity Discourses

 Dissertation project
- S4 <u>Schmitt</u> (2003-2006)

 The Prospects for EU Democracy After Eastern Enlargement

Governance in Europe

1. Research Questions and Aims

Governance in Europe and in the individual European political systems is characterised by growing interdependence. The close link between national and EU governance has brought about institutional changes and has transformed the strategies of negotiation and the representation of interests. It has strengthened the emergence of new modes of governance aimed at efficient problem solving beyond the nation state.

In previous years the main focus of research has been on the empirical analysis of the functioning of a multi-level system of governance and the ensuing transformation of modes of governance and on patterns in the organisation and representation of economic interests. In addition, research projects have examined the extension of the multi-level system of governance to the new member states.

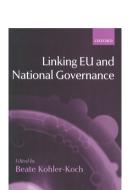
Current and future projects focus on the effect of EU embeddedness on the future of representative democracy. The central question is on the prospect of citizen representation, participation and accountability: Will EU strategies for more openness and wider involvement of "civil society" promote the emergence of an all-embracing European political space and will grass root organisations be able to reach higher levels of interest intermediation? Moreover, we are interested in the development of a democratic constitution at the European level: Why and how has the competence of the European Parliament grown and have human rights been codified and institutionalised in the EU?

From a methodological point of view the EU system is conceptualised as being both, a supranational organisation of nation states ("Staatenverbund") and a single political space. Comparative analysis explores the variations across nation states and issue areas, the latter because of the different levels of integration in individual policy fields. The basic research question is whether countervailing forces push and restrict the transformation of the multi-level political spaces in Europe into a single EU political space. Associations are intermediary actors linked to constituencies, which still are predominantly national and local. It is an empirical question which kind of political issues will mobilize domestic constituencies to "go European" and which conditions will trigger citizens' engagement in transnational public interest representation. It is plausible to assume that despite the en-

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couragement offered by the EU's new structures of communication the readiness and ability to go "beyond the nation state" will be constrained by the varying political and social environments among member states.

2. Main Lines of Research

Successive research projects were dedicated to explore whether, to what extent and in which ways the particular properties of the multi-level system of the EU contributes to distinct patterns of governance (C3). Starting from a neo-institutional approach, the assumption is that the formal and informal setting of the EU's institutional framework impinges upon the decision-making process and, especially in the course of protracted negotiations, has an impact on the ways in which member states define their interests. The empirical task is to evaluate the relative importance of the cognitive, the organisational and the resource component of institutions: Is it the dissemination of factual and normative belief systems, the rules and procedures of policymaking, and/or the empowerment of new actors by opening windows of opportunity, which makes a difference?

New modes of governance

A related research question concerns the emergence of a particular mode of EU governance and its likely impact on national ways of governing and interest representation. From an institutional point of view it is plausible to assume that "network governance" has become dominant within the EU and is spread through programmes and new methods of EU policy coordination. The scope and scale of transformation in European governance will depend on the intensity of interaction and the nature of actors involved. Our own research findings in this respect were put in perspective by systematic comparison with other research results on the transformation of governance in Europe.

International cooperation

The research area "Governance in Europe" has expanded its activities beyond Mannheim by joining a "Research and Training Network" with six other European research institutes, part of the EU's 5th Research Frame Programme. The common research interest is focused on "Dynamics and Obstacles of European Governance". Two research projects (post-doctoral research) are located at Mannheim. They explore the interdependence between international and European governance, one under the aspect of "Judicialisation and Positive Integration" (C4), focusing on the question whether the judicialisation of the international trading regime induces the EU to pursue public policy goals that are not strictly trade related within the institutional framework of

the WTO. The other project analyses how the EU's specific institutional framework influences its trade policy-making process and, in turn, trade policies themselves ("The European Union, institutional rules, and external trade policy", C4). International cooperation has been broadened by launching a "Network of Excellence" on "Efficient and Democratic Governance in a Multi-level Europe" (CONNEX).

Yet, "Governance in Europe" extends beyond the formal borders of the EU and affects the politics and policies in the candidate states for EU membership. These processes have been the subject of a project on the "The International Socialization of Central and Eastern Europe". Research started from the puzzle that despite high institutional and material costs for EU and NATO and initial resistance of enlargement succeeded. Particular interest was put on exploring the impact of the EU on the democratic consolidation of the Central and Eastern European countries. The project examined the long-term divergence in the democratic development of the post-communist countries and provides a comparative analysis of reluctant democracies in the region. Furthermore, the project broadens our knowledge of "Europeanization". During the accession process, the candidate states have begun to undergo a pervasive process of Europeanization in which EU rules and practices are transferred to the transformation societies.

Europeanization of transformation societies

Summarizing the main lines of research, European governance is explored in terms of

- (i) Changing patterns of interest representation and public-private relationship,
- (ii) The transformation of governance both at EU and national level,
- (iii)The external impact of EU governance on politics and policies in international relations and neighbouring countries.

With the new projects in Research Area "Governance in Europe" the emphasis has shifted from analysing the functioning of the European system of governance to exploring the conditions for democratic EU governance. The central research questions concern the prospects for citizen representation, participation and democratic accountability (see below on Future Research).

3. Selected Results

From the various projects in this research area the results of two projects completed shall be summarised in the following, both looking from different angles at "Governance in Europe".

Main lines of research

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European Interest Intermediation of National Trade Associations

The organisation of interest representation in the EU promised to be a particularly rewarding object of research to test competing hypotheses. The question was (i) whether and to what extent the EU system has already been transformed from a multilevel to a penetrated system of governance, (ii) which factors determine access opportunities to EU institutions (taken as a proxy for political influence) and (iii) how and why national patterns of interest organisation have changed. An institutional perspective can add to the simple assumption that gaining access is a matter of demand and supply of exchange goods. Institutions do first of all constrain patterns of interaction, and they affect strategies of organisation and the choice of instruments (C1, C3).

Europeanisation of interest intermediation

In one of the projects completed, the general question of adjustment of national private and public actors to the European system of governance was focused on the "Europeanization of Interest Intermediation: French Trade Associations in Comparative Perspective "(C1). The main hypothesis tested was, whether the structural congruence between EU and national systems of interest intermediation determines (or not) the pressure and ability to adapt. The project was based on a comprehensive survey, gathering information on the patterns of contacts of trade associations with European and national political institutions, the quality of their relations with these political institutions and other organisations, and the characteristics of the trade associations' organisation, their members, and their economic sector. Due to the multi-level character of the EU system national interest groups have to develop a two-tier strategy addressing both European institutions and national public authorities. In order to have an impact they have to get organised and raise their voice in a multitude of arenas at national and supranational level. Yet, if the institutional structure and prevailing processes on these two levels diverge considerably, then adaptation is not only necessary but also difficult. Such is the case of France, which is considered to be the most distinct system when compared to the EU. Whereas France is generally characterised as an étatist system in which the predominance of state actors is firmly established, the European Community is said to be dominated by network governance. The main characteristics of EU governance are the co-operative structure of policy-making and willingness to

compromise. It is a negotiating system incorporating public and private actors; consensus is worked out in committees and supported by issue-networks, often initiated and co-ordinated by the European Commission. In comparison, the French system is marked by a rather conflictual political culture and the authoritative role of the state, which dominates the relation between public and private actors.

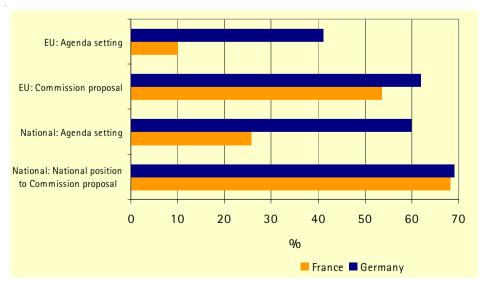
A thorough analysis of documents and many interviews revealed that in contrast to the state-of-the-art knowledge, the French patterns of EU interest representation are not that different. The same factors, though in different variations when compared to other countries, explain French associational behaviour and success. When taking into account the weaker administrative capacities, especially the lower endowment with financial resources, access opportunities and strategies of interest representation of French trade associations mostly equal those of their German (and British) counterparts.

Most French trade associations have successfully reached out from the national to the European level and have close contacts to national as well as European political institutions. In other words, French trade associations have responded to the European multi-level system by developing a multi-level strategy of interest representation. Yet, French characteristics are discernible and relate to particular properties of the French system of governance.

For example, French interest groups are more inclined to strive for access to the political level and pay importance to party mem-

French patterns of EU interest representation

Figure 1: Percentage of trade associations often representing their interests at the following steps of the European policy cycle



.......................

bership when selecting a contact partner. Here, the French multi-party system comes into play, which has found its way to the European Parliament. Parties are given relatively high credit due to the conflictual political French culture. Further, the mobilisation of the public and the media is a well-used instrument by French trade associations to influence the position of the French government in the Council of Ministers.

Relative importance of the "national factor"

The preference for political rather than administrative interest representation explains the comparatively low frequency of contacts with the working level of the European Commission. As a result, French trade associations come late into the European policy cycle, this way ignoring the agenda setting stage. Since French trade associations realise how important it is to influence a decision making process from the very beginning, "late coming" is an indicator that they have not fully internalised the difference between the European and the French "logic of influence".

In general, however, the conclusion is that while the structural divergence between the European and the French system of interest intermediation makes it more difficult for French national trade associations to adapt, on closer scrutiny the "national factor" is less important than generally assumed. Rather, the ability to adapt is heavily dependent on structural factors like financial resources available.

Eastern Enlargement of the EU

Conditions for enlargement

What are the general conditions of the enlargement of the EU and other European international organizations? An event-history analysis showed that the strength of democracy in nonmember countries is the only factor robustly correlated with the main enlargement events: The institutionalization of relations between organizations and non-member states, the applications for membership, the beginning of accession negotiations, and the suspension of, and withdrawal from, membership. Other cultural, material or institutional factors proved insignificant. So did geographical proximity except for the early stages of the enlargement process. This finding corroborates the liberal-community hypothesis of enlargement, arguing that the European organizations represent an international community based on liberal-democratic values and norms, which integrate all European states that share their identity and adhere to their fundamental norms.

This general finding, however, does not tell us why most member states were initially opposed to offering the newly democratised states of Central and Eastern Europe a membership perspective - and how they eventually agreed to enlargement. A study of EU and NATO decision-making processes on Eastern enlargement revealed, first, that the preferences of the member states could be explained as selfish preferences reflecting the unequal distribution of gains and losses from enlargement, Second, their initial reaction - to offer mere association rather than full membership – reflected the distribution of bargaining power among them and the candidates. Subsequently, however, the candidate states and their supporters within the Western organizations rhetorically invoked the community identity, values and norms to put moral pressure on the reticent majority and succeeded in shaming them into acquiescing in enlargement. The study thereby showed, how in the European governance system, actors are able to use core values and norms strategically to shape the outcome of negotiations.

What was the impact of the EU on the democratic consolidation of the Central and Eastern European countries? The EU mainly used a strategy of political conditionality or "reinforcement by reward" to promote liberal democracy in the region. It rewarded democratic change and compliance with human rights and minority rights with financial assistance and institutional ties (association and membership) but neither coerced nor assisted states unwilling (or unable) to reform. On the EU side, the central prerequisite for an effective impact of this strategy was the size of the promised rewards and the credibility of conditionality. In the absence of high and credible rewards, the target governments did not change their behaviour. However, even when the EU, in the mid-1990s, had offered a credible promise of membership and demonstrated that it was determined to exclude non-compliant countries, the effectiveness of political conditionality still varied with the size of domestic political adoption costs. For the authoritarian or autocratic governments, whose power was based on undemocratic and illiberal practices, the offer of EU membership still did not outweigh domestic political costs.

In the longer term, the post-communist constellation of political parties proved to be the most important factor for the impact of political conditionality. In countries in which all major parties were liberal parties committed to democratic reform, "anticipatory socialization" was the rule. Accordingly, political conditionality only had a minor, almost redundant impact and consolida-

Strategic use of norms and values



Impact of the EU on democratic consolidation tion was quick and smooth. Similarly, the entirely rewards-based conditionality strategy of the EU had little impact on authoritarian-nationalist regimes, for which compliance would have meant a significant loss in power. EU impact was greatest in the case of mixed systems characterized by the alternation of liberal and authoritarian governments. Whereas impact on the authoritarian parties in power was low again, the EU could effectuate domestic change and deepen regional integration when liberal parties were in power. These changes were securely locked in and could not be undone when formerly authoritarian parties returned to power.

Europeanisation through external incentives

Beyond the issue-area of democracy and human rights, the "external incentives model" also best explains the "Europeanization" of Central and Eastern Europe. EU rules in the areas of public administration, regionalization, public health, freedom of movement, the environment, social policy, central banking, and agriculture have only been adopted in more than a patchy and selective fashion when they were subject to EU accession conditionality. By contrast, variation in domestic adoption costs did not play an important role any more once accession negotiations had started. Different veto player structures affected the speed but not the likelihood of rule adoption as such. The expected benefits of EU accession for the democratic governments of the region are so great that they outweigh all impeding domestic or international factors and the external incentives mechanism dominates all other mechanisms of Europeanization. As a result, the EU was able to implant its "regulatory state model" into the transformation states of Central and Eastern Europe. It

Table 1: Overview of Case Study Conditions and Results

| | Adoption costs | Determinacy and Legitimacy | Credi- bility | Size of rewards | Speed | Identifi- cation | Reso- nance | Rule adoption |
|-------------------------|----------------|----------------------------------|------------------|-----------------|-------|---------------------|----------------|------------------|
| Slovakia (Meciar) | _ | Mixed | + | + | _ | Mixed | + | _ |
| Slovakia (Dzurinda) | + | Mixed | + | + | - | + | + | + |
| Turkey (1990s) | - | Mixed | - | + | - | + | - | - |
| Turkey (from 2000) | Mixed | Mixed | + | + | - | + | - | Mixed |
| Latvia (early 1990s) | - | - | + | + | - | Mixed | - | - |
| Latvia (late 1990s) | + | - | + | + | - | Mixed | - | + |

remains to be seen, however, to what extent formal rule adoption will be followed by actual rule implementation once accession conditionality ends. Finally, in contrast to EU governance in the member states, Europeanization "East" proved to be a top-down intergovernmental process with little room for, and relevance of, transnational networks.

4. Future Research

Future projects in the Research Area "Governance in Europe" will be closely linked to CONNEX, a EU-funded "Network of Excellence" in the 6th Framework Programme for Research. In the next four years, starting with July 2004, the MZES will take the lead to integrate research all over Europe (with additional participation from the US) on "Efficient and Democratic Governance in a Multi-level Europe". Stocktaking of ongoing research and an efficient structure of communication will give network participants easy access to accumulated knowledge and provide the necessary infrastructure for intensified research co-operation.

A joint programme of activities has been developed to integrate research in four main thematic areas:

- Institutional dynamics of multi-level governance,
- Democratic governance and multi-level accountability,
- Interest intermediation, civic engagement and the quality of governance,
- The transformation of the European policy space.

Researchers from the MZES are engaged in several CONNEX Research Groups, one of which is "Civil Society and interest representation in EU-Governance". The relating MZES research projects will put emphasis on the conditions for democratic European governance. The central research questions concern the prospects for citizen representation, participation and democratic accountability. Two new projects investigate "EU-society relations and the formation of a multi-level intermediary space". In a top-down approach one project will explore the effect of EU strategies aimed at more openness and wider involvement of "civil society" (C6). The European Union has developed a variety of strategies to involve societal actors and to support cooperation amongst them in order to bring European politics "closer to the people". Such activities are constrained by functional necessities, meet resistance from vested interests and are faced with structural deficiencies of their target groups. We want to investigate which factors and forces impede or promote the forma**CONNEX**

Democratic European governance: the formation of a single European political space

tion of a single European political space, which would complement or even replace the existing multitude of national public spaces.

Grass-roots involvement in EU affairs From a bottom-up perspective a related project will look into the conditions for local grassroots involvement in European affairs through which general interests may gain more voice in European affairs (C7). Though "Europeanization" ranks high on the present international research agenda, research testing the interest in and actual participation in EU affairs is still rather patchy. It is plausible to assume that high transaction costs in a multilingual, heterogeneous transnational setting add to the existing collective action problems. This may result in a marginalisation of grassroots activities and a shift in patterns of political participation from conventional to "unconventional" forms of participation, a highly selective organisation of Europe-wide networks and a change in the relations and role ascriptions of local, national and transnational organisations. The project aims at exploring organisational properties and context conditions that enable or hinder grassroots organisations to reach out to higher levels of political involvement. Envisaged is a comparative study that will start with two member states and include different policy areas.

In support of the research a Directory of General Interest Groups will be compiled (S1). It will establish a systematic empirical basis for studying the growing involvement of general interest groups in EU politics. A first classification will be according to different types of EU policies as represented by the Commission's General Directorates.

Political support and legitimacy in Europe

This civil society oriented research will complement ongoing research on political support and legitimacy in Europe (C9) and on the prospects for EU democracy after enlargement (S4). The main objective of these research projects is to explore the empirical foundations for a democratic and legitimate EU, which now embraces 25 member states. A theoretical framework is developed which proposes that democracy depends on three related normative principles: the existence of a *demos*, identifiable and valid processes of representation and accountability, and proper ends and standards of government. These criteria are as relevant to the transnational polity as to the nation–state. The existence and strength of these three elements will be assessed empirically.

With respect to the *demos* – identity and citizenship – these projects will explore how far EU publics share norms and values, compare identity in old and new EU member states and assess the prospects for increased identification with the Union. Accountability and representation require a number of well-established elements that allow and encourage the use of elections to guide decision-making. The strength of these elements will be determined, in particular, by a competitive party system supported by voters who see EU issues as salient and vote accordingly. The traditional view that such issues are irrelevant to elections may well require some revision now. Finally, as regards policies, the match of expectations and performance needs to be explored.

In the future, the research on enlargement will be expanded in two ways. First, a project on "Europeanization after accession: Implementation and compliance in the new member states" is developed. If the formal transposition of EU rules to the candidate countries was indeed driven by EU accession conditionality, what will happen when the candidates become members and cannot be subjected to EU conditionality any more? Will a failure to implement EU rules and a lack of compliance be the result, as many observers suggest, or will other mechanisms of compliance substitute for conditionality?

Second, a research project on "Constitutionalization in the EU" (C5) has started in May 2004, which will test the community effects discovered in EU decision-making on Eastern enlargement in a different context. The project focuses on two neglected dimensions of EU "deepening" and institutional change: the parliamentarization of the EU and the institutionalization of human rights at the European level. It starts from the assumption that these outcomes can be attributed to a process of rhetorical action and shaming in the conflict about the distribution of competencies between the national and the supranational level and between European-level organizations. This project complements the emphasis on the conditions of democratic governance in the future research of the MZES on "Governance in Europe."

Implementation and compliance in new member states

Constitutionalization in the EU

Key Publications

Books

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Research Area B5

International Embeddedness of European Governance

Projects in Area B5

Completed Projects

| Core Projects | C1 | Finke, <u>Kohler-Koch</u> (2000–2003) Strategy Options of International Governance: NGOs and Good Governance |
|---------------------------|------------|---|
| Supplementary Projects | S 1 | Chen Zhirui, Gu Junli, Knodt, <u>Kohler-Koch</u> , Larat, Liu Liqun, Xiepu Yang, Zhou Hong (2001–2002) Cooperation with the Institute for European Studies of the Chinese |

Ongoing Projects or in Preparation

Academy of Social Sciences

| Core Projects | C2 <u>Kohler-Koch</u> , Larat (2001-2004) Strategy Options of International Governance |
|---------------------------|---|
| | C3 Hellmann, <u>Knodt</u> , Tseng (2001–2004) Governance in an Expanded Multi-level System |
| Supplementary Projects | S2 <u>Jahn</u> , Weiß (2002–2003) European Civilian Conflict Management |

International Embeddedness of European Governance

1. Research Questions and Aims

The end of the East-West conflict brought about considerable structural changes in the international system. There is a strong interdependence of international changes on the one hand and changes occurring at the national, transnational or European level on the other. These interrelated processes and their impact on governance are currently at the centre of International Relations as well as of research on European Integration. In this context, research in Area B5 focuses on these interdependent processes, i.e. on the embeddedness of European governance in the international context, which has a strong impact on the character of national and transnational as well as of European governance.

Particularly relevant international contexts for European governance are regimes and international negotiations in the framework of UN and WTO and international 'epistemic communities' or discussions.

We assume that the international context has an impact not only on policy contents but also on institutions at different levels of the European Multi-Level System: at the european, the national, subnational level and the transnational sphere.

Thus, institutional change can be observed within the formal organisation of the political process as well as within established routines and concepts of legitimate order:

- Formal organisation of politics:
 - constitutionally defined responsibilities and rights of governing agents
 - legal rules on decision-making procedures
 - legal criteria for the allocation of resources
- Established routines:
 - established strategies of problem-solving
 - routinized procedures in decision-making and implementation
 - actors regularly involved
- Concepts of legitimate order:
 - guiding principles on good government
 - the rationale of efficient and effective problem-solving
 - actors to be asked and included

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Institutional dimensions

European multi-level system of governance

All projects in this Research Area use a model of the European Multi-Level System, that can be is characterised as:

- a polycentric system with various centres of decision-making which are formally independent of each other and with functional networks gradually replacing the hierarchical center of decision making;
- multiple, overlapping arenas characterised by loose coupling; with different types of actors (public and private) and diverging interests;
- consociation as main organising principle of political relations within the European system supporting the management of heterogeneity within political communities; the governance of the EU could be categorised as 'network governance';
- consensus oriented policy making giving priority to problemsolving strategies rather than bargaining, thus relying on interaction and communication. Thus, accumulation of knowledge, collective learning, and the exchange of ideas and concepts are significant.

The concept of a multi-level system was elaborated in the project on 'Regions as political actors in the EU' directed by Beate Kohler-Koch (carried out among others by Michèle Knodt and Fabrice Larat) at the MZES from 1993 through 1996. The concluded project on regions as political actors as well as current projects show that institutional changes can be detected in all the three dimension of the European multi-level system elaborated above. Following these considerations, the central research questions of this Research Area is:

How does the international context cause institutional change affecting European governance? At present, the projects deal especially with the third institutional dimension, namely concepts of legitimate order, when analysing different discourses at the international, European, national or transnational level.

2. Main Lines of Research

When analysing the relationship between the European and international environments, three angles of visions are thinkable: (1) the outside-inside vision concerning institutional change, e.g. inside the EU influenced by the international context. (2) The inside-outside perspective concerning the actors' performance in the international context. (3) A transnational perspective focusing on transnational phenomena within EU and the international sphere. In the current research on the interrelated

processes of change and their impact on governance we consider all three of these angles of vision.

Generally, the present projects share an interest in political discourses going on at the international and national levels concerning concepts of legitimate supranational governance. In addition, some of the projects share a common interest in the involvement of civil society in the policy-making at different levels.

- (1) The project on "Governance in an Expanded Multi-level System" (C3) investigates the international impact on EU institutions. This project represents an outside-inside vision and focuses on institutional changes within the EU system. The project examines how the embeddedness of the EU in international trading systems leads to institutional changes in the EU. Empirical evidence is drawn from different case studies such as the GATS and TRIPS-agreement of the WTO, the dispute-settlement system, the negotiation of China's accession to the WTO and the discourse on the involvement of civil societies. The research project analyses in how far institutional change within the EU is taking place in all the dimensions listed above.
- (2) The second line of research is represented by the project on "Strategy Options of International Governance" (C2) concentrating on selected European nation-states in order to compare national differences in conceptual ideas which govern international relations. This inside-outside perspective conceptionalises the international context as a sphere of exchanging concepts and ideas. This perspective is employed to analyse changes within the cognitive frames of national political actors. This endeavour builds on a completed project on Antworten der internationalen Politik auf die Unverträalichkeiten von Globalisierung (directed by Kohler-Koch and Knodt, 1996-99) which had already developed three ideal types of world views being used by national political actors for the construction of cognitive frames. The current project employs this conceptional work and searches for empirical evidence. The hypothesis is that strategies of international governance are influenced by individual perceptions of international political order as represented by these particular world views. The project aims at exploring and explaining the conceptional differences in foreign policy discourses in France and Germany which can be detected by analysing (a) the attitudes of German and French elite actors from different professional milieus and with different political orientations and (b) selected print media in France and Germany.

Embeddedness of the EU in international trading systems

National differences in foreign policy discourses in France and Germany Good governance of global politics

(3) Within the third line of research the transnational perspective is analysed by the project on "Strategy Options of International Governance: NGOs and Good Governance" (C1) which focuses on NGO strategies and their cognitive frames. The project models and explores the legitimacy of NGOs and their potential contribution to the good governance of global politics (as unfolding within the United Nations system). Thus, one of the three models of transnational order developed within the project "Strategy Options of International Governance" – a system of transnational network governance - is investigated more thoroughly from a normative point of view. A model of "discursive global governance" which draws on conceptions of deliberation and discursive democracy is designed to model legitimate global governance. From this point of view, NGOs and their transnational networks ideally induce (a) a communicative political process within different UN policy regimes and (b) a variety of interconnected political spaces linking global politics and its potential societal addressees. Empirical research is focussing on a transnational women's network, including European women's NGOs which is based on a "feminist human rights discourse" and substantially contributed to the reformulation of development policies within the UN system.

Cooperation with the Institute for European Studies of the Chinese Academy of Social Sciences These main lines of research also nurture the "Cooperation with the Institute for European Studies of the Chinese Academy of Social Sciences" (S1). From 1997 to 2002 Beate Kohler-Koch was deeply involved in the EU-China Higher Education Programme. This programme was launched in order to promote social science research on Europe. Close cooperation with the Institute of European Studies, Academy for the Social Sciences (CASS) in Beijing was established in this context, and the MZES has hosted scholars from China sponsored by the EU-China Higher Education Programme. A grand conference was organised at the MZES convening all Chinese visiting scholars in Europe for a series of lectures particularly focussing on the international embeddedness of the EU. In addition, MZES researchers (Fabrice Larat and Michèle Knodt) were invited as visiting professors by the Chinese Academy for the Social Sciences (CASS) in Beijing in 2000 and 2001. Furthermore, two book projects were published as result of the cooperation. A book on European integration and European governance (Kohler-Koch, Conzelmann, Knodt) and a book on the history of European integration (Larat). CASS has been chosen as the implementation agency for the successor (now ongoing) EU programme on European studies (Core Curriculum



on European Studies, developed within the framework of the EU-Asia Link Programme), which aims at sharing specialised knowledge and supporting research cooperation (2002–2005).

3. Selected Results

The presentation of the major research results will concentrate on the analysis of political discourses. Evidence from the various projects suggests that discourses can spread into various arenas of policy-making within European, national or transnational governance. Especially the case study of the project "Strategy Options of International Governance: NGOs and Good Governance", which investigates the final documents of selected UN World Conferences (between 1992 and 2000) shows that the feminist human rights discourse as introduced by a group of women's NGOs into global politics at the Vienna human rights conference in 1993 has spread into different UN policy regimes. It has emerged as a frame for international problem-solving and transnational co-operation in a range of issue areas. The growing number of publications referring to the feminist human rights discourse in the Social Sciences Citation Index (SSCI) between 1970 and 2000 can be treated as an indicator for the growing relevance of the discourse as a frame for problem-solving in social science and a wider public. The discourse, as identified within the project, consists of the linkage between women's issues and (a) the issue of violence, (b) the concept of empowerment, and (c) the human rights framework. The discourse offers cognitive links for a large variety of issues and identities which show

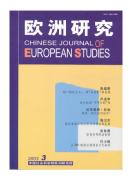
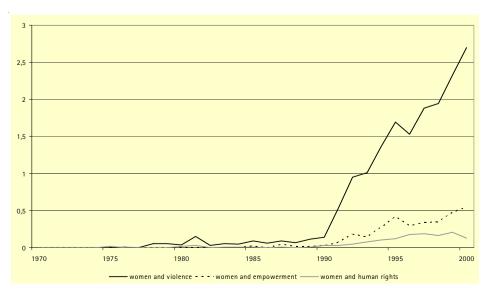


Figure 1: The Feminist Human Rights Discourse in the Social Sciences Citation Index SSCI (Percentage of Publications 1970– 2000)



its potential for trans-sectoral and trans-cultural communication.

Travelling of ideas and discourses

The next questions would be (a) how the travelling of ideas and discourses works and (b) why they spread into other areas. The projects show that there are different reasons and mechanisms which influence the spread of discourses: There has to be a strong interest in the ideas and concepts of a discourse and an entrepreneur who is working as a transmission belt between the different groups involved. The work on "NGOs and Good Governance" has made clear that in order to establish a discourse, a specific type of actor is needed. The feminist human rights discourse was strategically fashioned by a group of "discourse entrepreneurs" in order to resonate with the everyday life experiences of local groups in different cultural settings. At the same time, women's organizations have established channels of communication trying to reach the local addressees of global politics and to communicate the feminist human rights discourse at the grassroots level. These activities have contributed to a (vertical) integration of "the local and the global" and have established transnational as well as trans-sectoral (horizontal) links between political and civic actors. Looking at the political involvement of civil society at WTO, the project "Governance in an Expanded Multilevel System" also shows that the WTO level is dominated by a particular concept of civil society which has found its way into EU politics. The case of WTO-EU relationship shows that there was a strong institutional self-interest of the EU-Commission to take over the WTO concept of civil society involvement. Thus, the Commission has tried to increase its legitimacy within the EU institutional setting.

Effects of international discourses

The question is, which effects on the formal and informal organization of decision-making and policy formulation could the reference to a new discourse have? The project on WTO-EU relations shows that the new conception of civil society induced changes in the informal organisation of decision-making, such as the introduction of a wide range of participatory instruments at the Directorates-General Trade of the European Commission. However, the project can show too that institutional changes in formal organisation within the WTO since 1995 have considerably influenced the institutional setting of the EU. In terms of the organisation of politics, there is a clear shift from mixed competences to exclusive EU competences in the realm of WTO Trade in services (GATS) and intellectual property (TRIPS) between 1994 and 2002. Concerning Routines, the consultation and informa-

tion policy of the Commission toward the member states was intensified when the dispute-settlement system of WTO shifted from non-binding arbitration within GATT to a more court-like system of mediation. The co-ordination and management function of the Commission was enlarged. Concluding these changes, the project even generates the thesis that these institutional changes have spawned a trend toward centralisation of policymaking within the EU. The project on "European Civilian Conflict Management" shows that the international insight into the need for civilian peace experts in ethno-national conflicts was followed by building up a pool of civilian peace experts in some European countries. In a similar vein, evidence from the project on "NGOs and Good Governance" suggests that traditional human rights NGOs, as well as developmental NGOs and actors engaged in population politics, have incorporated important elements of the feminist human rights discourse into the formulation of their political goals.

In sum, the projects within Research Area B5 are directly or indirectly interested in civil society involvement in policy-making and investigate the significance of discourses in this context. When looking at WTO-EU relations and at UN discourses from a comparative viewpoint it becomes clear that discourses aiming at changes in politics as well as policy discourses can have effects on the formal and informal organisation of institutions. These changes favour the inclusion of civil society advocates.

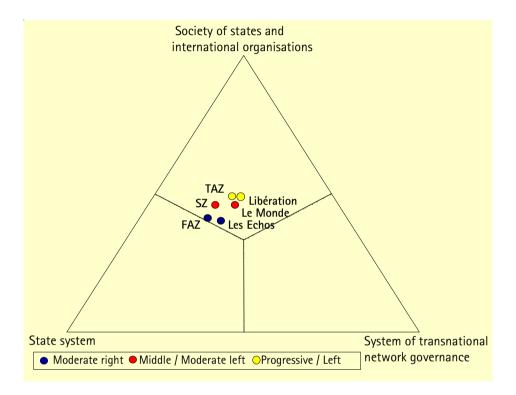
A last question concerns the way different actors deal with a discourse. In fact, interviews with representatives of women's organizations involved in UN politics suggest that women's NGOs from different political, cultural and sectoral backgrounds cooperate on the basis of a feminist human rights discourse.

Different observations can be drawn from the project "Strategy Options of International Governance". The project has created a broad empirical basis (more than 5500 articles from German and French newspapers and speeches and statements from political elites over a time period of 4 years, from 1998-2001).

First detailed research shows that there are significant variations concerning the attitude of French and German political elites toward international governance. In contrast the discourses of French and German newspapers are rather similar. However some variations can be noticed: While country-specific differences are less significant, the newspaper's political orientation is of greater importance. Although the media discourse in gen-

The discourse on civil society involvement

Figure 2: Mapping of the newspapers related to the three idealtypes of world-views eral seems to favor the ideal-type of the "Society of States and International Organisations", conservative newspapers like Frankfurter Allgemeine Zeitung and Les Echos are still much more influenced by the ideal-type of the "State System" than leftist papers like Libération and TAZ (see figure 2). In the further anal-



The graph displays the proximity of the selected newspaper discourse to each of the three idealtypes ysis, the ideal-type of the "Transnational Network Governance" will be divided into two differentiated sub-systems – one with focus on economical factors and the other with focus on societal actors – to get a more detailed idea about the particular preferences.

It is a characteristic and a potential problem of international and transnational discourse that usually abstract concepts in the form of catchwords are used which are open to interpretation by different actors.

4. Future Research

Current research in Area B5 has shown that the expansion of EU studies through the incorporation of the international level is extremely fruitful. General research in the future, therefore, should not take place exclusively in the EU context but also in international relations.

When it comes to the relationship between the international level and the EU, future research should focus on the inside-outside perspective. This kind of research should, in addition to the national level of the current research, focus on EU foreign policy. The question would be: Which are the preferred patterns of European foreign policy? European foreign policy has to be conceptualised as a system of national and EU external relations which produces distinct patterns of foreign policy. Thus, future research should investigate the institutional setting of the EU as an independent and EU foreign policy as the dependent variable.

Finally, the overall international context and Eastern Europe outside of the European Union have to be taken into account in future projects of this Research Area.



Key Publications

Books

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Research Area B6

Institutionalization of International Negotiation Systems

Projects in Area B6

Completed Projects

Core Projects C1 Conzelmann, Edler, Kohler-Koch (1999-2001) Production and Diffusion of Ideas and International Negotiations (PRODI) C2 Pappi, Stoiber, Thurner, Wald (1999-2002) National Interministerial Co-ordination and International Negotiations: A Model and Explanation of the Amsterdam Treaty Supplementary Projects S1 Bräuninger, Pappi (1997-1999) The Choice of Decision-Making Rules in International Negotiation Systems

Ongoing Projects or in Preparation

| Core Projects C | C3 Conzelmann, Humrich, <u>Kohler-Koch</u> (2001–2000) Organisational Structure and the Facilitation of Argumentative Action in International Negotiation Systems |
|-----------------------------|---|
| (| C4 Kotzian, Linhart, <u>Pappi</u> , <u>Thurner</u> (2001–2003) European Health Policy and National Regulation of Pharmaceutical Markets |
| (| C5 Humrich, <u>Kohler-Koch</u> (2003-2005) Stability and Flexibility in Institutionalised International Negotiation Systems |
| C | C6 Kotzian, Linhart, <u>Pappi</u> , <u>Thurner</u> (2003-2005) Negotiation and Institutionalization: Explaining International Institutional Choice and Comparing the Performance of Negotiations within Different Institutional Settings |
| Supplementary S Projects | 52 <u>Kotzian, Thurner</u> (2002–2003) Comparative Health Care Systems in the European Union |

Institutionalization of International Negotiation Systems

1. Research Questions and Aims

The interdisciplinary DFG Research Group "Institutionalisation of International Negotiations" (1999–2005) is a joint research activity of the University of Mannheim, its Centre for European Social Research (MZES) and the Centre for European Economic Research (ZEW). Participating departments and divisions are: Public Economics (Hans-Peter Grüner), Political Economy (Roland Vaubel), International Law (Eibe Riedel), International Managment (Manfred Perlitz), Resource Economics (Christoph Böhringer), International Politics (Beate Kohler-Koch), and Political Sociology (Franz Urban Pappi, Paul W. Thurner).

Negotiations continue to be the predominant mode of interaction in international relations. Increasingly, international relations are embedded within a multitude of institutional settings and at the same time new variants of international and supranational governance arrangements are created. These may include the formal transfer of sovereignty to an organisation as well as the informal veto power of (asymmetrically) interdependent actors. The DFG Research Group focuses on the following three research questions.

- How can institutional choices (regimes, organisations) in the international sphere be explained?
- How do pre-existing institutional settings influence negotiation outcomes?
- How can these outcomes be assessed given different normative criteria (efficiency, fairness, stability, sustainability)?

The MZES projects within the larger research group build on earlier MZES research projects of Beate Kohler-Koch and Franz Urban Pappi. Pappi et al. (1995) analysed labour policy-making in the US and Germany, applying a policy network approach, and Pappi and Henning (1998, see also Henning 2000) investigated the EC's MacSharry reform of the common agricultural policy. In both studies, a distinction was made between policy decisions of political agents and the lobbying efforts of interest groups with differential access to the authorities. Decision-making of the authorities was modelled using concepts like the median voter or exchange processes, sometimes metaphorically labelled as issue linkage. These operational models are now applied to the field of international negotiation systems. It is argued that collective decision-making both under (simple as well as qualified) majority voting and under una-

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nimity exhibits features of negotiations – where the respective extent of negotiation depends on context variables that have to be researched.

Earlier research projects by Beate Kohler-Koch (in particular the comparative research project on institutional adaptation of regions becoming involved in European politics and the social construction of a new European common policy) have already elaborated the neo-institutional approach for analysing consensus formation in interdependent international systems. A particular focus has been on the importance of "Leitideen" for channelling consensus in negotiations among autonomous partners with conflicting interests (Kohler-Koch and Edler 1998, Edler 2000, and the major publications of Areas B4 (Governance in Europe) and B5 (International Embeddedness of European Governance)).

2. Main Lines of Research

It is the explicit aim of this group to compare competing theoretical perspectives on international conflict resolution: a rational choice-based perspective assuming constrained actors making rational decisions leading to – potentially non-intended – macrooutcomes, and a reflexive-institutionalist approach, on the other hand, which postulates the interdependence between institutionally mediated ideas and the definition of interests and identities of actors in international negotiations. In a rather pragmatic approach, participants from the International Law field try to develop 'toolboxes' for international conflict resolution that satisfy respective normative principles.

Participants have agreed on a broad definition of institutions, focusing especially on systematic patterns of interactions and interdependencies. This allows us to disentangle formal norms and their actual effectiveness in a dynamic way. For the final activity period of the DFG Research Group, adherents of both viewpoints - at least in the MZES - consented to pursue their particular theoretical perspectives, though with a better understanding of the strengths and weaknesses of each approach, and some shared perspectives. The jointly shared perspective accentuates the embeddedness of actors within networks, thereby connecting actor and system perspectives. The networks may be specified as transporting flows of ideas ('discourse networks', 'cognitive maps' etc.), capital, and services as well as influence, support etc. In this view, networks constitute the mechanisms whereby 'appropriateness', the determination of 'focal points', and the valuation of expected negotiation outcomes, as well as the control of declared commitments (compliance) are produced and enforced.

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3. Selected Results

Rational Choice Perspective

A major focus of the projects directed by Pappi and Thurner (C2 and C6) was on the negotiations between EU member states during the Intergovernmental Conference (IGC) 1996. This conference constituted a further step – like Maastricht or Nice – of an institutional reform contributing to the constitutionalization of the European integration. The Amsterdam conference took place from April 1996 to June 1997. The IGC 1996 had the purpose of fulfilling Political Union, of (re-)balancing the division of power, but especially of preparing the institutional setting for an EU enlargement

Hitherto, EU constitution-building proceeded gradually, i.e. member states consented on voluntarily incomplete contracts. The public impression of one-shot intergovernmental conference negotiations during summits of heads of states is invalid. Such negotiations span months of formal meetings and informal coordination between member states. Furthermore, they are not separated from ongoing political business and events – at the international as well as at the domestic level. Therefore, an understanding of negotiation outcomes has to take account of the specific form of the underlying processes and its connectedness to process-relevant determinants.

Unfortunately, even scientists' knowledge about embeddedness, processes and dynamics of negotiations is scant. Therefore, the main research question was whether and how domestic, transgovernmental and intergovernmental politics influenced the transition of the status quo and the performance of the member states, respectively.

In an extensive data handbook (Thurner et al 2002) we provide an analytical reconstruction of complex real-world negotiations and a diagnosis of conflict constellations and processes. Advanced social science techniques of visualization are used for the identification of the latent patterns of manifest conflicts, their often informal boundaries, the fragility/stability of alliances, and the domestic constraints of governments. Determinants of negotiation processes and outcomes are assessed via the statistical analyses of discrete negotiation choices in a variety of negotiation contexts. We focus on preparatory processes between ministries, transgovernmental network formation of the ministerial bureaucracy, and the processes of international negotiations. We provide in-depth interpretations and strategic conclusions.

Why are agents of the ministerial bureaucracy choosing to coordinate transgovernmentally?

Under which conditions do governments actively intervene during negotiations?

Which impact have intragovernmental conflicts and initially declared domestic restrictions, respectively, on the negotiation performance of governments?

Negotiation Issues and Data Collection

Data collection combined analysis of documents and standardized interviews of top-level bureaucrats in EU member states. The survey is centred around 30 documents, so called fiches, (CONF 3801/96 to CONF 3830/96) as prepared by top lawyers of the Council's *Service Juridique*. We conceive the resulting documents as constituting the actual multi-dimensional issue space. Each of these issues is considered to constitute a one-dimensional negotiation problem with ordinally arrayed options. As a rule, we kept the order of options as proposed by the *Service Juridique*.

We interviewed about 100 involved ministries, premiers' offices and presidents' offices in order to get their most preferred options on each negotiation issue on the table, their most important within-government as well as their external coordination partners.

The IGC 1996 as a Linked Negotiation System

We conceived this complex negotiation system as a nexus between involved ministries within EU member states' governments and the international negotiations. We distinguish between the intrana-

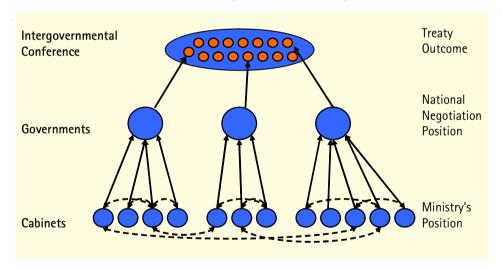
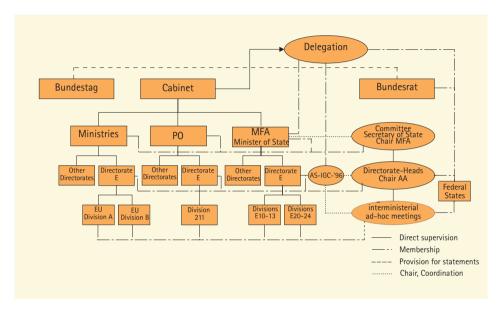


Figure 1: A linked negotiation system

tional game (level 2) where negotiation positions are prepared among the ministries and the cabinets' secretariats and the international game (level 1) where sovereign states are bargaining:

The task of preparing external negotiation positions can be understood as a problem of coordinating distributed, specialized decision-making units, where the divisions are ministries. As a consequence, governmental policy-making in parliamentary systems is interpreted as a process of interministerial coordination

where information and preferences are finally aggregated to a collective decision. Within-cabinet preferences may differ within issue areas, because ministries represent different constituencies. Due to close contacts of the EU member states' ministerial bureaucracy, we expected transgovernmental coordination efforts, in addition



We argue that after an internal coordination process (cf. Thurner/Stoiber 2002, Thurner/Pappi/Stoiber 2002, Stoiber 2003) within the cabinet, governments send delegations to Level 1 negotiations. These delegations officially declare negotiation positions with respect to the issues on the agenda.

The Domestic Preparation of Negotiation Positions

In general, other governments' internal organization more or less constitute black boxes for a delegation. Cognitive maps of involved organs, persons and their respective competences are incomplete. Actually, not all ministries are involved, and those involved do not have the same participation and decision rights. We identified the formal as well as the informal organization of this coordination process.

Figure 3 visualizes the informal coordination channels of the ministerial bureaucracy in Germany at that time as a network. This sociogram represents the centrality of actors as brokers of information, supply etc. The most central actor – in the German case the Ministry of Foreign Affairs – is located in the center of the network. Other actors are depicted according to their decreasing

Figure 2: The domestic organisation of international negotiations: Germany

Legend:

FS: Federal States

MAgr: Ministry of Agriculture MDef: Ministry of Defense MEco: Ministry of Economics

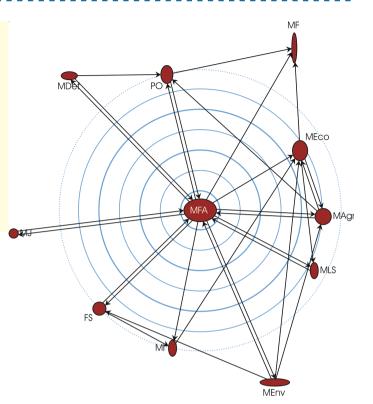
MEnv: Ministry of the Environment

MF: Ministry of Finance
MFA: Ministry of Foreign Affairs
MI: Ministry of the Interior
MJ: Ministry of Justice
MLS: Ministry of Labor and

Social Affairs

PO: Prime Minister Offices

Figure 3: Networks of informal domestic coordination



importance as brokers on concentrical circles. All actors beyond the dashed circle may be active part of the network, but do not contribute as 'bridges'. The size of the ellipse/circle increases with the overall number of incoming and outgoing coordination requests. Note that this research strategy allows to discover cases where formally appointed coordinators actually are not the most important brokers within governments .

The main task of international negotiations is to 'synchronize' domestic and international position-taking. Therefore, the main focus was on within-cabinet conflicts with regard to negotiation issues on the table. Overall, the frequency of domestic conflict with regard to issues was highest in Germany, and lowest in Italy and Great Britain.

Transgovernmental Networks of the Ministerial Bureaucracy

Transgovernmental coordination between the ministerial bureaucracies of EU member states is sometimes positively seen as leading to a 'fusion' of administrations thereby stimulating European integration. More sceptical views expect the coming of an uncon-

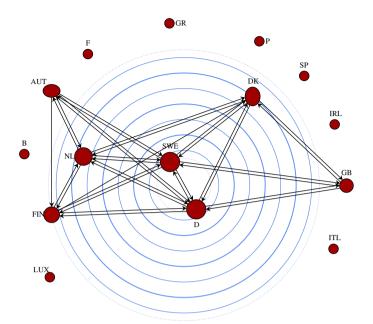


Figure 4: Informal transgovernmental coordination network of Departments of Environment

trolled mega bureaucracy. For the first time we reconstructed the informal transgovernmental communication channels of the involved ministries during that IGC. Figure 4 represents the network of the departments of environment. It shows that especially the respective ministries of the Nordic Countries, Austria, the Netherlands, Germany and the UK where especially engaged in transgovernmental networking. Most central in terms of connecting this latent system are the departments of Sweden and Germany.

Our statistical analyses indicate that in cases where the administrative elite perceives a) a conflict to the initially declared negotiation positions of their government; and b) that an equivalent ministry of another member state backs the own position, then the probability of transgovernmental coalition formation rises significantly.

Processes of Negotiations

As any practitioner knows, it is the specific procedures and sequencing of negotiations, which decides over success or failure of negotiations. Even results of highly professionally prepared and supported negotiations turn out to be unstable/inefficient ex-post because they are not incentive compatible and are therefore not implemented and/or renegotiated. Unfortunately, negotiation analysis produced only few systematic insights into the processes and dynamics of complex international negotiations so far.

For the first time we have quantitatively reconstructed the written interventions of each delegation on every negotiation issue during the 16 months of negotiations. Statistically, we show that the main incentive to introduce written proposals relies on the perception of the expected 'usefulness' of such a behavior given the constellation of positions of the other delegations: an intervention is useless if a) one shares the positions of most of the others, anyway; b) one has formulated an outsider position with no hope of success. As a consequence, the incentive to invest in costly engagement follows a curvilinear relationship.

The Impact of Domestic and International Constellations on the Negotiation Results

In a seminal article on the relation between domestic and international politics, Harvard Professor Robert Putnam conjectured that the chance of successful agreements of international negotiations is the higher, the larger the overlapping negotiation leeways (ONL) of the participating negotiating actors. Contrary to Putnam's conjecture 1, our statistical analyses indicate that 'overlapping negotiation leeway' was neither a sufficient nor a necessary condition for successful negotiations. It was the exercise of power and leeways following from intranational conflicts that have been conducive to a transition of the international status quo. Contrary to Thomas Schellings' conjecture that high domestic restrictions constitute a negotiation advantage, we find that overstating domestic restrictions proved to be incredible or unsustainable in this context. Insights of these applied studies can be used for the future management of sustainable and effective international cooperation.

Reflexive-Institutionalist Perspective

In the course of examining further the crucial role focal ideas ("Leit-ideen") play in institutions, three dimensions of institutionalization have been focused in successive phases of research.

The results of the first phase (Kohler-Koch C1) show that the *constitution* of international negotiation systems is facilitated by the establishment of shared cognitive constructs, namely focal ideas, which may redirect divergent interests or unite them under a new roof. For instance, a new conception of the process of innovation and its most effective organization developed first by the OECD was actively promoted by the EU-commission. Its diffusion through a network of forums involving governments as well as relevant non-governmental actors finally spawned the institutionalization

of research and development policy as a newly defined community objective.

In the second phase (C3) the attention shifted to stability, the second important dimension of institutionalization. The prescriptive force of the institution's principles, norms, rules and procedures for the behaviour of the relevant actors is upheld through continuous institutionalized negotiations concerning the "appropriate" interpretation of prescriptions or issues of implementation and compliance. These negotiations entail important elements of dialogue in which prescriptions are evaluated and validated by arguments, which refer to the ideational base of the institution and thus reify and reproduce it. Typically, specific organizational structures are created in international negotiation systems, in which such a dialogue may effectively be conducted. An example is the political dialogue between the EU and the African, Carribean and Pacific states (ACP). It complements the more technical negotiations on economic partnerships and serves primarily integrative purposes. One of its tasks is the elaboration, definition, and evaluation of a crucial concept for the EU-ACP cooperation, namely the idea of 'good governance'. While such processes facilitate the stability of institutions, they may also prevent institutional flexibility and thus provoke inefficiency.

The third phase (C5), therefore, concentrates on the peculiar balance between flexibility and stability, which institutions have to maintain in order to remain effective. The conscious management of old and new focal ideas is crucial to strike this balance. The options for managing these focal ideas result from the interplay of characteristics of the focal idea, the institutional mechanisms for their dissemination, and the capacities and ability of those "ideational entrepreneurs" who work for their promotion. The EU-commission acted as an ideational entrepreneur in regard to the concept of 'good governance'. It actively tried to influence the negotiation positions of the EU member-states as well as of the African, Carribean and Pacific states (ACP) with which it had to negotiate the terms of a new partnership agreement. However, in the end the Commission failed to redirect the divergent interests with this idea and its insistence seemed at times to impair the negotiation process. The failure can be attributed to several characteristics of the idea, which diminished its persuasive power. Thus, the dealing with 'good governance' had to be deferred to the political dialogue. It is a central aim of the third phase of the research to single out diverse options and the strategic means in regard to ideas, mecha-



nisms and process to realize the options in order to make institutions more effective.

From very different perspectives, our research will contribute to a middle-range theory of optimising institutions for efficient international negotiations. We will be able to make propositions about the differential applicability/appropriateness of different negotiation mechanisms for given constellations and point to the importance of ideas and the structures which enable or impede their production and dissemination.

4. Future Research

DFG Research Groups are funded for a six-year period. In this project, the overall period is divided into 3 two-year terms, with an external evaluation and new project proposals every two years. The group is now in its last period and the project will be completed in the spring of 2005.

Key Publications

Books

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Thurner, Paul W., Clemens Kroneberg and Michael Stoiber (2003): Strategisches Signalisieren bei internationalen Verhandlungen. Eine quantitative Analyse am Beispiel der Regierungskonferenz 1996. Zeitschrift für Internationale Beziehungen, 10, issue 2, pp. 287-320.

Thurner, Paul W., Franz Urban Pappi and Michael Stoiber (2002): EU Intergovernmental Conferences. A Quantitative Analytical Reconstruction and Data-Handbook of Domestic Preference Formation, Transnational Networks, and Dynamics of Compromise during the Amsterdam Treaty Negotiations. Arbeitspapiere – Mannheimer Zentrum für Europäische Sozialforschung, no. 60. Mannheim.

Thurner, Paul W. and Michael Stoiber (2002): Interministerielle Netzwerke: Formale und informelle Koordinationsstrukturen bei der Vorbereitung der deutschen Verhandlungspositionen zur Regierungskonferenz 1996. *Politische Vierteljahresschrift*, 42, issue 4, pp. 561-605.

Research Area B7

The Development of a European Regional System

Projects in Area B7

Completed Projects

Core Projects

- C1 Bonin, <u>Jahn</u> (1999-2001)

 The Foreign Policy of the Russian Federation vis-à-vis Bulgaria and the Federal Republic of Yugoslavia: Potential for Conflict or Cooperation on
- the European Periphery?
 C2 Jahn, Stewart (1999-2002)
 The Nationality Policy of Ukraine since 1989 and its Contribution to Ethnic Conflict Regulation

Supplementary Projects

- S1 <u>Jahn</u>, Preißler (1997-2000)

 Russians in Russia's Neighbouring States as a Subject of Domestic and Foreign Policy: Government Action Between State and Ethnic Nationalism
- S2 <u>Jahn</u>, Reich (1999-2001)

 The Relationship between the Czech and Slovak Republics after the Dissolution of their Common State

Ongoing Projects or in Preparation

Core Projects

- C3 <u>Jahn</u>, Stewart, Wagner (1999-2004) International Management of Ethnonational Conflicts in Eastern Europe
- C4 <u>Jahn</u>, Sahm (1999-2004)

 Brussels or Moscow: The Foreign Policy Orientation of Belarus, Poland, the Slovak Republic, and Ukraine in the Post-Communist Processes of Integration and Transformation
- C5 Fischer, <u>Jahn</u> (2002-2005)

 Authoritarian Integration or Democratic Co-operation? The Caucasus between Brussels and Moscow

Supplementary Projects

- S3 <u>Jahn</u>, Peter (2002–2004)

 The Management of Integration Processes in the CIS and the Whole of Europe as Intended by Russian Political Actors
- S4 Bieniek, <u>Jahn</u>, Weichsel (1999-2004)

 How Polish and Czech Political Actors Link Western Integration to
 Eastern Policies

The Development of a European Regional System

The breakdown of communist party rule in Eastern Europe initiated not only a political and socio-economic transformation, but also a transformation of the internal and external territorial state systems (the so-called third dimension of East European transformation). This latter transformation was foremost characterized by disintegration processes at various levels, which were distinguished primarily by non-violent, but in some cases also by violent conflicts. However, new cooperation and integration processes were not long in beginning.

1. Research Questions and Aims

After the disintegration of the Warsaw Treaty Organization and the Council of Mutual Economic Assistance and also of the three multinational states Yugoslavia, Czechoslovakia and the Soviet Union, the emerging seventeen new European nation-states and the five older nation-states in East Central and Southeastern Europe faced new tasks of domestic and international integration. The need to build and consolidate state nations required in many cases at first a solution or at least a regulation of ethnonational conflicts within the nation-states. These conflicts proved to be more threatening than social conflicts between the already existing and newly emerging social classes and strata. Supplementing and interacting with these ethnonational conflicts were conflicts between radical reformers and protagonists of the previous political and socioeconomic system. Whereas the former thought to introduce liberal democracy and a market economy, the latter tried to preserve some elements of the old system and retain considerable distance from the imitation of the Western modes of domestic order and from the full integration of their state into the Western international system. While the East Central and Southeastern European states began attempts to join NATO and the European Union, the seven European CIS (Commonwealth of Independent States) members have so far had to choose between two options: either to keep Western modes of domestic and international integration at bay or to tie themselves as closely as possible to European and global integration processes: via institutional arrangements with NATO and the EU below full membership (Partnerships for Peace, Partnership and Co-operation Agreements, etc.) or participation in other pan-European and global fora (OSCE, Council of Europe, WTO, etc.).

This situation raised the core question of the Research Area. It concerns the interrelationship between domestic social and

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The choice between a Western orientation (toward the EU and NATO) and an Eastern focus, while clear for some Central and Eastern European countries, has split others politically down the middle

political features (which *inter alia* influence the development of democratic and authoritarian government) on the one hand and the quantity and quality of cooperation and integration on the nation-state and interstate level on the other.

Within this overarching research question the projects pursue two main aims: 1) comparative analysis of national integration within the new European nation states and 2) research on integration processes within the larger European regional system. Many projects pursue both these aims simultaneously, so that they can also study the link between processes and results of domestic integration with regional and international developments.

2. Main Lines of Research

The core question of the Research Area has been broken down into two sub-areas. The first concentrates primarily on the integration of various ethnic and national groups within a nation state (Ukraine, Georgia, Czech Republic, Slovakia) while the second focuses on integration into the European international system (Russia, Ukraine, Belarus, South Caucasian states, Bulgaria, Poland, Czech Republic, Slovakia). The studies on foreign policy and international integration consistently take into consideration domestic factors as well as the domestic effects of international developments.

The integration of various ethnic groups under a new nationstate umbrella has posed a significant challenge for the transitioning states of Eastern Europe

The first sub-area attempts to erect a bridge between the nationalism and conflict literatures and examines not only the effects of different types of nationalism which have developed since the collapse of the Soviet Union on social and political cohesion, but also the factors leading to peaceful or to violent means of dealing with conflict among ethnic and national groups or between them and the relevant state.

Within this sub-area a project on the dissolution of Czechoslovakia was concerned with explaining the weaknesses of a multinational state which was nevertheless accepted by the majority of the citizens, although active minorities were able to compel its division. The project also sought explanations for the peaceful nature of that division (S2).

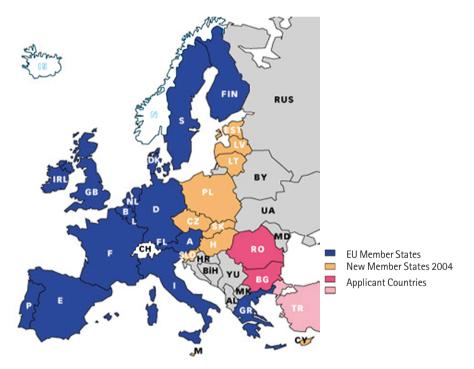
The largely non-violent handling of the relationship of ethnic minorities to an emerging nation-state was also the focus of the project on the nationality policy of Ukraine since 1989 and its contribution to ethnic conflict regulation (C2).

In some regions ethnonational conflicts escalated to civil war, which sometimes caused international intervention. In these

cases international civilian organizations are increasingly involved in conflict management, either after or before the outbreak of civil war. This international management of ethnonational conflicts by international organizations as well as partnerships of international and local NGOs is the subject of a project on Georgia and Moldova (C3) and of a potential new project on the Balkans.

The second sub-area describes and explains the integration competition between Moscow and Brussels in formerly communist Europe. This competition can be detected on the international as well as on the national level. It is led by the protagonists of a state-dominated political and socio-economic system and the advocates of a full-fledged liberal democracy and market economy. It contains a conflict between different structures of regional integration like the EU and NATO on the one hand, and the CIS on the other.

The competition between Brussels and Moscow for influence in the European space and beyond has transformed the regional power constellation



The integration competition between Brussels and Moscow is the common focus of four different projects. The first explores the various perspectives of influential Russian political actors on the management of integration processes in the CIS and the whole of Europe (S3). A second project investigates the methods used by Polish and Czech actors to link Western integration to Eastern policies (S4), while the third examines the impact of international assistance filtered through domestic structures on regime type in the South Caucasian states (C5). Another project deals with integration competition in Belarus, Ukraine, Poland and Slovakia (C4).

Whereas our research in the late 1990s concentrated more on the relevance of nationalisms and national movements for the integration of society in the new states and for nation-building, most new projects deal with intended and actual international integration and its domestic causes.

The main focus of our research is therefore to analyse, i.e. describe and explain, conflict, cooperation and integration policies and processes in former communist Europe in the 1990s and the first years of the new century. Our research focuses on the abovementioned general European questions and on specific issues of single states.

With regard to sources, all of our projects utilize official documents of governments, parties, and other political and politically influential social organizations extensively, as well as political and scientific journals and monographs and grey literature from relevant political and scientific institutions. To a limited extent official statistical data and public opinion polls are included in the analysis. Some rely partly on the results of semi-standardized elite interviews to round out the analysis.

The various projects are in differing stages of completion. The

3. Selected Results

project on ethnopolitical conflict in Ukraine has shown that to a limited extent a prudent strategy by the Ukrainian government helped keep ethnic group mobilization low, which in turn ensured that conflicts between the state and various ethnic and national minorities remained non-violent. However, it was further found that previous observers had overemphasized the influence of Ukrainian nationality policy on the level of ethnopolitical conflict. Other factors, such as degree of perceived collective disadvantage, level of group identity and cohesion, and international support for the ethnic groups played an equally important role in determining the amount of mobilization and thus the level of conflict. Nonetheless, a complex interplay among the factors and the ambivalent nature of some of them meant that not all factors were equally significant for each of

the four ethnic groups (Russians, Crimean Tatars, Romanians, Hungarians) studied, nor were the factors necessarily relevant in the same way in each of the three political subfields investigat-

The various impacts of the Soviet legacy, along with newly emerging international dynamics, have combined to shape ethnic group relations in the CIS countries ed: language, citizenship and political representation of ethnic minorities.

Tentative results regarding the management of ethnonational conflict by international actors have been reached in the case of the Georgia-Abkhazia conflict. With regard to the response of the UN, it can be said that a focus on Georgian territorial integrity and an insistence on a "comprehensive settlement" have weakened the effectiveness of UN mediation efforts. UN stabilization attempts in Abkhazia have achieved ambivalent results. but the case has proved to be a useful test of UN cooperation with a regional organization in the form of a CIS peacekeeping force. On the middle-range level, partnerships between international and local NGOs have made a limited contribution to the conflict transformation process. While enjoying some small success in initiating and expanding contacts and cooperation with government officials and institutions to promote a peaceful resolution of the conflict, they have not been able to reach the broader society with their peacebuilding efforts. Rather, these have been limited to an extremely small circle of NGO activists, although within this circle the process of trust-building is already quite advanced.

Integration competition between West- and East-oriented societal and political actors has played a certain role in all former communist countries since 1991. But in some countries the competition was brought to an early end by the overwhelming success of a coalition formed by elites promoting Western models of domestic and international integration and the majority of citizens accepting or at least tolerating these policy choices. This kind of domestic change has often been accompanied by application for membership in the EU and NATO. In turn, opposition to membership in the Western political unions may be, but must not necessarily be combined with a distance from liberal democracy and a market economy. Furthermore, a close look at the differentiated spectrum of elite attitudes in Russia shows that favouring CIS integration does not inevitably presuppose the promotion of state-centred models of political and economic order. A Western orientation became overwhelmingly dominant in the Central European countries very quickly. Today, the main opponents of this "Western choice" are no longer Russia-oriented actors, but protagonists of national non-alignment. These are of almost no relevance in the Czech Republic, which does not share a common border with any CIS country, but play an important role in Poland. There the Catholic-nationalist right and the popIn the new member countries of the EU, elite promotion of Western models was usually accompanied by the acceptance of the resulting policy choices by most of the population

ulist farmers' movement reject the entire project of European political and economic integration and strive to establish an autarkist Polish state based on Christian values and an isolationist economic model. Nevertheless, although Russia is not seen as an alternative integration centre, an active Polish Eastern policy remains a major foreign policy aim for the vast majority of actors with the function of influencing the development of European integration in ways compatible with perceived Polish national interests.

The integration competition as a kind of new East-West divide most strongly polarizes the society and political party system in Belarus and Ukraine, to a much lesser extent in Latvia and Estonia. For some time anti-Western political forces were of some relevance in the Federal Republic of Yugoslavia, in Bulgaria and to some extent in Slovakia.

A large segment of the Russian political elite strives to position Russia as a European centre of gravitation in its own right and an equal partner to NATO and the EU In Russia pro-Western attitudes as a rule do not materialise in the wish for EU or NATO membership. This is not so much because Russia does not have any realistic chance of becoming a member in the foreseeable future. It is much more due to a common understanding of Russia's role on the continent. The domestic political elite perceives Russia as a European centre of gravitation in its own right: not inevitably as a countervailing power to EU and NATO, but at least as an equal partner. Although the CIS framework has not led to substantial integration results so far, and the interest of some Member States (especially Azerbaijan, Turkmenistan, Georgia and Ukraine) in further integration steps is rather often just lukewarm, the post-Soviet space has remained a constant priority of Russian foreign policy. However, during the presidency of Vladimir Putin the policy goals pursued in the CIS region seem to have shifted: away from seeking hegemonial control in order to underline a traditionally understood great power status toward a more sober policy that follows cost-benefit calculations in bilateral relations.

4. Future Research

Most projects will be finished in the beginning of 2004, although one project started only last year. The two current sub-areas will then be completed, with one possible exception. In the context of the focus on nationalism and conflict, a project on ethonational conflicts in the Republic of Daghestan is planned. Daghestan in the North Caucasus, which belongs to the Russian Federation, is the only European republic in which not one of the extremely numerous ethnic nations represents the majority

of the population. If a competent researcher can be found, this project will round out the studies undertaken in the first subarea. The second sub-area on integration competition will also be completed once ongoing projects are finished, and there are plans to develop a new focus within the framework of studies on the European regional system.

This new focus would draw on existing expertise on the functions of intergovernmental organizations and of civil society in conflict regulation attempts while integrating the Research Area more closely with current and planned work at the MZES on the role of NGOs in creating regional and global networks and the interactions between civil society institutions and the mechanisms of regional governance.

Two projects are in the early stages of conception. The first would examine the development of existing instruments of international governmental as well as non-governmental conflict management. Preliminary work on this potential project has already been carried out within Research Area B5 (B5, C2). The second project would investigate the contribution of the EU accession process to the development of civil society by devising indicators for this development and using them to compare the state of civil society in two EU candidate and two non-candidate countries. By means of qualitative research methods the project would then attempt to isolate the influence of EU policies connected to the accession process on civil society developments. The project would thus explore two hypotheses: first, that the state of civil society in EU candidate countries is more advanced than in noncandidate states, and second, that this is partially due to the influence of EU mechanisms activated during the accession process.

The role of intergovernmental organizations and civil society institutions in democratization and conflict regulation is increasingly becoming a focus of the Research Area

Key Publications

Books

Bieniek, Markus: Polens Westintegration und Ostpolitik: Vorstellungen unterschiedlicher politischer Kräfte im Vergleich (forthcoming).

Jahn, Egbert: Nationalism in Late- and Postcommunist Europe (forthcoming).

Peter, Rolf: Russland im Neuen Europa. Nationale Identitätssuche, außenpolitischer Wandel und regionale Integration (forthcoming).

Sahm, Astrid (1999): Transformation im Schatten von Tschernobyl. Umwelt- und Energiepolitik im gesellschaftlichen Wandel von Belarus und der Ukraine. Münster: Lit Verlag. Stewart, Susan: Why Has Ukraine Remained Peaceful? Explaining Low-Intensity Ethnopolitical Conflict (forthcoming).

Weichsel, Volker (2001): Westintegration und Rußlandpolitik der Tschechischen Republik. Münster-Hamburg-London: Lit Verlag.

Articles

Jahn, Egbert (1999): Das verborgene Erbe des Kommunismus: Die nationalstaatliche Ordnung im Osten Europas. Pp. 63–99 in: Egbert Jahn, Hermann Weber, Günter Braun, Horst Dähn, Jan Foitzik und Ulrich Mählert (Ed.): Jahrbuch für Historische Kommunismusforschung 1999. Berlin: Akademie Verlag.

Jahn, Egbert (1996): Osteuropäische Staaten und ihre Integration ins gesamteuropäische Mehrebenensystem, in: König, Thomas, Elmar Rieger and Hermann Schmitt (Ed.): Das europäische Mehrebenensystem, Frankfurt - New York: Campus.

Sahm, Astrid (2001): Integration, Kooperation oder Isolation? Die Ukraine und Belarus' im Vorfeld der EU-Osterweiterung, *Osteuropa* 51 (11–12), S. 1391–1404.

Associated Projects and Outlook on a Future Research Topic

Associated Projects

History of the German Democratic Republic

Two associated projects of Department B continue a research tradition of the MZES going back already to the early 1980s. Research on the history of the German Democratic Republic was the topic first of a department of the former Institute of Social Sciences and then – when MZES was founded – of a special research department of MZES till 1997. Prof. em. Dr. Dr. h.c. Hermann Weber as the director of this department specialized since then on studying the history of communist parties and international communism in general.

The Comintern Project

Since 1999 one of Hermann Weber's associated projects focuses on "The Impact of the Comintern on the Western European Party System" as part of a joint research endeavour of the German-Russian Historians' Commission. Hermann Weber as its director and Dr. Bernhard H. Bayerlein as the researcher have already published books on "The Thälmann Scandal" (Geheime Korrespondenzen mit Stalin, 2003) and on "The German October 1923" (Subtitle: Ein Revolutionsplan und sein Scheitern). Publications of various original documents on the goals of S.U. policy after 1945, as a joint publication with Russian historians (director: Jakov Drabkin) and on the relationships of the Comintern (1919 to 1943) with Germans and Germany are in preparation.

History of Communism Yearbook

Since 1993 a Yearbook of Research on the History of Communism is published as a further associated project. This yearbook offers an international forum for new research results on the history of international communism mainly based on the original documents in Russian archives to which the researchers now have access (Directors: Hermann Weber and Egbert Jahn). Since 2001 the "International Newsletter of Communist Studies" is part of the yearbook published by Aufbau Verlag Berlin.

Further publications of Hermann Weber's analyses of communism are a new edition of "Geschichte der DDR" (München 2000, publication in Czech, Prag, 2003); Hermann Weber's autobiography together with Gerda Weber "Damals, als ich Wunderlich hieß. Die SED-Parteihochschule "Karl Marx" bis 1949" (Berlin 2002), and, together with Andreas Herbst, "Deutsche Kommunisten. Biographisches Handbuch 1918–1945" (Berlin 2004). Bernhard Bayerlein edited "Dimitroff's diaries 1933–1943" (Berlin 2000).

Outlook on a Future Research Topic

Legislative Politics and Law-Making in Europe

In democratic polities and under the rule of law important public policy decisions need to take the form of legislation. In parliamentary systems, a subset of the former set of countries, parliamentary majorities are put together for two purposes: (1) to bring to and maintain in office a government and (2) to implement its programme largely by the means of legislation. Yet, despite its relevance the legislative process and its outputs (i.e. laws) are grossly understudied. "Legislative Politics and Law-Making in Europe" is a research programme designed to address a number of theoretically and empirically relevant lacunae and to provide a better understanding of the crucial process of law-making and its outputs in Western Europe.

Preview of a new Research Area coordinated by Wolfgang C. Müller

At first sight it may seem strange that such a central and important part of politics as constituted by the making of laws is understudied. To be sure, there are many studies on public policies in specific sectors that include law-making. However, these studies first and foremost have a substantial interest in a specific policy area and as a rule do not add up to producing systematic information on the process of making laws. Likewise, we have a relatively good comparative understanding of the legislative institutions and the legislative process from a macro perspective, i.e. we have sufficient information on the formal process of law-making (e.g., Strøm, Müller, and Bergman 2003) and have some understanding of the relative importance of, for instance, the pre-parliamentary stage relative to the parliamentary one and the committee stage relative to that of plenary meeting (see Döring [1995] and Norton [1996, 1998, 1999] for the best comparative evidence). However, at closer scrutiny many of the discipline's insights are based on not all too impressive empirical evidence and some of them are quite dated by now. Within-system differences, for instance between legislation in different policy areas and under different governments, are occasionally noted, but rarely with precision. These remarks, of course, are not to criticise the works cited above, which indeed have considerably pushed forward the research agenda of European parliamentary studies. Rather it is an assessment where we stand now that suggests that more research is urgently needed just for the purpose of providing good descriptions of what happens in European legislatures.

Comparative legislative studies instead of policy studies

Good description is a laudable task, but it is not the ultimate goal of research. Eventually, we want to have a theory of law-making that has survived some empirical testing. Yet, with some notable exceptions, theory-driven empirical research has refrained from addressing the question of law making and has rather focused on government stability (see, e.g., Huber 1996) or has "solved" some of the problems by making heroic assumptions (see, e.g., Huber and Shipan 2002). Again these remarks are not to criticise the cited works as they have improved our understanding of parliamentary polics in several important ways. Nevertheless, a proper test of the institutional theories would require process and output data of much better quality than now available.

Legislative data

While not completely absent, such process and output data are still rare in political science. To be sure there are valuable studies at the national level that are based on such data (e.g., Burton and Drewry 1981; von Beyme 1997; Tálos and Kittel 2001). Yet, such studies are relatively rare and despite many similarities, they are sufficiently different in design to make cross-national comparison a difficult and often impossible task. Leaving aside a few early attempts (e.g., Blondel et al. 1969), genuinely comparative studies are of very recent origin. They are rare and as yet have covered only a small part of the huge territory of making laws in Western Europe. A pioneering comparative effort is Herbert Döring's MZESoriginated project. Drawing on a team of international collaborators, including this author, the project begun by mapping out the institutional structures of Western European parliaments (Döring 1995). A second volume tests institutional and partisan hypotheses on the basis of legislative output data (Döring and Hallerberg 2004; see also Tsebelis 1999). Impressive as it is, having drawn on the ILO data bank of labour-related laws the book is based on data from one policy sector exclusively (more precisely it is based on 650 laws from the working time and working conditions area from 15 European states in the 1981-91 period). Lanny W. Martin and Georg Vanberg (Martin 2004; Martin and Vanberg 2004) have compiled relatively basic legislative data from four Western European countries to explore research questions in the coalition politics field. Interesting and imaginative as both projects are, they have hardly scratched the skin of law-making in Europe. First, we need to move beyond one policy area and/or the collection of a few basic variables. The fact that excellent research has been carried out despite such limitations suggests the huge potential of legislative process and output data. Second, law-making is the angle

to address a great number of highly significant research questions that have not yet been raised.

At the descriptive level the research programme will help to answer questions about the effectiveness of governments and the Europeanization of rule-making. As yet we have no cross-national measure of government effectiveness in legislation nor do we know how conflictual or consensual law-making is in the different European countries. These issues have a huge theoretical impact. Both questions are central for the classification of regimes what, in turn, has been a central focus of Comparative Government since ever. Existing classifications of regimes have made rather heroic assumptions about the practice of the political decision-making process. Lijphart's magisterial and highly influential Patterns of Democracy (1999), for instance, classifies political regimes on the basis of some institutional features, the inclusiveness of governments, and the character of labour relations. While these characteristics make consensual or majoritarian modes of decision-making more or less likely, they by themselves cannot provide information about their actual occurrence. Intensive-design studies of individual countries indeed show that decision-making modes vary considerably under a given set of institutions and do so to a greater extent than the inclusiveness of government would suggest. Hence, studying lawmaking processes will allow for an alternative classification of countries along the consensus-conflict dimension as it will constitute a test of Lijphart's classification.

Provided that laws and money are the two principal resources of governments (e.g., Rose 1984) the lack of systematic studies of legislative outputs is puzzling. Perhaps this relative neglect of lawmaking in comparative studies is due to the very nature of laws. Laws raise the "problem of equivalence" (van Deth 1998) in several ways. While euros spent roughly mean the same in each country, enacted laws do not. Just counting laws and mechanically calculating enactment rates would be insufficient if not misleading. Hence, any comparative study of law-making and laws faces a number of methodological challenges. These include the great differences between the states in the scope of national government (what is regulated at the national and what at the sub-national level?), constitutional requirements (what is required to become a law and what can take the form of a government decree?), legal culture (many specialized laws or the codification of broad areas in one law?), and the predominant legislative techniques (e.g., one or several laws to amend several pieces of existing legislation?). The research programme will inquire to what extent the Europe-

Europeanization of law making

anization of rule-making is a key to solve such problems provided that European directives (perhaps "European laws" in the future) constitute the same input to national rule-making systems. To be sure, differences in the status quo of existing rules, the freedom enjoyed by EU member states in the implementation of European directives, and the great variance that can be found in practice suggest that this can only be a partial answer.

Europeanization is not only a possible means to overcome some aspects of the "problem of equivalence" but first and foremost an important research question in its own right. Jacques Delors once had estimated that by the mid-1990s 80 percent of the economic regulations in EU member states will be based on EU law. This statement is widely quoted as an essential insight in the allocation of *real* rule-making powers in Europe. Nevertheless, more than a decade has passed by after the statement had been made without much effort to study systematically the impact of EU regulations on national law-making. Given the huge impact of European rules on the member states it seems high on time to address the issue of Europeanization of legislation in systematic research. There is some research under way (also by this author) that aims to address it along the line suggested by Delors (i.e. Europeanization as a quantitative phenomenon). However, the impact of Europeanization on the law-making process and the quality of legislation remains to be addressed in a comprehensive manner (i.e. beyond case and sector studies with the primary interest in the specific policies) and will constitute a major focus of the "Legislative Politics and Law-Making in Europe" research programme.

Legislative output as missing link between inputs and outcome

At the analytical level the research programme "Legislative Politics and Law-Making in Europe" will contribute to our understanding of the relevance of political preferences - the political composition of governments and parliamentary majorities government (majority vs. minority, single-party vs. coalition, different types of coalition, party ideology, party) - and institutions. While political science has made considerable progress in understanding the preferences of collective political actors (the party manifesto project, the alternative project directed by Michael Laver, an increasing number of expert polls, etc. [see Laver 2001 for an overview]) we badly lack studies that show whether or not these preferences have been consequential (for notable exceptions see Klingemann et al. [1994], who use budget data, and Blondel and Nousiainen [2000] who look at policies). The research programme "Legislative Politics and Law-Making in Europe" will contribute to closing the gap between the study of policy intentions and outcomes. Studies of outcomes (i.e. those based on economic and life quality indicators) cannot compensate for the study of political outputs as they do not allow to isolate the effects of political decisions.

With regard to the effects of institutions the research programme will contribute to our understanding of various constitutional settings (parliamentarism vs. other systems) and the whole range of parliamentary institutions. The research programme will also allow to evaluate the impact of the "soft" institutions of coalition governance (Müller and Strøm 2000) and will allow inferences on coalition politics. Cross-national and inter-temporal comparison will help to distinguish various preference-based and institutional effects.

Finally, parliamentary institutions are endogenous to the political process. In most countries they are not specially protected so that a simple majority can change the rules. This raises the question whether the parliamentary majorities of the day are "turning the legislative thumbscrew" (Dion 1997; see also Binder 1997), or, to borrow from military parlance, "shape the legislative battlefield" so that they can succeed with getting their legislative programmes enacted and do so with as little costs (e.g., in popularity) as possible.

The research programme will make use of both intensive-design and extensive-design studies, where the former have the functions of exploring the potential fruitfulness of approaches and providing qualitative evidence for the causality of relations and the latter mainly the functions of hypotheses testing. Ideally the programme will include all member states of the European Union and all established European democracies for some purposes and will concentrate on a much smaller sub-set of countries for others.

The research agenda outlined here is a major one. Meeting its challenge will require several inter-related projects and a considerable amount of time and energy. It will also require international cooperation. Provided that large-scale data collection is a necessary and important part of the proposed research the programme will also require the pooling of national and international funds. Given the centrality of law-making in modern democracies the research programme will have substantial positive spill-over effects for many areas in political science.

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Infrastructure

Computer Department Library Research Archive Eurodata

The M7FS - Infrastructure

Introduction

An important pillar through which MZES supports and facilitates research is its excellent and unique infrastructure, that makes MZES an attractive and productive place for research. Its primary goal is to support and facilitate research at the MZES through providing (1) efficient administrative and secretarial services, and (2) by building up and continually providing high standard computing, data, library, and document resources needed for research at MZES.

The administrative and secretarial services basically are responsible for the administration of the MZES budget and the research grants, for technical support of researchers and projects in preparing publications and reports, for organisational support in preparing meetings and conferences and for various other tasks in the internal organisation and everyday running of the MZES and its representation within and outside the university.

The larger part of the infrastructure concerns the computing, data, library, and documentation resources. Besides providing the indispensable computing facilities, this part of the infrastructure has to support research at the Centre through establishing easy and immediate access to literature, data, documents and meta-information relevant for the research projects, both by building up *internal* stocks and by facilitating access to *external* stocks. It secures quick access to central data sources in the social and political areas studied and it includes a specialised library that has on hand the literature, publications and documents relevant for research.

The infrastructural services must be provided professionally and correspond to the current state of research; they also must be well connected to ongoing research in the Centre and to the needs deriving from it. In order to obtain such infrastructural services, the scientific staff of the infrastructure is provided the opportunity to be directly involved in research (up to 50% of working time). Research may be done in projects included in the MZES Research Programme or in research related to the infrastructural services. Research of the latter kind, for instance, includes producing data handbooks or creating new databases in specific areas of European social research by compiling and harmonizing data from disparate sources in different countries of Europe.

The infrastructure primarily serves MZES and its needs. Nevertheless MZES takes care that its infrastructure is also a useful resource for the wider research community (e.g. by means of special

A library, research archive and computer department as institutional support for research library collections, through gathering and/or making accessible distinct data sets or document collections, and through the publication and archiving of such collections). Several examples from the past and recent work of the infrastructure document the productive side-effects of this twofold orientation. for instance:

- The Library has systematically built up a rich collection of books including all major publications on European societies, on the political systems of Europe and on European integration.
- In combined efforts Eurodata and the Library have established a systematic collection of statistical publications and aggregate data resources for all Western and selected Eastern European countries which is unique in Germany and which is increasingly used also by researchers outside the MZES.
- Scientists working in Eurodata are producing the "Societies of Europe"-data handbook series which provides a major and internationally unique, critically edited collection of long-term statistical indicators describing and analysing the historical and recent development of European societies.
- Eurodata has compiled and published on CD-ROM several large scale databases which constitute original collections of research data, e.g. on Family Policies in the European countries and on Social Security.
- The unit "Parties and Elections in Europe" has compiled and published on CD-ROM encompassing international microdata research databases, e.g. the 'Eurobarometer Trend File' and the files 'Political Leaders and Democratic Elections'.

The following sections describe in more detail the work that is done in the respective areas of the infrastructure.

Computer Department

Staff: Marlene Alle (head) Christian Melbeck



The Computer Department is responsible for providing efficient facilities to access information, analyse data, process texts and prepare publications, communicate within and outside the MZES, as well as for supplying tools for administration and for project and research documentation. Of increasing importance are services for the utilization and protection of – internal and external – stocks of data and information. Also of growing importance is the development of tools which coordinate the different parts of the infrastructure and make their products accessible at the desks of the researchers (such as databases, research documents or library catalogues). Other growing and demanding tasks include an in-

formative and up-to-date presentation of the Centre and its products on the Internet.

To fullfill these requirements, the institute use a LAN (Local Area Network), which is integrated into the network of the university of Mannheim, which is connected to the internet. The LAN of MZES consists of about 110 PCs, runnning Windows 2000, 10 network printers, a web server, a server for the library catalogue, a data server for the Eurodata research archive and a file – and mail server. All these servers use Solaris as operating system. Each staff use a PC with office software and – if needed – data analysis software.

Library

The MZES library includes two parts: the Europe Library and the Archive for Information on Textual Sources (*Quellen-Informationsarchiv*, QUIA).

1. The Europe Library

The Europe Library is a public, specialised research library collecting monographs, reference volumes, journals, working papers and other literature in the fields of the Centre's main research topics. Acquisitions are mainly made in some 15 broad research domains (such as family, education, labour markets, stratification, welfare state, migration, ethnic conflicts, interest groups, political order, parties and participation, European integration and others), including both major country case studies for Western and Eastern European nations and works with a comparative and/or a societal or political integration approach.

With its monograph, journal and working paper collections the Europe library has accumulated in recent years a rich treasure of the most important publications relevant for MZES research. The collection contains at present (end of 2002) about 27,600 media. 18,600 publications directly refer to the MZES research focus. The library subscribes to about 130 journals in the MZES library languages (German, English, French, Italian and Spanish) and about 50 periodicals in East European languages. In addition, there is an extensive collection of working papers (about 7,600) from domestic and foreign research institutes. The library catalogue can be searched on the internet. Search routines are available with MZES OPAC at the MZES-homepage (http://www.mzes.uni-mannheim.de/fs_bibliothek_e.html).

Staff:

Hermann Schwenger (head of library) Günter Braun (head of QUIA) Birgit Diewald Silvia Springer Sabine Weiß Helena Wozniak



2. Archive for Information on Textual Sources

The Archive for Information on Textual Sources (*Quellen-Informationsarchiv*, QUIA) was established in 1997 as a new division in the MZES infrastructure. QUIA complements the Europe Library and the research archive Eurodata by collecting and providing (meta-) information on textual sources. QUIA's function is to support research at the Centre which is not primarily based on numerical data. QUIA instead is concentrating on textual sources as well as organizations and institutions which produce, archive, publish and distribute appropriate texts that can be helpful as data sources for MZES research. As part of this information function QUIA accumulates and maintains collections of respective reference volumes. In areas of specific interest for researchers in the Centre the QUIA staff establishes and keeps on archive collections of documents and other text sources for comparative research on Europe and European integration. The following are examples of this work:

QUIA has produced a guidebook and on-line database on "Trade Unions of Europe. Organizations, Archives, Research Institutes". Also in the field of trade union research QUIA prepares a data handbook "Trade Unions in Western Europe 1900 – 1945", which shall contain basic information on all major confederations and major national unions, as well as detailed statistics on membership figures over time.

In support of MZES research projects which study the growing involvement of general interest groups in EU politics, QUIA compiles an information database on Non-Governmental Organisations active at the EU level.

In the MZES Euromanifestos project an extensive collection of election manifestos of all parties in the EU-member states which have participated in past elections to the European Parliament is being compiled and analysed. To make this manifestos collection accessible for further research in and outside the MZES, QUIA systematically documents the collection and puts it on archive in paper and electronic form.

Research Archive Eurodata

Staff:

Goals and tasks of Eurodata

Franz Kraus (head) Franz Rothenbacher Jean-Marie Jungblut Marianne Schneider

Eurodata has been established as a unit of the MZES infrastructure to provide the Centre we data crucial for its work. In view of the excellent infrastructure services in the field of science-based survey programmes through the national social science data archives, it was decided that Eurodata should focus on (tabular, ag-

gregate and micro) data from European official statistics provided at the national and transnational level. In this field, infrastructure services are still lacking in most of the European countries. In this context, Eurodata pursues two main aims:

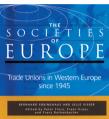
- (1) Establishment and continuous updating of a comprehensive data infrastructure in the field of official statistics. It includes:
 - The information archive, providing systematic and comprehensive meta-information on official statistical data and enumeration programmes (macro- and micro-level data). On the one hand, this includes the collection of materials that document the data generation and publication processes in national and international statistical agencies. On the other hand, national data generation programmes have critically been evaluated in comparative guidebooks for the social sciences and (more recently) in the Internet-accessible database 'Micro Data in Western Europe'. In the TSERsubproject "Official Microdata in Europe: Stocks and Access" availability, comparability and accessibility of population censuses, labour force surveys, family budget surveys and time budget surveys have been evaluated and Internet databases developed to retrieve information on survey characteristics and comparability issues in a comparative way.
 - The statistics library contains about 14,000 conventional publications as well as electronic data sources. The collection covers a wide range of fields of social statistics at the transnational, national, and – for a more limited set of areas – also sub-national level for Western and East Central Europe.
- (2) Establishment of European research databases and tools in close connection with departmental projects in research areas of the MZES. The rationale behind research databases is to support medium-term core projects with systematically compiled information and to ensure secondary use through making these databases available to the scientific community at large.

To support welfare state research, two European research data-bases have been developed: a database on family policies and a database on the cost of social security. The 'European Family Policy Database' provides information on specific family policy measures in 16 European countries since the 1970s, including information on expenditure. It is the outcome of a close cooperation between the archive and the research project "Family Change and Family Policy in Comparative Perspective" (1993–











2002). The database 'Cost of Social Security 1949–1993' provides data on financial transactions of social protection schemes in 23 Western and Central European countries, including institutional information on benefit schemes. It makes available in a user-friendly form hitherto unpublished records of ILO's periodic inquiry on the 'Cost of Social Security', supplemented by institutional information on benefit schemes collected by Eurodata. The 'European Family Database' is continued by the Austrian Institute for Family Research in cooperation with the European Observatory on Family Policies. The 'Cost of Social Security Database' will be continued by the ILO.

The most ambitious research database is the "Societies of Europe" – Series, a series of historical data handbooks, usually covering the period since late 19th century until today. It provides detailed time series on core development processes of European societies and rich documentation (including a CD-supplement), together with a critical evaluation of data sources and substantive analytical chapters. Three volumes have been published so far, two other handbooks are in the process of being completed: "The European Population since 1945" and "European Regions. The Territorial Structure of Europe , 1870–2000". The latter also provides unique tools for the comparative study of Europe below the level of nation–states: a set of digital maps and nomenclatures of administrative regions since about 1850.

A further task of the archive concerns the publishing of the "Eurodata Newsletter". Published since 1995, usually twice a year, the Newsletter reports on availability of and access to European microdata and other topics relevant for comparative research on Europe.

Future Perspectives

The data situation for comparative research on Europe is rapidly changing. Standard products of statistical offices are available online or are disseminated via the Internet. Also, access to official microdata is increasingly being extended to a variety of sources not available until recently on a Europe-wide scale (particularly labour force surveys, population censuses and panel data). This offers new research possibilities – and requires a re-orientation of the archive toward increased support of microdata-based research. Taking into account these changes, Eurodata will pursue in the future the following priorities in the various areas of its activities: Data library: Eurodata will continue the accumulation of the core statistical series, data files and data description documents to-

gether with a strategy to archive selected Internet-provided data files.

European research databases: Eurodata is setting up a system of keeping continuous records of election results at the constituency level across a number of European countries, and will partially update the time series of the data handbook on elections for a second edition.

Microdatabases: Various projects of the research programmes of Department A increasingly use the same cross-sectional and longitudinal microdata sets. To facilitate work, Eurodata is extending its support to acquire, keep and update well-documented files of these regularly used datasets. Establishing harmonized standard versions for selected and often-used core variables in these datasets is another important task in this area.

Unit "Parties and Elections in Europe"

This unit is an outgrowth of the former Zentrum für Europäische Umfrageanalysen und Studien (ZEUS). Funded jointly by the European Commission and the MZES, the ZEUS institute served as the external database and centre of analyses for the Europarometer department of the European Commission in the course of a decade (1987–1996). It now concentrates on providing empirical information on the relationship between political parties – the prime intermediaries between citizens and the state – and voters, with a deliberate focus on the politics of European integration.

Infrastructural services and research activities in this area are intimately interwoven. The general pattern is such that a particular research activity – which finds its expression in the MZES Research Programme (in particular Research Area B2) – leads to an infrastructural service such as the creation of a database. A number of such databases which are accessible via the Internet have been built over the past years including among others:

- Mannheim Eurobarometer Trend File 1970-1999: This file integrates thirty years of Eurobarometer data in one single data file by accumulating the answers of some 1,000,000 respondents to roughly 100 trend variables. Data set and documentation available at: http://www.gesis.org/en/data_service/eurobarometer/standard_eb_trend/trendfile.htm
- European Election Study 1999: Post-election telephone surveys in all EU countries (N = 13,550 eligible voters). Data set available at: http://shakti.trincoll.edu/~mfrankli/EES99.html

Staff: Hermann Schmitt

Political Leaders and Democratic Elections: Country datasets and integrated/harmonized datasets from national election studies since World War II focusing on party evaluations and personal characteristics of party leaders, combined with macro data on election results, parties and top party candidates. Data for 8 countries are available at: http://www.mzes.unimannheim.de/projekte/elections/download.html

Key Publications

Books

Societies of Europe Series

Caramani, Daniele (2000): Elections in Western Europe since 1815. Electoral Results by Constituencies. London: Macmillan.

Caramani, Daniele, Peter Flora, Franz Kraus and Jordi Martí-Henneberg (2004): European Regions. The Territorial Structure of Europe, 1870 - 2000. Basingstoke: Palgrave Macmillan (in print).

Ebbinghaus, Bernhard and Jelle Visser (2000): *Trade Unions in* Western Europe since 1945. London: Macmillan.

Rothenbacher, Franz (2004): *The European Population since 1945*. Basingstoke: Palgrave Macmillan (in print).

Rothenbacher, Franz (2002): *The European Population*, 1850-1945. Basingstoke: Palgrave Macmillan.

Articles

Schmitt, Hermann (2003): The Eurobarometers: Their Evolution, Obvious Merits, and Ways to Add Value to Them, European Union Politics, 4, Heft 2, S. 243–251.

Appendix

MZES Organs
MZES Staff
List of Journals with MZES-Publications
Publications 1999-2003
Papers and Presentations at Conferences
Doctoral Dissertations and Post-doctoral Theses
Conferences and Workshops
Visiting Scholarships of MZES Researchers
Visiting Professors / Scholars at MZES
MZES Cooperation Partners
Colloquia at MZES
Professional Services
MZES in the Public
Awards and Honours

MZES Organs

A) Executive Board

2002-2005:

Director: Prof. Dr. Walter Müller

Head of Department A: Prof. Dr. Hartmut Esser Head of Department B: Prof. Dr. Franz Urban Pappi

1999-2002:

Director: Prof. Dr. Jan van Deth

Head of Department A: Prof. Dr. Walter Müller Head of Department B: Prof. Dr. Beate Kohler-Koch

B) Kollegium (Supervisory Board) (as of December 2003)

 Professors of sociology and political science of the Faculty of Social Sciences (ex officio)

Prof. Dr. Johannes Berger (chair)

Prof. Dr. Herbert Bless
Prof. Dr. Beate Kohler-Koch
Prof. Dr. Josef Brüderl
Prof. Dr. Walter Müller
Prof. Dr. Jan van Deth
Prof. Dr. Wolfgang C. Müller
Prof. Dr. Hartmut Esser
Prof. Dr. Franz Urban Pappi
Prof. Dr. Peter Flora
Prof. Dr. Klaus Schönhoven
Prof. Dr. Egbert Jahn
Prof. Dr. Dagmar Stahlberg

 Two members of the university lecturers (Privatdozenten) of the Faculty of Social Sciences elected by the Faculty Council

PD Dr. Johannes Kopp

N.N.

Two members of the assistant professors and research associates of the Faculty of Social Sciences elected by the Faculty Council

Dr. Michèle Knodt

Reinhard Pollak

Up to four members elected by the Faculty of Economics

Prof. Dr. Christoph Buchheim

Prof. Dr. Wolfgang Franz

Prof. Dr. Roland Vaubel

Members of other faculties coopted by the Kollegium

Prof. Dr. Kai Brodersen, Faculty of Philosophy

Prof. Dr. Peter Drewek, Faculty of Social Sciences

Prof. Dr. Eibe Riedel, Faculty of Law

Prof. Dr. Martin Weber, Faculty of Business Economics

 Professors emeriti of the Faculty of Social Sciences with research projects at MZES

Prof. Dr. Dr. h.c. Hermann Weber

■ Four representatives of MZES research associates

Barbara Finke

Rolf Peter

Christine Pütz

Sonja Zmerli

Representatives of the three MZES infrastructure units

Dr. Günter Braun

Franz Kraus

Dr. Christian Melbeck

C) Scientific Advisory Board

Members of the Scientific Advisory Board as elected by the Academic Senate of Mannheim University

| Prof. Dr. Stefano Bartolini, Florence | 1998 - |
|---|-------------|
| Prof. Dr. Robert Erikson, Stockholm | 1996 - |
| Prof. Dr. Stephan Leibfried, Bremen | 1997 - |
| Prof. Dr. M. Rainer Lepsius, Heidelberg | 1998 - |
| Prof. Dr. Johan P. Olsen, Oslo | 2002 - |
| Prof. Dr. Helen Wallace | 1993 - 2001 |

MZES Staff

The following table gives an overview of the staff working at the institute as of December 31, 2003 and of staff members who left the institute during that year.

Staff members having left the institute at the end of 2002 or during 2003 are marked with an asterisk *.

| Name | Section | Funding Source |
|--------------------------------|------------------------|----------------------------------|
| Alle, Marlene | Infrastructure | MZES |
| Arndt, Frank | B6 | MZES |
| Ayirtmann, Selen | B2 | DFG |
| Ballendowitsch, Jens | A1 | Thyssen Stiftung |
| Bayerlein, Bernhard Dr. | B (associated project) | Federal Ministry of the Interior |
| Becker, Edda | Infrastructure | MZES |
| Berger, Johannes Prof.Dr. | A4 | Faculty of Social Sciences |
| Berton, Marina * | B1 | MZES |
| Bieniek, Markus | B7 | VW-Stiftung |
| Binder, Tanja | B2 | DFG |
| Braun, Günter Dr. | Infrastructure B4 | MZES |
| Brüderl, Josef Prof.Dr. | A5 | Faculty of Social Sciences |
| Caramani, Daniele Dr. | A1 | MZES |
| Christoph, Bernhard | A4 | MZES |
| De Bièvre, Dirk Dr. | B4 | EU |
| Deth, Jan W. van Prof.Dr. | B1, B2 | Faculty of Social Sciences |
| Diewald, Birgit | Infrastructure | MZES |
| Dollmann, Jörg | A3 | DFG |
| Dür, Andreas | B4 | EU |
| Eberle, Sibylle | Directorate | MZES |
| Engert, Stefan | B4 | MZES |
| Esser, Hartmut Prof.Dr. | A3, A5 | Faculty of Social Sciences |
| Finke, Barbara | B4, B5 | MZES |
| Fischer, Sabine Dr. * | B7 | MZES |
| Fix, Birgit Dr. | A2, A4 | Faculty of Social Sciences |
| Flora, Peter Prof.Dr. | A2 | Faculty of Social Sciences |
| Gschwend , Thomas Ph.D. | B2, B3 | DFG |
| Hamann, Silke * | A4 | MZES Young Scholars Program |
| Hellmann, Birgit | B5 | DFG |
| Hess, Josiane | Directorate | MZES |

| Name | Section | Funding Source |
|---|-------------------------|---|
| Humrich, Christoph | B6 | DFG |
| Jäger, Angela | A3 | DFG |
| Jahn, Egbert Prof.Dr. | B5, B7 | Faculty of Social Sciences |
| Jung, Nikola | B4 | MZES |
| Jungblut, Jean-Marie | Infrastructure | MZES |
| Kalter, Frank PD Dr. | A3, A5 | MZES / Temporarily substituting a position at the University of Leipzig |
| Kneip, Thorsten | A5 | MZES |
| Knobel, Heiko | B4 | MZES |
| Knodt, Michèle Dr. | B5 | Faculty of Social Sciences |
| Kogan, Irena | A3 | MZES Young Scholars Program / DAAD |
| Kohler-Koch, Beate Prof.Dr. | B4, B5, B6 | Faculty of Social Sciences |
| Kotzian, Peter Dr. | B6 | Faculty of Social Sciences (Temporarily substituting a position at the Faculty) |
| Kraus, Franz | Infrastructure | MZES |
| Kristen, Cornelia | A3 | DFG |
| Larat, Fabrice Dr. | B4, B5 | VW-Stiftung |
| Leuffen, Dirk | B4 | MZES Young Scholars Program / DAAD |
| Linhart, Eric | B6 | DFG |
| Luber, Silvia * | A1 | Thyssen Stiftung |
| Mack-Manhart, Sigrid * | Directorate | University |
| Melbeck, Christian Dr. | Infrastructure | MZES |
| Müller, Walter Prof. Dr. | Directorate A1, A3 | Faculty of Social Sciences |
| Müller , Wolfgang C. Prof.Dr. | B (prospected new area) | Faculty of Social Sciences |
| Nickel, Constanze | В | MZES |
| Panke, Diana * | B6 | DFG |
| Pappi , Franz Urban Prof.Dr. | B3, B6 | Faculty of Social Sciences |
| Peter, Rolf | В7 | VW-Stiftung / MZES |
| Pollak, Reinhard | A1 | Faculty of Social Sciences |
| Pütz, Christine Dr. | B2 | DFG |
| Puškaric, lvka | A2 | MZES |
| Reimer, David | A1 | Faculty of Social Sciences |
| Rittberger, Berthold Dr. * | B4 | Faculty of Social Sciences |
| Römmele, Andrea PD Dr. | B2 | VW-Stiftung |
| | | |

| Name | Section | Funding Source |
|---------------------------------|--------------------------|---|
| Römmer, Anika | A1 | BMBF |
| Rossi, Beate | Α | MZES |
| Roßteutscher, Sigrid Dr. | B1 | Faculty of Social Sciences |
| Rothenbacher, Franz Dr. | Infrastructure A1 | MZES |
| Schenke-Huber, Petra * | Directorate | MZES |
| Scherer, Stefani Dr. * | A1 | MZES / Faculty of Social Sciences |
| Schimmelfennig, Frank PD Dr. | B4 | MZES / Temporarily substituting a position at the University of Munich |
| Schmitt, Hermann PD Dr. | Infrastructure B2, B3 | MZES |
| Schneider, Marianne | Infrastructure | MZES |
| Schneider, Reinhart Dr. | Directorate | MZES |
| Schommer, Martin | A2 | MZES |
| Schupp, Patrick | A3 | MZES |
| Schwenger, Hermann | Infrastructure | MZES |
| Seidendorf, Stefan | B4 | MZES Young Scholars Program / Heidelberger Akademie der Wissenschaften |
| Shikano, Susumu Dr. | B3 | Faculty of Social Sciences |
| Springer, Silvia | Infrastructure | MZES |
| Stegmann, Christine | Α | MZES |
| Stewart, Susan | B7 | DFG / MZES |
| Stoiber, Michael Dr. * | B6 | DFG / MZES |
| Thurner, Paul W. Dr. | B6 | Faculty of Social Sciences |
| Tseng, Su-Ling Ph.D. | B5 | DFG / MZES |
| Ullrich, Carsten G. Dr. | A4 | Faculty of Social Sciences |
| Weber, Andrea | Directorate | MZES |
| Weber, Hermann Prof.Dr. | B (associated project) | Emeritus |
| Weichsel, Volker * | B7 | VW-Stiftung |
| Weiß, Christina * | B5 | MZES |
| Weiß, Sabine | Infrastructure | MZES |
| Wonka, Arndt | В | MZES Young Scholars Program |
| Wozniak, Helena | Infrastructure | MZES |
| Wüst, Andreas M. Dr. | B2 | DFG |
| Zittel, Thomas Dr. | B1 | DFG / Kennedy Fellow / Thyssen Stiftung |
| Zittei, inomas Dr. | DI | DIO / Kennedy Fenow / myssen stilling |
| Zmerli, Sonja | B1 | DFG / MZES |

List of Journals with M7FS-Publications

Journals reviewed in the Social Sciences Citation Index

Berliner Journal für Soziologie British Journal of Political Science

Comparative Political Studies

Contemporary Sociology

Electoral Studies

European Journal of Political Research

European Sociological Review

European Union Politics

International Migration Review

International Organization

Journal of Common Market Studies

Journal of Ethnic and Migration Studies

Journal of European Public Policy
Journal of European Social Policy
Journal of Mathematical Sociology

Journal of World Trade

Kölner Zeitschrift für Soziologie und

Sozialpsychologie

Osteuropa
Party Politics
Political Studies

Politische Vierteljahresschrift

Public Choice

Rationality and Society

Soziale Welt

The Harvard International Journal of Press/

Politics

Work, employment and society Zeitschrift für Sozialpsychologie

Zeitschrift für Soziologie Other scientific journals

Acta Politica

Análise Social, Revista do Instituto de Ciências Sociais da Universidade de Lisboa

Arbeit und Sozialpolitik

Aus Politik und Zeitgeschichte

Blätter für deutsche und internationale Poli-

tik

Cahiers Anatole Leroy-Beaulieu

Chinese Journal of European Studies

Critique internationale Deutschland Archiv EDP-Dokumentation

Espoir

Ethik und Sozialwissenschaften

European Integration Online Papers European Journal for Social Work

European Political Science

European Societies Formation Emploi

Forschungsjournal Neue Soziale Bewegun-

gen

Geographische Revue

German Politics

German Politics and Society

Inchiesta Integration

International Journal of Social Research

Methodology

International Journal of Sociology

International Review of Sociology - Revue

Internationale de Sociologie

Japanese Journal of Political Science

Journal für Konflikt und Gewaltforschung

Journal of Legislative Studies

Kritische Justiz

Kritische Vierteljahresschrift für Gesetzge-

bung und Rechtswissenschaft

..................

Lavoro e Relazioni Industriali

Management en Organisatie

Mirovaja Ekonomika i Mezdunarodnye

Otnosenija

Nationalism and Ethnic Politics

Nationalities Papers

Neue Politische Literatur

New Balkan Politics Nord-Süd-aktuell

Österreichische Zeitschrift für Politikwissen-

schaft

Politique Européene

Pouvoirs

Schweizer Zeitschrift für Soziologie

Sozialer Fortschritt Soziologische Revue

Statistics in focus, Population and social con-

ditions (Theme 3). Eurostat

Streit - Feministische Rechtszeitschrift

Swiss Political Science Review

Universitas - Zeitschrift für interdisziplinäre

Wissenschaft

Universitas - Zeitschrift für interdisziplinäre

Wissenschaft

Vierteljahrshefte für Zeitgeschichte

WeltTrends. Zeitschrift für internationale

Politik und vergleichende Studien

Wiener Hefte zu Migration und Integration

in Theorie und Praxis

Wirtschaft und Statistik

Zeitschrift für Ausländerrecht und Aus-

länderpolitik

Zeitschrift für Bevölkerungswissenschaft

Zeitschrift für Internationale Beziehungen

Zeitschrift für Parlamentsfragen

Zeitschrift für Politik

Zeitschrift für Sozialreform

Zeitschrift für Wirtschafts- und

Sozialwissenschaften

Zentralblatt für Jugendrecht

Zona Abierta 86/87

ZUMA-Nachrichten

Other journals, newsletters, newspapers

Belarus-News

Berichte des Forschungsinstituts der Internationalen Wissenschaftlichen Vereinigung

Weltwirtschaft und Weltpolitik e.V.

Caritas-Mitteilungen

Die BKK

Die Zeit des Nationalsozialismus in Rhein-

land-Pfalz ECPR-news

EU-Magazin

Forschung - Das Magazin der Deutschen For-

schungsgemeinschaft

Forum - Forschung Universität Mannheim

Forum Politische Bildung, Informationen zur

Politischen Bildung Frankfurter Rundschau

Freudenberg-Stiftung informiert

Gegenwartskunde

Informationsdienst Soziale Indikatoren

Internationale Schulbuchforschung

Kommune

Mezdunarodnyj dialog - International Dia-

loque

Neue Caritas

Soziologie. Forum der Deutschen Gesell-

schaft für Soziologie

The International Newsletter of Communist

Studies

Zukunft

Zur Debatte

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- Gangl, Markus (2003): Unemployment dynamics in the United States and West Germany: economic restructuring, institutions and labour market processes. Heidelberg: Physica.
- Kim, Anna (2001): Familie und soziale Netzwerke. Eine komparative Analyse persönlicher Beziehungen in Deutschland und Südkorea. Opladen: Leske+Budrich.
- Kogan, Irena and Walter Müller (Ed.) (2003): Schoolto-Work Transitions in Europe: Analyses of the EU LFS 2000 Ad Hoc Module. Mannheim: Mannheimer Zentrum für Europäische Sozialforschung.
- Luber, Silvia (2003): Beruflich Selbständigkeit im Wandel. Ein empirischer Vergleich der sozialen und wirtschaftlichen Struktur Selbständiger in Deutschland und Großbritannien. Frankfurt: Peter Lang. (Europäische Hochschulschriften, Reihe XXII, Soziologie; no. 391).
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- Müller, Walter (Ed.) (2001): Wege zu einer besseren informationellen Infrastruktur. Gutachten der vom Bundesministerium für Bildung und Forschung eingesetzten Kommission zur Verbesserung der informationellen Infrastruktur zwischen Wissenschaft und Statistik. Baden-Baden: Nomos. (Als Mitautor der Kommission zur Verbesserung der informationellen Infrastruktur zwischen Wissenschaft und Statistik).
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- Brauns, Hildegard (1999): Soziale Herkunft und Bildungserfolg in Frankreich. *Zeitschrift für Sozioloqie*, 28, issue 3, pp. 197–218.
- Brauns, Hildegard, Susanne Steinmann, Annick Kieffer and Catherine Marry (1999): Does Education Matter? France and Germany in Comparative Perspective. *European Sociological Review*, 15, issue 1, pp. 61–89.
- Caramani, Daniele (2003): The End of Silent Elections. The Birth of Electoral Competition, 1832–1915. *Party Politics*, 9, issue 4, pp. 411–443.
- Gangl, Markus (2002): Changing labour markets and early career outcomes: labour market entry in Europe over the past decade. *Work, employment and society,* 16, issue 1, pp. 67-90.
- Müller, Walter, Hildegard Brauns and Susanne Steinmann (2002): Expansion und Erträge tertiärer Bildung in Deutschland, Frankreich und im Vereinigten Königreich. *Berliner Journal für Soziologie*, 12, issue 1, pp. 37-62.
- Müller, Walter (2000): Klassenspaltung im Wahlverhalten eine Reanalyse. *Kölner Zeitschrift für Soziologie und Sozialpsychologie*, 52, issue 4, pp. 790-795.
- Scherer, Stefani (2001): Early Career Patterns: A Comparison between Great Britain and West Germany. European Sociological Review, 17, issue 2, pp. 119-144.

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- Alba, Richard, Johann Handl and Walter Müller (1999): Les inégalités ethniques dans le système scolaire allemand. *Formation Emploi*, 17, issue 65, pp. 77-101.
- Andreß, Hans-Jürgen, Gero Lipsmeier and Henning Lohmann (2001): Income, Expenditure and Standard of Living as Poverty Indicators - Different Measures, Similar Results? Zeitschrift für Wirtschafts- und Sozialwissenschaften/Journal of Applied Social Science Studies, 121, issue 2, pp. 165–198.
- Barbieri, Paolo and Stefani Scherer (2002): Logici e Razionali? Comportamenti strategici dell'offerta di lavoro nella transizione scuola-lavoro: un confronto fra Nord e Sud Italia. *Lavoro e Relazioni Industriali*, 1, pp. 95-119.
- Brauns, Hildegard, Susanne Steinmann and Dietmar Haun (2000): Die Konstruktion des Klassenschemas nach Erikson, Goldthorpe und Portocarero (EGP) am Beispiel nationaler Datenquellen aus Deutschland, Frankreich und Großbritannien. ZUMA-Nachrichten, 24, issue 46, pp. 7-42.
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- Diez, Thomas: Die EU lesen. Diskursive Knotenpunkte im britischen Europadiskurs. Universität Mannheim: 1999
- Edler, Jakob: Institutionalisierung europäischer Politik. Die Genese des Forschungsprogramms BRITE als reflexiver Prozeß. Universität Mannheim: 1999.
- Eising, Rainer: Liberalisierung und Europäisierung. Die regulative Reform der Elektrizitätsversorgung Großbritanniens, der Europäischen Gemeinschaft und der Bundesrepublik Deutschland. Universität Mannheim: 1999
- Fischer, Sabine: Russland und der Westen. Zur Wirkung von Ideen in der russischen Westpolitik. Universität Frankfurt: 2002
- Fix, Birgit: Die Institutionalisierung von Familienpolitik. Belgien, Deutschland, Österreich und die Niederlande in historisch-vergleichender Perspektive. Universität Mannheim: 1999.
- Gangl, Markus: Unemployment dynamics in the United States and West-Germany: Economic restructuring, institutions and labor market processes over the 1980s and 1990s. Universität Mannheim: 2001
- Ganter, Stephan: Distanz und Kontext. Eine empirische Untersuchung zu den Determinanten sozialer Distanzen gegenüber Ausländern in Deutschland. Universität Mannheim: 2002.

- Haug, Sonja: Soziales Kapital, Migrationsentscheidungen und Kettenmigrationsprozesse. Das Beispiel der italienischen Migranten in Deutschland. Universität Mannheim: 1999
- Henning, Christian H. C. A.: Kollektive Entscheidungen und Tausch in Politikfeldnetzen. Universität Mannheim: 1999.
- Hutter, Franz-Josef: Menschenrechtspolitik im Zeitalter der Globalisierung. Ein Beitrag zur integrierten außen- und innenpolitischen Betrachtung und Umsetzung international als politikleitend anerkannter und völkerrechtlich vereinbarter Normen im Zeichen neuer Herausforderungen. Universität Mannheim: 2002
- Kim, Anna: Persönliche Netzwerke im Vergleich. Eine komparative Analyse persönlicher Beziehungen in Deutschland und Südkorea. Universität Mannheim: 1999.
- Kotzian, Peter: Verhandlungen im europäischen Arzneimittelsektor. Initiierung, Institutionalisierung und Ergebnisse eines Verhandlungssystems in statu nascendi. Universität Mannheim: 2002.
- Kristen, Cornelia: School Choice and Ethnic School Segregation. Primary School Selection in Germany. Universität Mannheim: 2003.
- Luber, Silvia: Berufliche Selbständigkeit im Wandel. Ein empirischer Vergleich der sozialen und wirtschaftlichen Struktur Selbständiger in Deutschland und Großbritannien. Universität Mannheim: 2002.
- Otte, Gunnar: Sozialstrukturanalysen mit Lebensstilen. Eine Studie zur theoretischen und methodischen Neuorientierung der Lebensstilforschung. Universität Mannheim: 2002.
- Rosenbusch, Bernd: Die Bedeutung der innerund zwischenstaatlichen Konflikte für die Kooperation und Integration der ASEAN-Staaten. Universität Mannheim: 2002
- Schwegmann, Christoph: Die Jugoslawien-Kontaktgruppe in den Internationalen Beziehungen. Universität Mannheim: 2002.

- Shikano, Susumu: Kollektives Verständnis von Politik. Die Theorie des Deutungsrahmens und ihre Anwendung auf das politische Verständnis der Bürger im Bundestagswahljahr 1998. Universität Mannheim: 2001.
- Steinmann, Susanne: Bildung, Berufsausbildung und Arbeitsmarktchancen: Eine empirische Untersuchung zum Wandel der Übergänge von der Schule in das Erwerbsleben in Deutschland. Universität Mannheim: 1999.
- Stewart, Susan: Why Has Ukraine Remained Peaceful? Explaining Low-intensity Ethnopolitical Conflict. Universität Mannheim: 2003.
- Stocké, Volker: Form oder Inhalt? Die unterschiedlichen Ursachen für Framing-Effekte. Eine theoretische und empirische Untersuchung der Einflüsse der Informationsdarstellung am Beispiel des "Asian Disease Problem. Universität Mannheim: 2000

- Stoiber, Michael: Interministerielle Koordination und Tausch. Die Vorbereitung der EU-Mitgliedsstaaten auf die Regierungskonferenz 1996. Universität Mannheim: 2002.
- Tessmer, Carsten: Gleichgeschaltet? Der Wandel des ostdeutschen Parteiensystems und die deutschlandpolitischen Anstrengungen von CDUD, LDPD, DBD und NDPD. Ein Beitrag zur Untersuchung von Rolle und Funktionen der Blockparteien im politischen System der SBZ/DDR. Universität Mannheim: 2001
- Wald, Andreas: Netzwerkstrukturen und Netzwerkeffekte in Organisationen. Eine Netzwerkanalyse in internationalen Unternehmen. Universität Mannheim: 2002.

B) Post-doctoral Theses (Habilitationsschriften)

- Henning, Christian H.C.A.: Beiträge zu einer strukturell eingebetteten positiven politischen Theorie. Universität Mannheim: 2000.
- Jachtenfuchs, Markus: Ideen und Integration. Verfassungsideen in Deutschland, Frankreich und Großbritannien und die Entwicklung der Europäischen Union. Universität Mannheim: 1999
- Kaiser, André: Mehrheitsdemokratie und Institutionenreform. Verfassungspolitischer Wandel in Australien, Großbritannien, Kanada und Neuseeland im Vergleich. Universität Mannheim: 2000.
- Kalter, Frank: Bedingungen und Prozesse der strukturellen Assimilation von Migranten. Universität Mannheim: 2002.
- Kopp, Johannes: Geburtenentwicklung und Fertilitätsverhalte: Theoretische Modellierungen und empirische Erklärungsansätze. Universität Mannheim: 1999.
- Poguntke, Thomas: Stabilität und Wandel. Die Entwicklung der organisatorischen Verbindungen von Parteien und Bürgern in Westeuropa (1960–1989). Universität Mannheim: 1999.

- Rieger, Elmar: Auf dem Holzweg: die gemeinsame Agrarpolitik in institutionentheoretischer Perspektive. Universität Mannheim: 1999.
- Römmele, Andrea: Direkte Kommunikation zwischen Parteien und Wählern. Postmoderne Wahlkampftechnologien in den USA und in der BRD. FU Berlin: 1999.
- Scheiwe, Kirsten: Rechtsmodelle der Kinderversorgung und soziale Ungleichheiten zwischen Frauen und Männern in vier Ländern (Belgien, BRD, Schweden und Vereinigtes Königreich). Universität Frankfurt: 1999.
- Schimmelfennig, Frank: Rules and Rhetoric. The Eastern Enlargement of the EU and NATO. TU Darmstadt: 2000.
- Schmitt, Hermann: Der Einfluss politischer Sachfragen auf die Wahlentscheidung und die Effektivität politischer Repräsentation. FU Berlin: 1999.
- Schmitt-Beck, Rüdiger: Wähler unter Einfluss: Gesellschaftliche Informationsflüsse und Wählerverhalten in Deutschland, Großbritannien, Spanien und der USA. Universität Mannheim: 1999.

Conferences and Workshops held at MZES or with MZES Support

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|-----------------------|--|
| Date | Topic / Chair / Local Organiser |
| 22.01.1999 | Bildung und Arbeitsmarkt / Workshop Prof. Dr. Walter Müller |
| 05.02 07.02.1999 | Citizenship, Involvement, Democracy / Conference Prof. Dr. Jan van Deth |
| 05.03.1999 | Familie und soziale Netzwerke / Workshop Prof. Dr. Walter Müller |
| 24.03 26. 03. 1999 | Political representation and party choice in the European Union – Planning session for joint publications of the research network Dr. Hermann Schmitt |
| 26.03 31.03.1999 | ECPR Joint Sessions of Workshops Prof. Dr. Jan van Deth |
| 21.05.1999 | Inklusion und Exklusion / Workshop Prof. Dr. Hartmut Esser |
| 28. 05.1999 | The spatial theory of politics and its applications to international negotiations / IINS Research Group |
| 01.07 | The Relations between China and the European Union / Workshop |
| 02.07.+ 05.07.1999 | Prof. Dr. Beate Kohler-Koch Prof. Dr. Zhou Hong, Chinese Academy of Social Sciences |
| 02.07 | Self-Employment in Advanced Economies / Workshop |
| 03.07.1999 | Prof. Dr. Walter Müller |
| 04.07 | Citizenship, Involvement, Democracy / Workshop |
| 05.07.1999 | Dr. Sigrid Roßteutscher |
| 15.07 17.07.1999 | Regieren in der EU (Governance in the EU) DFG-Workshop Prof. Dr. Beate Kohler-Koch |
| 23.09 | European Societies or European Society? / Conference |
| 28.09.1999 | Prof. Dr. Hartmut Esser |
| 30.09 | ECSR "Graduate School and Workshops" |
| 08.10.1999 | Prof. Dr. Walter Müller |
| 02.10 03.10.1999 | State and Perspectives of the International Research on Communism / Workshop |
| 03.10.1333 | Prof. Dr. Hermann Weber |
| 18.11 | Linking EU and National Governance / DFG-Workshop |
| 19.11.1999 | Prof. Dr. Beate Kohler-Koch |
| 16.12.1999 | Grundlegende Verhandlungssituationen im Völkerrecht / Workshop IINS Research Group |
| 27.03.2000 | Negotiating on Behalf of Others / Workshop of the IINS Research Group <i>Prof. Dr. Eibe Riedel</i> |
| 28.04 | Family Laws: Histories, Developmental Paths and their Causes / |
| 30.04.2000 | Conference Prof. Harry Willekens |

| Date | Topic / Chair / Local Organiser |
|---------------------|---|
| 05.05 06.05.2000 | Fair Division Procedures/ Workshop of the IINS Research Group Prof. Dr. Franz Urban Pappi |
| 10.05.2000 | Self-Employment in Advanced Economies II / Workshop Prof. Dr. Walter Müller |
| 25.05 26.05.2000 | The Family and the Welfare State in the New Century: Trends and Perspectives in Europe / TMR-Workshop Dr. Laura Maratou-Alipranti, Nat. Centre for Social Research, Athens Dr. Thomas Bahle, MZES |
| 01.06 03.06.2000 | Linking EU and National Governance / Conference Prof. Dr. Beate Kohler-Koch |
| 29.06 30.06.2000 | Domestic Politics and International Relations / Workshop of the IINS Research Group Prof. Dr. Franz Urban Pappi |
| 06.07 07.07.2000 | Inklusion und Exklusion: Das Verhältnis zwischen sozialer Differenzierung und sozialer Ungleichheit / Workshop Prof. Dr. Hartmut Esser |
| 06.07 09.07.2000 | Regieren in der Europäischen Union / Workshop Prof. Dr. Beate Kohler-Koch |
| 10.07.2000 | Development of a System of Regional Governance / Internal Workshop <i>Prof. Dr. Beate Kohler-Koch and Prof. Dr. Egbert Jahn</i> |
| 13.09 14.09.2000 | Family Policy in Europe / ECSR-Workshop Dr. Thomas Bahle, Prof. Anne H. Gauthier, University of Calgary |
| 13.09 14.09.2000 | Migration and Interethnic Relations / ECSR-Workshop Prof. Dr. Hartmut Esser and Dr. Frank Kalter |
| 16.09 21.09.2000 | European Societies or European Society? / Conference Prof. Dr. Hartmut Esser, Prof. Dr. Richard Breen, Florence, Italy |
| 25.09 26.09.2000 | Veto Players: An Introduction to Political Analysis and Solution Concepts for Bargaining Games With an Application to European Union Decision Making / Workshop of the IINS Research Group Prof. Dr. Franz Urban Pappi |
| 27.09.2000 | Gute Gemeinschaften in der Gesellschaft? – Integration von Migranten Participation at the 30. Congress of the German Society for Sociology: "Die gute Gesellschaft? Zur Konstruktion sozialer Ordnungen" Prof. Dr. Hartmut Esser, Prof. Dr. Bernhard Nauck, Chemnitz |
| 17.11 19.11.2000 | Self-Employment in Advanced Economies III / Workshop Prof. Dr. Walter Müller |
| 04.12 05.12.2000 | Statistical Methods and Graphical Displays for Logit and Probit Models / Internal Workshop Prof. Michael Hout, UC Berkeley |

| Date | Topic / Chair / Local Organiser |
|---------------------|---|
| 14.12 16.12.2000 | Politics of Mobilization Participation at the Conference: Facing Ethnic Conflicts – Perspectives from Research and Policy-Making / Conference Prof. Dr. Hartmut Esser |
| 15.01 16.01.2001 | A Decade of Regional Research: "What Have we Learned?" / Workshop Prof. Dr. Beate Kohler-Koch, Dr. Michèle Knodt, Dr. Thomas Conzelmann |
| 25.01 26.01.2001 | Educational Inequalities of Migrant Children / Workshop Prof. Dr. Hartmut Esser |
| 21.02 22.02.2001 | Towards an Assertive Europe / AEI-Panel Dr. Michèle Knodt, MZES |
| 26.02 03.03.2001 | EU-China Familiarization Programme / Workshop <i>Prof. Dr. Beate Kohler-Koch</i> |
| 26.04 28.04.2001 | Expanding Markets, Welfare State Retrenchment and Their Impact on Social Stratification Conference of the ISA Research Committee on Social Stratification (RC 28) |
| | Prof. Dr. Walter Müller |
| 29.04.2001 | Self-employment in Advanced Economies IV / Workshop Prof. Dr. Walter Müller , Prof. Richard Arum, New York |
| 18.05 19.05.2001 | The Political Economy of International Environmental Negotiations / Workshop of the IINS Research Group Prof. Dr. Roland Vaubel, Mannheim |
| 07.06 10.06.2001 | TMR Research Network "Political Representation in Europe" Third Plenary Meeting PD Dr. Hermann Schmitt |
| 01.11 02.11.2001 | "Verfassungspolitik in der EU" im Forschungsschwerpunkt "Regieren in der EU" / DFG-Panel Prof. Dr. Beate Kohler-Koch |
| 16.11.2001 | International Legalization / Workshop of the IINS Research Group Prof. Dr. Franz Urban Pappi |
| 23.11 24.11.2001 | Evaluation and Analyses of the ECLFS 2000 ad hoc Module Data on School-to-Work Transitions / Coordination Workshop <i>Prof. Dr. Walter Müller</i> |
| 03.12.2001 | Argumentative Behavior in International Negotiations / Workshop of the IINS Research Group Prof. Dr. Beate Kohler-Koch |
| 25.01.2002 | (In)Stability of Relations and Social Capital / Workshop Prof. Dr. Hartmut Esser |
| 04.04 07.04.2002 | TMR Network 'Representation in Europe' / Final Plenary Conference PD Dr. Hermann Schmitt |

| Date | Topic / Chair / Local Organiser |
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| 10.05 11.05.2002 | Second Coordination Workshop of the Project on Evaluation and Analyses of the EULFS 2000 Ad hoc Module Data on School-to-work Transitions / Workshop Prof. Dr. Walter Müller |
| 23.05 24.05.2002 | "Der Beitrag der Integrationsforschung zur Institutionentheorie" im Forschungsschwerpunkt "Regieren in der EU" / DFG-Panel Prof. Dr. Beate Kohler-Koch, Prof. Dr. Thomas Gehring |
| 18.06.2002 | Social Capital and the Dynamics of Transnational Migration / Workshop Dr. Frank Kalter |
| 26.06.2002 | Meeting to discuss ongoing or planned research projects / Workshop Dr. Frank Kalter |
| 04.07 05.07.2002 | "Interessendurchsetzung im Mehrebenensystem" im Forschungsschwerpunkt "Regieren in der EU" / DFG-Panel Prof. Dr. Beate Kohler-Koch |
| 05.07 06.07.2002 | Euromanifestos Coding Conference / Conference / Workshop PD Dr. Hermann Schmitt |
| 21.09 25.09.2002 | The Loss of the Social Bond? EuroConference on the Future of Community in Advanced Western Societies / Conference Prof. Dr. Johannes Berger |
| 01.10.2002 | Partnership panel / Workshop Prof. Dr. Hartmut Esser, Prof. Dr. Johannes Huinink (Rostock) |
| 10.10 13.10.2002 | "Political Leaders and Democratic Elections" Agenda Proposal for the Fifth Meeting of the Research Group / Workshop PD Dr. Hermann Schmitt |
| 07.12.2002 | "European Governance" / Workshop Prof. Dr. Beate Kohler-Koch |
| 13.02.2003 | Beziehungspanel / Workshop Prof. Dr. Hartmut Esser |
| 08.04 09.04.2003 | "Europeanization of Civil Societies or European Civil Society- Conceptions, Actors, Strategies" / Conference Dr. Michèle Knodt |
| 10.04 11.04.2003 | ChangeQual Network / Mannheim Conference Prof. Dr. Walter Müller |
| 17.10 18.10.2003 | ChangeQual Network / Planning for the 6 th EU framework programme <i>Prof. Dr. Walter Müller / Prof. Duncan Gallie</i> |
| 30.10 01.11.2003 | Soziale Ungleichheit und Sozialstrukturanalyse / Migration und ethnische Minderheiten / Joint meeting of DGS sections <i>Prof. Dr. Peter Berger, PD Dr. Frank Kalter</i> |

| Date | Topic / Chair / Local Organiser |
|---------------------|---|
| 06.11 11.10.2003 | "Development of a core curriculum on European studies" (EU Asia-Link Programme) / Workshop 'Training of the Trainers' Prof. Dr. Beate Kohler-Koch |
| 10.10 11.10.2003 | "EU Governance and External Relations" within the Research Programme 'Governance in the EU' / DFG-Panel Dr. Michèle Knodt / Frank Schimmelfennig |
| 27.11 29.11.2003 | "Debating the Democratic Legitimacy of the European Union" within the Research Programme 'Governance in the EU' / DFG-Panel Prof. Dr. Beate Kohler-Koch |
| 12.12.2003 | Partnership panel / Workshop Prof. Dr. Hartmut Esser |
| 14.12 18.12.2003 | Research Methods for Social Scientists Training and Research Network, 5 th FP / EU / Workshop <i>Prof. Dr. Beate Kohler-Koch</i> |

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Visiting Scholarships of MZES Researchers

| MZES Researcher | Date | Institution visited, City/Country, Function, (Financed by) |
|-------------------|---|---|
| Bonin, Peter | 03/2000 | Centre for Liberal Strategies, Sofia, Bulgary, project research, (DFG) |
| Brauns, Hildegard | 04/1999– 10/1999 | Stanford University, USA, guest scientist, (DFG) |
| Brüderl, Josef | 09/2001- 06/2002 | NIAS, the Netherlands, fellow, (University of Mannheim/NIAS) |
| Caramani, Daniele | 2000– 2002 | Robert Schuman Centre for Advanced Studies at European University Institute (EUI), Italy, research fellow, (EUI) |
| | 06/2001- 08/2001 | Oxford University, GB, Jemolo fellow, (Oxford University) |
| De Bièvre, Dirk | 10/2003 | Institut d'Etudes Européennes, Université Libre de Bruxelles, Belgium, chercheur visiteur, (personal) |
| Diehl, Claudia | 11/2001- 12/2001 | State University of New York at Albany, USA, project research, (DFG) |
| Esser, Hartmut | 12/1999 | University of Vienna, Department of Sociology, guest professor (University of Vienna) |
| | 12/2000 | University of Zurich, Institute for Sociology, guest professor (University of Zurich) |
| | 12/2001 | University of Bern, Institute for Sociology, Guest Professor (University of Bern) |
| | 11/2003 | Royal Netherlands Academy of Arts and Sciences, the Netherlands, Lecturer Master Course, (Royal Netherlands Academy of Arts and Sciences) |
| Fix, Birgit | 04/1999– 09/1999 | Austrian Academy of Sciences, Vienna, (Grant of Training and Mobility Programme for Young Researchers of the EU) |
| Gschwend, Thomas | 08/1998- 06/2001 | State University of New York at Stony Brook, USA, PhD Programme, (State of New York) |
| Knodt, Michèle | 04/2003- 05/2003 03- 04/2001 08- 09/2000 | Institut d'Etudes Politiques de Lille, University of Lille 2, France, guest professor, (University of Lille 2) Chinese Academy of Social Sciences (CASS), China, guest professor, (European Commission) Chinese Academy of Social Sciences (CASS), China, Guest Professor, (European Commission) |

| MZES Researcher | Date | Institution visited, City/Country, Function, |
|--------------------------|---|---|
| | | (Financed by) |
| Kohler-Koch, Beate | 03/2002 | Institute for Advanced Study (IHS),Vienna, guest professor (IHS) |
| | 04/2002 | Chinese Academy of Social Sciences (CASS), China, Academic Committee, (EU China Higher Education Cooperation Programme) |
| Kristen, Cornelia | 09/2001- 05/2002 | Interuniversity Centre for Social Science Theory and Methodology (ICS), the Netherlands, (Marie Curie fellow, EU) |
| König, Thomas | 07/1999- 09/1999 | Stanford University, USA, guest researcher, (Heisenberg post-doctoral grant, DFG) |
| Leuffen, Dirk | 10/2003- 02/2004 | Institut d'Etudes Politiques de Paris, France, auditeur libre/research, (DAAD) |
| Pütz, Christine | 04/1999– 10/1999 | Centre d'Etude de la Vie Politique Française (CEVIPOF), Fondation Nationale des Sciences Politiques (FNSP/CNRS), France, guest researcher (Fondation Nationale des Sciences Politiques, FNSP) |
| Römmele, Andrea | 01/1999- 05/1999 | American Institute for Contemporary German Studies, Johns Hopkins University, USA, guest researcher, (DFG and John Hopkins-University) |
| Scherer, Stefani | 01/2003- 04/2003 | Università di Milano-Bicocca, Italy, guest researcher, (ChangeQual Network, /Università di Milano- Bicocca) |
| Schimmelfennig, Frank | 09/2001- 06/2002 | EUI (European University Institute), Italy, Jean Monnet fellow, (EUI) |
| Schmitt, Hermann | 02/2003- 04/2003 | Australian National University, visiting fellow, (Australian National University) |
| Steinmann, Susanne | 08/1999– 08/1999 | University of Oslo, Norway, researcher, (DFG) |
| Weichsel, Volker | 10/2001– 12/2001 | Ústav mezinárodních vztahu (Institute for International Relations), Czech Republic, researcher, (Volkswagen-Stiftung) |
| Zittel, Thomas | 09/1999- 10/1999 09/2000- 10/2000 09/2002- 08/2003 | German American Centre for Visiting Scholars, Washington D.C, USA, visiting scholar, (DFG) Uppsala University, Department of Government, Sweden, visiting scholar, (Fritz-Thyssen-Stiftung) Harvard University, Minda Der Gunzburg Centre For European Studies, USA, J. F. Kennedy Memorial Fellow, (Harvard University and DAAD) |

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Visiting Professors / Scholars at MZES

| Name / Institution | | | |
|---|--|--|--|
| Robert Rohrschneider, Indiana University, USA | | | |
| Jon Eivind Kolberg, Diakonhjemmet International Centre,Oslo, Norway | | | |
| Stefan Immerfall, Universität Passau, Germany | | | |
| William A. Maloney, University of Aberdeen, Scotland | | | |
| Richard Arum, University of Arizona, USA | | | |
| Zhou Hong, Institute for European Studies, Beijing, China | | | |
| Richard Alba, State University of New York, USA | | | |
| Paolo Barbieri, Università di Trento, Italy | | | |
| Tom A. B. Snijders, University of Groningen, Netherlands | | | |
| Oddbjørn Knutsen, Department of Political Science, University of Oslo, Norway | | | |
| Jon Eivind Kolberg, Diakonhjemmet International Centre Oslo, Norway | | | |
| Liu Liqun, Chinese Academy of Social Sciences, Beijing, China | | | |
| William A. Maloney, University of Aberdeen, Scotland | | | |
| Patricia McManus, Indiana University, USA | | | |
| Cristina lannelli, CES, University of Edinburgh, Scotland | | | |
| Asunción Soro Bonmati, Department of Economics University of Alicante, Spain | | | |
| Matti Alestalo, Dept. of Sociology and Social Psychology, University of Tampere, Finland | | | |
| Lena Schroeder, SOFI, University of Stockholm, Sweden | | | |
| Wu Zhicheng, University of Nanking, China | | | |
| Chen Zhirui, Chinese Academy of Social Sciences, Peking | | | |
| Béla Tomka, Dept. of History, University of Szeged, Hungary | | | |
| Wu Zhicheng, University Nanking, China | | | |
| Chen Zhirui, Chinese Academy of Social Sciences, Beijing, China | | | |
| Gu Junli, Institute for European-Studies, Beijing, China | | | |
| Fang Lei, University of Shandong, China | | | |
| Christian Toft, University of Loughborough, England | | | |
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| Date | Name / Institution |
|-------------------------------------|--|
| April 2001 | Richard Arum, New York University, USA |
| March/April and October 2001 | Kenneth Newton, University of Southampton, England |
| May - July 2001 | Margarita Balmaceda, University of Toledo / Seton Hall University, USA |
| May - December 2001 | Laura Castiglioni, University of Milan, Italy |
| May - December 2001 | Raul Tormos, University of Barcelona, Spain |
| May - December 2001 | Johan Martinsson, University of Gothenburg, Sweden |
| May - December 2001 | Alice Ludvig, University of Vienna, Austria |
| June - August 2001 | William A. Maloney, University of Aberdeen, Scotland |
| July 2001 | Olav Helge Angell, University of Oslo, Norway |
| August - October 2001 | Igor Leshukov, Center for Integration Research and Programs (CIRP), St. Petersburg, Russia |
| August - December 2001 | Rachel Gibson, University of Salford, England |
| September - December 2001 | Mette Sicard Filtenborg University of Southern Denmark, Odense |
| November 2001 | Duncan Snidal, University of Chicago, USA |
| November 2001 | Kenneth W. Abbott, Northwestern University, USA |
| December 2001 | Thomas Poguntke, Keele University, England |
| December 2001 | Martin Hering, Johns Hopkins University, USA |
| January 2002 | Mette Sicard Filtenborg, University of S. Denmark, Odense |
| January - February 2002 | Martin Hering, Johns Hopkins University, USA |
| January and November 2002 | Richard Arum, New York University, USA |
| March 2002 | Thomas Poguntke, Keele University, England |
| January - April 2002 | Laura Castiglioni, University of Milan, Italy |
| January - April 2002 | Raul Tormos, University of Barcelona, Spain |
| January - April 2002 | Johan Martinsson, University of Gothenburg, Sweden |
| January - April 2002 | Alice Ludvig, University of Vienna, Austria |
| May - August 2002 | Margarita Balmaceda, Harvard University, USA |
| June 2002 | Douglas S. Massey, University of Pennsylvania, USA |
| January - April / September 2002 | Rachel Gibson, Australian National University, Canberra |
| July - August 2002 | William A. Maloney, University of Aberdeen, Scotland |
| September 2002 | Daniele Caramani, Università di Firenze, Italia |

| Date | Name / Institution | | |
|------------------------------|---|--|--|
| October - December 2002 | Jörg Baudner, University of Birmingham, England | | |
| April 2003 | Rachel Gibson, Australian National University, Canberra | | |
| May - July 2003 | Jason Beckfield, Indiana University, USA | | |
| May - July 2003 | Suzanna Crage, Indiana University, USA | | |
| June 2003 | Thomas Poguntke, Keele University, England | | |
| June - August 2003 | Margarita Balmaceda, Seton Hall University, USA | | |
| July - August 2003 | William A. Maloney, University of Aberdeen, Scotland | | |
| August - December 2003 | Ulrich Sedelmeier, Central European University, Budapest | | |
| September - December 2003 | Rafael Vázquez García, University of Granada, Spain | | |
| October 2003 | José Ramon Montero, Universidad Autónoma de Madrid, Spain | | |
| October 2003 | Kenneth Newton, University of Southampton, England | | |
| October 2003 | Olga Ivashchenko, National University, Kiev, Ukraine | | |

MZES Cooperation Partners

| Name of Institution | Location | Country |
|--|-----------|---------|
| Processes of International Negotiation Network (PIN), IIASA | Laxenburg | Α |
| University of Salzburg | Salzburg | Α |
| Austrian Academy od Sciences | Vienna | Α |
| IAS Vienna | Vienna | Α |
| Institut für Demographie, Österreichische Akademie der Wissenschaften | Wien | Α |
| Research School for the Social Sciences, Australian National University, | Canberra | AUS |
| International Survey Centre RSSS | Melbourne | AUS |
| Observatoire social européen, Brussels | Brussels | В |
| IPOP/ISPO, Université Catholique de Louvain | Louvain | В |
| Dept. Politieke Wetenschappen, Katholieke Universiteit Leuven | Louvain | В |
| Institute for Political and Legal Studies | Sofia | BG |
| Institute for Security and International Studies | Sofia | BG |
| Analytisches Zentrum "Strategija" | Minsk | BY |
| Internationales Institut für politische Studien | Minsk | BY |
| Zentrum für Internationale Studien | Minsk | BY |
| Department of Political Science, Université de Montreal | Montreal | CDN |
| Laurier Centre for Military Strategic and Disarmament Studies | Waterloo | CDN |
| Institut für Politikwissenschaft, Universität Bern | Bern | CH |
| Department de Science Politique Université de Genève | Genève | CH |
| University of Lausanne | Lausanne | CH |
| Institut für Soziologie, Universität Zürich | Zürich | CH |
| Chinese Academy for Social Sciences (CASS) | Beijing | CN |
| Czech Institute of International Relations | Prague | CZ |
| Historisches Institut der Akademie der Wissenschaften | Prague | CZ |
| Hochschule für Ökonomie | Prague | CZ |
| Institut für internationale Studien der Sozialwissenschaftlichen Fakultät der Karls-Universität | Prague | CZ |
| Institut für Sozial- und Wirtschaftgeschichte der Karls- Universität | Prague | CZ |
| Institut für Zeitgeschichte | Prague | CZ |
| Soziologisches Institut der Akademie der Wissenschaften | Prague | CZ |
| T.G. Masaryk-Institut | Prague | CZ |
| Bundesministerium des Innern | Berlin | D |
| Forschungsschwerpunkt Institutionen und Sozialer Wandel, WZB | Berlin | D |
| Max-Planck-Institut für Bildungsforschung | Berlin | D |
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| Name of Institution | Location | Country |
|--|-------------|---------|
| Sozialwissenschaftliche Fakultät, Humboldt Univ. | Berlin | D |
| Stiftung Wissenschaft und Politik (SWP) | Berlin | D |
| Forschungsstelle Osteuropa an der Universität Bremen | Bremen | D |
| Bundesinstitut für ostwissenschaftliche und internationale Studien (BIOst) | Cologne | D |
| Deutsches Polen-Institut | Darmstadt | D |
| TU Darmstadt | Darmstadt | D |
| Hessische Stiftung Friedens- und Konfliktforschung (HSFK) | Frankfurt/M | D |
| FernUniversität Hagen | Hagen | D |
| Max-Planck-Institut für Ausländisches Öffentliches Recht und Völkerrecht | Heidelberg | D |
| Friedrich-Schiller-Universität Jena | Jena | D |
| Institut für Mittelstandsforschung, Universität Mannheim | Mannheim | D |
| Zentrum für Umfragen, Methoden und Analysen (ZUMA) | Mannheim | D |
| Herder-Institut | Marburg | D |
| Collegium Carolinum | Munich | D |
| Osteuropa-Institut | Munich | D |
| Südostinstitut | Munich | D |
| Universität des Saarlandes | Saarbrücken | D |
| Institut für Sozialwissenschaften, Universität Stuttgart | Stuttgart | D |
| FB III Politikwissenschaft, Universität Trier | Trier | D |
| Department of Economics, Politics and Public Administration, Aalborg University | Aalborg | DK |
| Department of Political Science, University of Aarhus | Aarhus | DK |
| Copenhagen Peace Research Institute, COPRI | Copenhagen | DK |
| Danish National Institute of Social Research | Copenhagen | DK |
| Roskilde University | Copenhagen | DK |
| University of Odense | Odense | DK |
| Departament de Sociologia Universitat Autonoma de Barcelona | Barcelona | Е |
| Department of Political Science, University of the Basque Country | Bilbao | E |
| Departamento de Ciencia Politica y de la Administracion, Facultad de Derecho Universidad Autonoma de Madrid | Madrid | E |
| Department of Political Science, Universidad Nacional de Education a Distancia | Madrid | E |
| Inst. Juan March de Est. E Invest., Centro de Estudios Avanzados en Ciencias Sociales | Madrid | E |
| CERAT / Institut d'Etudes Politique | Grenoble | F |
| CIDSP, Université de Grenoble | Grenoble | F |
| | | |

| Name of Institution | Location | Country |
|---|-----------|---------|
| CNRS | Grenoble | F |
| Centre d'Études et de Recherches sur les Qualifications | Marseille | F |
| Centre for International Studies and Research / Science Po | Paris | F |
| Fondation National des Sciences Politiques | Paris | F |
| INSEE, Institut National de la Statistique et des Études Économiques | Paris | F |
| Institut d'Etudes Politiques de Paris | Paris | F |
| LASMAS, CNRS, Laboratoire d'Analyse Secondaire et de Méthodes Appliquées à la Sociologie – Institut du Longitudinal, Centre National de la Recherche Scientifique | Paris | F |
| Athens Pantheion University | Athens | GR |
| Athens University of Economics | Athens | GR |
| EKKE (National Centre for Social Research) | Athens | GR |
| Hungarian Pedagogical Institute | Berehovo | Н |
| Budapest University of Economic Sciences | Budapest | Н |
| Department of Political Science, Central European University | Budapest | Н |
| TARKI, Social Research Information Centre | Budapest | Н |
| Department of Political Science, University of Catania | Catania | I |
| European University Institute | Firenze | I |
| Department of Sociology and Social Research, University of Milano Bicocca | Milano | 1 |
| Istituto degli Affari Internazionali (IAI) | Rome | I |
| University of Rome "La Sapienza" | Rome | I |
| Dipartimento di Sociologia e Ricerca Sociale, Facoltà di Sociologia, Università di Trento | Trento | I |
| Dipartimento di Scienze Sociali Università degli Studi di Torino | Turin | 1 |
| Department of Sociology, Tel Aviv University | Tel Aviv | IL |
| Department of Political Science, University of Dublin/Trinity College | Dublin | IRE |
| ESRI, Economic and Social Research Institute | Dublin | IRE |
| Marino Institute of Education | Dublin | IRE |
| Trinity College Dublin | Dublin | IRE |
| University College Dublin | Dublin | IRE |
| University of Tokyo | Tokyo | J |
| Riga Graduate School of Law | Riga | LT |
| Law University of Lithuania | Vilnius | LT |
| The LOS Centre | Bergen | N |
| ARENA, University of Oslo | Oslo | N |
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| Name of Institution | Location | Country |
|---|------------|---------|
| Diakonhjemmet International Centre | Oslo | N |
| Faculty of Theology, University of Oslo | Oslo | N |
| Institutt for Samfunnsforskning, University of Oslo | Oslo | N |
| Institutt for statsvitenskap, University of Oslo | Oslo | N |
| Department of Political Science, Norwegian University of Science and Technology | Trondheim | N |
| Amsterdam School of Communication Research, University of Amsterdam | Amsterdam | NL |
| DESAN Market Research | Amsterdam | NL |
| Department of Public Administration, University of Twente | Enschede | NL |
| Institute for East European Law and Russian Studies, University of Leiden | Leiden | NL |
| Departiment Sociologie and ISPO; Katholieke Universität Leuven | Leuven | NL |
| Dept. Politieke Wetenschappen, Katholieke Universiteit Leuven | Leuven | NL |
| Hoger instituut de arbeid | Leuven | NL |
| Business Investment Research Centre, University of Maastricht | Maastricht | NL |
| European Study Programme | Maastricht | NL |
| Research Centre for Education and the Labour Market | Maastricht | NL |
| University of Maastricht | Maastricht | NL |
| University of Nijmegen | Nijmegen | NL |
| Social and Cultural Planning Office (SCP) | The Hague | NL |
| University of Twente | Twente | NL |
| ERCOMER, Utrecht University | Utrecht | NL |
| Instituto para a inovacao na Formacao | Lisbon | Р |
| UNICS – ISCTE, Department of Sociology, University of Lisbon | Lisbon | Р |
| Universidade Nova de Lisboa | Lisbon | Р |
| Department of Economics, University of Warsaw | Warsaw | PL |
| Institute for Social Studies, Warsaw University. | Warsaw | PL |
| Institute of Labour and Social Sciences | Warsaw | PL |
| Polish Academy of Sciences | Warsaw | PL |
| Zentrum für Internationale Beziehungen | Warsaw | PL |
| Zentrum für Oststudien | Warsaw | PL |
| Allrußländisches Zentrum zur Erforschung der Öffentlichen Meinung | Moscow | RUS |
| Europa-Institut der RadW | Moscow | RUS |
| Institut für Ethnologie und Anthropologie der RadW | Moscow | RUS |

| Name of Institution | Location | Country |
|---|------------|---------|
| Institut für Internationale Wirtschaftliche und Politische Studien der RadW | Moscow | RUS |
| Institut für Strategische Studien | Moscow | RUS |
| Russische Akademie der Wissenschaften | Moscow | RUS |
| Department of Political Science, University of Gothenburg | Gothenburg | S |
| Department of Sociology, University of Gothenburg | Gothenburg | S |
| SOFI, Swedish Institute for Social Research | Stockholm | S |
| Department of Government, Uppsala University | Uppsala | S |
| Department of Sociology and Social Psychology, University of Tampere | Tampere | SF |
| Fachbereich Politikwissenschaften der Comenius-Universität | Bratislava | SK |
| Institut für Soziologie der Akademie für Wissenschaften | Bratislava | SK |
| Lehrstuhl für Weltgeschichte, Philosophische Fakultät der Comenius-Universität | Bratislava | SK |
| Politologisches Kabinett der Akademie der Wissenschaften | Bratislava | SK |
| Centre for the Evaluation and Strategie Studies, Institute for Social Sciences, University of Ljubljana | Ljubljana | SL0 |
| National Taipeh University | Taipeh | TW |
| Departments of History and Philology, University of Chernivtsi | Chernivtsi | UA |
| Sociology Department, University of Kharkiv | Kharkiv | UA |
| East-West-Institute | Kiev | UA |
| Institute of Political Science and Ethnic Relations | Kiev | UA |
| Institute of Sociology, Ukrainian Academy of Sciences | Kiev | UA |
| Kyivo-Mohylians'ka Academy | Kiev | UA |
| Ukrainisches Zentrum für Studien zu Frieden, Konversion und Konfliktlösung | Kiev | UA |
| Fund for the Development of the Carpathian Europregion | Uzhhorod | UA |
| Department of Politics and International Relations, University of Aberdeen | Aberdeen | UK |
| Queens University Belfast | Belfast | UK |
| British Economic and Social Research Council | Brighton | UK |
| Institute for Employment Studies, University of Sussex | Brighton | UK |
| School of European Studies, University of Sussex | Brighton | UK |
| School of Legal Studies, University of Sussex | Brighton | UK |
| Sussex European Institute (SEI), U. of Sussex | Brighton | UK |
| School of Geographical Sciences | Bristol | UK |
| University of Bristol | Bristol | UK |
| Faculty of Law, University of Cambridge, Clare College | Cambridge | UK |

| | Location | Country |
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| Name of Institution | Location | Country |
| Dept. of Political Science, University of Canterbury | Canterbury | UK |
| Department of Government, University of Essex | Colchester | UK |
| ECPR, University of Essex | Colchester | UK |
| Centre for Educational Sociology | Edinburgh | UK |
| Department of Government, University of Strathclyde | Glasgow | UK |
| Dept. of Politics, University of Glasgow | Glasgow | UK |
| Birbeck College, Univ. of London | London | UK |
| Centre for the Study of Democracy, University of Westminster | London | UK |
| Centre for Commercial Law Studies, Queen Mary and Westfield College | London | UK |
| London School of Economics and Political Science | London | UK |
| Dept. of European Studies, Loughborough University | Loughborough | UK |
| University of Manchester | Manchester | UK |
| Dept. of Sociology and Social Policy, Oxford Brookes University | Oxford | UK |
| Department of Sociology, University of Oxford | Oxford | UK |
| Nuffield College | Oxford | UK |
| Department of Geography | Sheffield | UK |
| Department of Politics, University of Sheffield | Sheffield | UK |
| Social and Demographic Research Institute, University of Massachusetts | Amherst | USA |
| Ukrainian Research Institute at Harvard University | Cambridge | USA |
| Center for International and Comparative Studies, Northwestern University | Chicago | USA |
| Dept. of Political Science, University of California at Irvine | Irvine | USA |
| Columbia University | New York | USA |
| Government Department, Skidmore College | New York | USA |
| New York University | New York | USA |
| Population Studies Center, University of Pennsylvania | Philadelphia | USA |
| Center for International Studies, Princeton University | Princeton | USA |
| Department of Political Science, Utah State University | Salt Lake City | USA |
| Department of Political Science | Stony Brook | USA |
| University of Arizona | Tucson | USA |
| University of Wisconsin | Madison | USA |
| Centre for Strategic Studies | Belgrade | YU |
| Institut za evropske studije | Belgrade | YU |
| Institute of International Politics and Economics | Belgrade | YU |

Colloquia at MZES

Joint Colloquia of MZES and Faculty of Social Sciences

- Mannheimer Vorträge -

| 10.11.1999 | Prof. Dr. Wolfgang Streeck, Max-Planck Institut für Gesellschafts- forschung, Köln; Die Handlungsfähigkeit des Korporatismus in der Beschäftigungskrise: am Beispiel des Bündnisses für Arbeit |
|------------|---|
| 08.12.1999 | Prof. Dr. Hanspeter Kriesi, Universität Genf; Stability and Change of Individual Opinions. An Extension and Test of Converse's Black-and-White Model |
| 26.01.2000 | Prof. Dr. Hans Albert, Emeritus, Universität Mannheim; |
| 17.05.2000 | Geschichtswissenschaft als hypothetisch-deduktive Disziplin Prof. Dr. Jelle Visser , University of Amsterdam; The First Part-time Economy in the World – How can it be explained? |
| 21.06.2000 | Prof. Dr. Wolfgang Zapf , Wissenschaftszentrum Berlin; Wie kann man die deutsche Vereinigung verstehen? |
| 28.06.2000 | Prof. Dr. Icek Ajzen , University of Massachusetts, Amherst, The Theory of Planned Behavior: Habit, Percieved Control, and Reasoned Action |
| 15.11.2000 | Prof. Dr. Dr. h.c. Hartmut Kaelble, Humboldt-Universität Berlin; Die Debatte über die europäische Gesellschaft und Zivilisation im 19. und 20. Jahrhundert |
| 07.02.2001 | Prof. Dr. Andreas Diekmann, Universität Bern; Fairness und Reziprozität. Neue Wege der Spieltheorie |
| 25.04.2001 | Prof. Dr. John Goldthorpe , Nuffield College, Oxford; Globalisation and Social Class |
| 30.05.2001 | Prof. Steve Krasner , Stanford University; Organized Hypocrisy and International Relations |
| 27.06.2001 | Prof. Dr. Peter Mair, University of Leiden, NL; Democracy and Indifference |
| 06.02.2002 | Prof. Dr. Hans-Dieter Klingemann, Wissenschaftszentrum Berlin; Die Osterweiterung der Europäischen Union und die Frage nach der europäischen Identität |
| 05.06.2002 | Dr. Hans-Georg Wieck, Ehem.Botschafter; Leiter der OSZE-Berater- und Beobachtergr. in Belarus; Handlungsspielräume der OSZE in der internationalen Politik |
| 19.06.2002 | Prof. Douglas S. Massey, University of Pennsylvania; The Failure of US Immigration Policy |
| 10.07.2002 | Prof. Dr. Jens Alber , Universität Konstanz; Sozialstaat und Arbeitsmarkt im internationalen Vergleich |
| 06.11.2002 | Prof. Dr. Jürgen Baumert, Max-Planck-Institut für Bildungsforschung, Berlin; Soziale Disparitäten der Bildungsbeteiligung und des Kompetenzerwerbs in Deutschland. Analysen zur Struktur und Verteilung |
| 20.11.2002 | Prof. Dr. Dr. h.c.mult. Renate Mayntz, Max-Planck-Institut für Gesellschafts-forschung, Köln; Kausale Rekonstruktion: theoretische Aussagen im akteurzentrierten Institutionalismus |

| 04.12.2002 | Prof. Dr. Rolf Ziegler, Universität München; Die Entwicklung des |
|------------|---|
| | selbständigen Mittelstandes in Ost- und Westdeutschland nach der Wende |
| 28.05.2003 | Prof. Dr. Raymond Boudon, Université de Paris IV - Sorbonne; Beyond |
| | Rational Choice Theory |
| 18.06.2003 | Prof. Dr. Jan-Eric Gustafsson, Göteborg University; Effects of Schooling on |
| | Level and Profile of Intelligence |
| 02.07.2003 | Prof. Dr. Herta Flor, LS für Neuropsychologie der Universität Heidelberg am |
| | ZI Mannheim; Traumatisierung als Kriegsfolge |

Presentations at MZES Seminars

zur Krise

| 11.01.1999 | Franz Preißler, MZES; Russland und die Frage der russischen Minderheiten |
|------------|--|
| | in den Nachbarstaaten |
| 18.01.1999 | Prof. Dr. Jan van Deth and Martin Elff, Universität Mannheim, MZES; |
| | Politisches Interesse, Engagement und Betroffenheit in repräsentativen |
| | Demokratien |
| 20.01.1999 | Prof. Dr. Alfred Grosser, Institut d'Etudes Politiques de Paris; Frankreich, |
| | Deutschland, Europa: Die Frage nach der Identität |
| 25.01.1999 | Sebastian Burghof, Universität Mannheim; Kampf der Kulturen: Kommende |
| | Realität oder bleibende Fiktion? |
| 25.01.1999 | Prof. Dr. Robert Rohrschneider, Indiana University, Bloomington, Indiana; / |
| | MZES; Learning Democracy: Democratic and Economic Values in Unified |
| | Germany |
| 02.02.1999 | Dr. Rolf Becker, TU Dresden; Bildungsaspiration und Bildungsentscheidung |
| 02.02.1999 | Prof. Dr. Dietrich Thränhardt, Institut für Politikwissenschaft, Universität |
| 02.02000 | Münster; Bildungsbeteiligung bei Kindern von Arbeitsmigranten |
| 02.02.1999 | Hans Dietrich, IAB Nürnberg; Jugendarbeitslosigkeit in Europa. |
| 02.02.1000 | Möglichkeiten und Grenzen eines Vergleichs mit Daten des European |
| | Labour Force Surveys |
| 09.02.1999 | Klaus Beck, Universität Mannheim; Frauenerwerbstätigkeit in der Ehe |
| 15.02.1999 | David Oberhuber, GTZ Eschborn; Arbeitsmarktpolitik in Transformations- |
| 15.02.1999 | ländern |
| 16.02.1999 | Dr. Manfred Sapper, Universität Mannheim; Gewalt in Russland |
| | • |
| 24.02.1999 | Axel Hauser–Ditz, MPI-Köln; The Europeanization of Organized Interests in |
| | Selected Sectors and Countries |
| 01.03.1999 | Jürgen Schmidt, Universität Mannheim; Die Machtwirkung politischer und |
| | gesellschaftlicher Akteure auf sicherheitspolitische Integrationsentschei- |
| | dungen in der Ukraine |
| 01.03.1999 | Prof. Dr. Robert Rohrschneider, Indiana University, Bloomington, Indiana / |
| | MZES; From Global Support to Regional Activism: When Environmental |
| | Group Support becomes Mobilized |
| 08.03.1999 | Dr. Viktor Major, Trier; Das Gebiet Kaliningrad/Königsberg von der Wende |

| 20.04.1999 | Markus Zdiarski, Universität Mannheim; Selbständige im Wandel? Ein Querschnittsvergleich 1982 und 1995 |
|------------|--|
| 27.04.1999 | Romain Kirt, M.A., Conseiller de Gouvernement in der Luxemburgischen Regierungsverwaltung; Europa in der Krise. Leidet der alte Kontinent am 'Buddenbrook-Syndrom'? |
| 03.05.1999 | Prof. Dr. Dietrich Beyrau, Universität Tübingen; Schlachtfeld der Diktatoren: Kriegserfahrungen Osteuropas im Zweiten Weltkrieg |
| 01.06.1999 | Dr. Petra Hartmann, Universität Kiel; Sozialerwünschte Antwortverzerrungen in Befragungssituationen |
| 01.06.1999 | Dr. Peter Schlotter, HSFK; Die Institutionalisierung eines internationalen Verhandlungssystems: Die KSZE im Ost-West-Konflikt |
| 08.06.1999 | Prof. Dr. Bernhard Nauck, Universität Chemnitz; Intergenerative Transmission in Migrantenfamilien |
| 14.06.1999 | Prof. Dr. Klaus Jürgen Gantzel, Emeritus, Universität Hamburg; Methodische Probleme der vergleichenden Kriegsursachenforschung |
| 15.06.1999 | Gunnar Otte, Universität Mannheim; Der soziale Raum der Lebensführung – empirische Befunde aus Mannheim |
| 16.06.1999 | Dr. W. Zumpfort, Direktor, Preussag AG, Bonn; Firmenlobbyismus in Bonn und Brüssel |
| 22.06.1999 | Anja Hall, Universität Mannheim; Mobilitätschancen von Frauen und Männern im Berufsverlauf. Deutschland und Großbritannien im Vergleich. |
| 28.06.1999 | Dr. Martin Brusis, Centrum für angewandte Politikforschung (CAP), München; Ethnopolitische Konflikte in Osteuropa: Überlegungen zu einer präventiven Institutionenpolitik |
| 05.07.1999 | Dr. Manfred Sapper, Universität Mannheim; Gewalt in Russland. Konzeptionelle Überlegungen |
| 06.07.1999 | Andreas M. Wüst, Universität Heidelberg; Neubürgerstudie |
| 06.07.1999 | Prof. Richard Arum, University of Arizona, Tucson, Arizona; College Stratification and Social Inequality: The Structuring of Labor and Marriage Market Outcomes |
| 07.07.1999 | Wilfried Jilge, Humboldt-Universität/FU Berlin; Nationale Geschichtsbilde in der Ukraine |
| 12.07.1999 | Rolf Peter, Universität Mannheim; Diskussion der FKKS-Studie: Die "nationale Frage" in Kasachstan |
| 22.09.1999 | Prof. Dr. Richard Alba, State University of New York, Albany, New York; Reflections on Assimilation and Contemporary Immigrant Groups in the U.S. |
| 29.09.1999 | Prof. Dr. Douglas S. Massey, Population Studies Center, University of Pennsylvania; The Social Process of Undocumented Border Crossing |
| 29.09.1999 | Prof. Dr. Russell Hardin, Dept. of Political Science, Stanford University; Migration and Community |
| 11.10.1999 | Prof. Dr. Keith L. Nelson , University of California; Nixon, Kissinger, Europe and the Cold War |

| 18.10.1999 | Dr. Alasdair Young, University of Sussex; Multilevel Governance and Multilateral Rules: The EC as an International Organisation and an |
|------------|---|
| 26.10.1999 | International Actor Prof. Richard Scott, Stanford University; Studying Institutional Change: The Case of the Transformation of the U.S. Healthcare Field |
| 22.11.1999 | Dr. Thomas Plümper, Universität Konstanz; Der IWF und die Krisen- intervention in Thailand, Indonesien und Korea: Die Rolle internationaler Organisationen bei der Überwindung von Kollektivhandlungsproblemen |
| 23.11.1999 | Prof. Dr. Josef Brüderl, Universität Mannheim; Pluralisierung partner- schaftlicher Lebensformen |
| 29.11.1999 | Beate Andrees, FU Berlin; Die Befriedung ethnischer Konflikte mit nichtmilitärischen Mitteln |
| 30.11.1999 | Thomas A. DiPrete, Duke University, Durham, North Carolina; Family Change, Employment Transition and the Welfare State: A Comparison of Household Income Dynamics in the US and Germany |
| 02.12.1999 | Dr. Matthias Raith, Universität Bielefeld; Prozedurale Ansätze kooperativer Verhandlungen |
| 07.12.1999 | Mattias Strandh, University of Umea / MZES; Unemployment Experiences in Sweden: Results from the Long-term Unemployment Project |
| 14.12.1999 | Catherine Beduwé and Jordi Planas, LIRHE, Université Toulouse, Universitat Autònoma de Barcelona; Educational Expansion and Labour Market in Europe |
| 20.12.1999 | Astrid Lorenz, Humboldt-Universität / FU Berlin; Gibt es gescheiterte Transformationen? Die Bedeutung von Faktoren und Akteuren für einen erfolgreichen Systemwandel, dargestellt am Beispiel Belarus |
| 10.01.2000 | Sabine Fischer, HSFK, Frankfurt a.M.; Russland und der Westen – zur Wirkung von Ideen in der russischen Westpolitik |
| 11.01.2000 | Martin Groß, Humboldt-Universität, Berlin; Einkommenseffekte atypischer Beschäftigungsverhältnisse: Interne vs. externe Flexibilisierung |
| 13.01.2000 | Dr. Peter Schmidt , SWP Ebenhausen; Europäische Sicherheitspolitik nach dem Beschluß von Helsinki. Kritische Anmerkungen zum Konzept von Handlungsfähigkeit |
| 17.01.2000 | Franz Preißler, MZES; Russland und die Frage der russischen Minderheiten im "nahen Ausland": Bestimmungsfaktoren des Außenverhaltens Russlands und zwischenstaatliches Konfliktpotential |
| 18.01.2000 | Prof. Dr. Harry Willekens , MZES; Kann man Institutionen vergleichen, und was vergleicht man, wenn man sie vergleicht? |
| 24.01.2000 | Aleksandar Jakir, Universität Marburg; Sozialistischer Betrieb und nationale Ideologie |
| 08.02.2000 | Astrid Karl, Silke Hamann, MZES; Ergebnisse aus dem Projekt Moral- ökonomie der Arbeitslosigkeit |
| 11.02.2000 | Prof. John Meyer , Stanford University; World Society and the National State |

| 14.02.2000 | Rolf Peter, MZES; Zur Vermittlung von Integrationsprozessen in der GUS |
|--------------------------|--|
| | und in Gesamteuropa |
| 15.02.2000 | Antonio Schizzerotto, University of Trento; Education, unemployment and |
| 15.03.2000 | career mobility in contemporary Italy. Some longitudinal analyses Klemens Büscher, Centre for OSCE Research, Hamburg; Transnationale |
| 10.00.2000 | Beziehungen der russischen Minderheit in Moldawien |
| 29.03.2000 | Dr. Rainer Eising, Universität Halle z.Zt. Europäisches Hochschulinstitut |
| | Florenz; Die Europäisierung wirtschaftlicher Interessen. Auswirkungen der |
| | EU auf die Interessenvertretung französischer, deutscher und britischer |
| 22.05.2000 | Wirtschaftsverbände |
| 23.05.2000 | Valeria Fargion, Universität Florenz; Timing and Development of Social Care Services in Europe |
| 29.05.2000 | Prof. Dr. Michele Fratianni, Indiana University, Bloomington; International |
| | Organizations at the Millenium |
| 07.06.2000 | Prof. Dr. Max Haller, Universität Graz; Theorie und Methodik der |
| | vergleichenden Erforschung des Wertwandels. Kritik und Alternative zur |
| 10.00.000 | jüngsten Arbeit von Ronald Inglehart |
| 13.06.2000 27.06.2000 | Markus Gangl, MZES; Ausbildung und Berufseinstieg in Europa Volker Müller-Benedict, Universität Göttingen; Strukturelle Grenzen |
| 27.00.2000 | sozialer Mobilität |
| 27.06.2000 | Horst Holthoff, Botschafter a.D. und früherer Stellvertr. Generalsekretär |
| | der WEU; Die Europäische Union auf dem Wege zu einer Sicherheits- und |
| | Verteidigungsunion? |
| 28.06.2000 | Adalbert Evers, Universität Gießen; Soziale Dienste im Dritten Sektor als |
| 4.07.2000 | Teil eines europäischen Sozialmodells |
| 4.07.2000 | Christoph Sachße, Florian Tennstedt, Universität Kassel; Wohlfahrts- verbände im Wohlfahrtsstaat: Der Weg zum Spitzenverband in der |
| | Weimarer Republik |
| 10.07.2000 | Prof. Dr. Katja Weber, Sam Nunn School of International Affairs, Georgia |
| | Tech.; Varying Degrees of Institutionalization in the EU |
| 11.07.2000 | Prof. Dr. Steffen Kühnel, Universität Gießen; Wahlbeteiligung: Analysen |
| | aus dem Allbus 1998 |
| 18.07.2000 | Thomas Klein, David Fischer–Kerli, Universität Heidelberg; Die Zuver- |
| | lässigkeit retrospektiv erhobener Lebensverlaufsdaten – Analysen zur Partnerschaftsbiografie des Familiensurvey |
| 19.10.2000 | Prof. Dr. Mark Hallerberg, University of Pittsburgh; Mobile Capital, |
| | Domestic Institutions and Electorally-Induced Monetary and Fiscal Policy: |
| | Are Political Business Cycles likely in a Federal Europe? |
| 23.10.2000 | Volodymyr Kulyk, National Academy of Sciences of Ukraine, Kiev; The OSCE |
| | High Commissioner on National Minorities as an Instrument of Conflict |
| 21 10 2000 | Prevention: The Case of Ukraine |
| 31.10.2000 | Lena Schroeder, Universität Stockholm; Young Immigrants in the Labour Market |
| | MULICE |

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| 14.11.2000 | Dr. Frank Kalter, Nadia Granato, Universität Mannheim and ZUMA, |
|------------|--|
| | Mannheim; Neuere Entwicklungen der Assimilation von Arbeitsmigranten in |
| | Deutschland |
| 21.11.2000 | Cristina lannelli, Asunción Soro Bonmati, CES, University of Edinburgh |
| | and University of Alicante; The Transition From School to Work in Southern |
| | Europe: The Cases of Italy and Spain |
| 28.11.2000 | Henrich R. Greve, Universität Tsukuba, Japan; A General Model of |
| | Heterogeneous Influence |
| 28.11.2000 | Prof. Dr. Peter Leslie, Queen's University, Kingston/Ontario; Globalization |
| | and Regional Systems |
| 12.12.2000 | Michael Wagner, Universität Köln; Die Pluralisierung von Lebensformen: |
| | Theorie, Messung und empirische Befunde |
| 19.12.2000 | Cornelia Kristen, MZES; Ethnische Ungleichheiten am Übergang in die |
| 40040004 | weiterführenden Schulen |
| 16.01.2001 | Dieter Rucht, WZB Berlin; Zum Wandel von Umweltbewegung und |
| | Umweltprotest in Europa unter besonderer Berücksichtigung der |
| 22.01.2001 | Bundesrepublik Nedad Stefanov, Frankfurt; Zur politischen Kultur in Serbien |
| 23.01.2001 | Adriana Leal, Universität Frankfurt; Wahlprognosen und Wahlerwartungen: |
| 23.01.2001 | Eine vergleichende Analyse zwischen Deutschland und Brasilien |
| 23.01.2001 | Vladimir Kozlowsky, St. Petersburg; Russische Gesellschaft nach dem |
| 20.01.2001 | sozialen Wandel |
| 29.01.2001 | Airat Aklaev, at present HSFK Frankfurt; Democratic Consolidation and the |
| | Dynamics of Ethnic Peace in the Balkans: The Cases of Estnia and Lithuania |
| 05.02.2001 | Tadeusz A. Olszanski, Centre for Eastern Studies, Warsaw; Ukrainian Foreign |
| | Policy and the Energy Sector: The Polish Factor |
| 06.02.2001 | Prof. Helmut Anheier, London School of Economics; Thesen zur Zukunft |
| | des dritten Sektors |
| 07.02.2001 | Prof. Karl Gabriel, Universität Münster; Caritativer Katholizismus, |
| | Wohlfahrtsstaat und Wohlfahrtsverbände |
| 12.02.2001 | Dorothée de Neve, Universität Halle; Sozialdemokratische und |
| | sozialistische Parteien in Südosteuropa: Albanien, Bulgarien und Rumänien |
| | 1989-1997 |
| 13.02.2001 | Prof. Dr. Dorothea Jansen, Hochschule für Verwaltungswissenschaft, |
| | Speyer; Ego-Netzwerke von Gründern – erste Ergebnisse aus dem |
| | Forschungsprojekt |
| 13.02.2001 | Jan Beyers, Katholieke Universiteit Leuven; The Social Basis of European |
| | Policy: The Adaptation of Belgian Societal Interest Groups to the EU |
| 30.03.2001 | Sebastiaan Princen, University of Utrecht; Regulatory Issues in |
| 02.05.2004 | Transatlantic Trade Relations |
| 02.05.2001 | Prof. Dr. Jan W. van Deth, MZES; Politisches Interesse und Apathie in |
| | Europa |

| 15.05.2001 | Christian Toft, Ph.D., guest scholar at MZES; Politiken der Arbeitslosigkeit: |
|-------------|--|
| | Deutschland, Großbritannien und Dänemark im historischen Vergleich |
| 22.05.2001 | Dr. Henriette Engelhardt, MPI Rostock; Familienpolitik und die inter- |
| | generationale Vererbung des Scheidungsrisikos |
| 29.05.2001 | Josef Falke, Zentrum für Europäische Rechtspolitik, Universität Bremen; |
| | Rechtliche Vorkehrungen zur Schaffung von Öffentlichkeit(en) im |
| | Gemeinschaftsrecht |
| 12.06.2001 | Dr. Frank Kalter, Universität Mannheim; Die strukturelle Assimilation von |
| | Arbeitsmigranten im Ligensystem des deutschen Fußballs |
| 18.06.2001 | Elke Fein, Universität Freiburg; Verfassungsgerichtsbarkeit und nationale |
| 10.00.0001 | Identitätsfindung im postkommunistischen Russland |
| 19.06.2001 | Dr. Thomas Zittel, Universität Mannheim; Elektronische Demokratie |
| 19.06.2001 | Irena Kogan, MZES; Multilevel determinants of unemployment risk among |
| 26.06.2001 | non-nationals in the European Union in the mid-1990s Dr. Fabrizio Bernardi, Universität Bielefeld; The Consequences of Rising |
| 26.06.2001 | Employment Flexibility: Entry Into Marriage and First Parenthood in Italy |
| 27.06.2001 | Prof. Dr. Heidrun Abromeit, Technische Universität Darmstadt; Ein Maß für |
| 27.00.2001 | Demokratie. Europäische Demokratien im Vergleich |
| 02.07.2001 | Prof. Dr. Francis Snyder, EUI Florenz/ Wissenschaftskolleg zu Berlin; What |
| 02.07.1200. | is a "Market Economy"? The Power of Recieved Ideas in EC Anti-dumping |
| | Law Concerning China |
| 02.07.2001 | Sebastian Gerhardt, Universität Warschau; Polens Ostpolitik seit 1989 |
| 02.07.2001 | Dr. Olav Helge Angell, Universität Oslo; Church and Welfare State in |
| | Norway: The Role of Religious Organisations in the Provision of Health Care |
| | and Social Services |
| 04.07.2001 | Dr. Christian Lequesne, CERI Paris; The Governance of Fisheries in the EU |
| 05.07.2001 | Prof. Dr. Gerald Schneider, Universität Konstanz; Janusköpfige |
| | Verhandlungsmacht: Eine Evaluation der Literatur zu den Zweiebenen- |
| | Spielen |
| 09.07.2001 | Hannes Adomeit, SWP; Die aktuellen Probleme der russländischen Außen- |
| | und Sicherheitspolitik |
| 10.07.2001 | Marcus Butz, MZES; Bildungs- und Einkommensungleichheiten in |
| 10.07.0001 | Deutschland und Italien |
| 16.07.2001 | Dr. Margarita Balmaceda, Seton Hall University, NJ; Energiebeziehungen |
| 16.07.2001 | zwischen der Ukraine und dem Westen Elke Fein, Universität Freiburg; Verfassungsgerichtsbarkeit und nationale |
| 16.07.2001 | Identitätsfindung im postkommunistischen Russland |
| 17.07.2001 | Dr. Irene Dingeldey, Universität Bremen; Die beschäftigungsfähige Mutter |
| 17.07.2001 | - steuerungstheoretische Implikationen der aktivierenden Arbeitsmarkt- |
| | politik für die Familienpolitik |
| 23.07.2001 | Dr. Margarita Balmaceda, Seton Hall University, NJ; Between Integration |
| | with Brussels and Energy Dependence on Moscow: New Challenges Facing |
| | the East European Countries |
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| 30.07.2001 | Thomas Gschwend, Ph.D., MZES; Strategisches Wählen bei der Bundestagswahl 1998 |
|------------|---|
| 30.10.2001 | Prof. Dr. Hartmut Esser, Universität Mannheim; Ehekrisen und Untreue: Das (Re-) Framing der Ehe und der Anstieg der Scheidungsraten |
| 13.11.2001 | Dr. Simon Gächter , Universität St. Gallen; Kooperation, Konkurrenz und soziale Normen aus verhaltensökonomischer Perspektive |
| 26.11.2001 | Dr. Barbara Koremenos, University of California; Renegotiation Design in International Treaties |
| 27.11.2001 | Stefani Scherer, MZES; Erwerbseintrittsprozesse und berufliche Frühkarrieren in der Bundesrepublik Deutschland, Großbritannien und Italien |
| 28.11.2001 | Prof. Dr. Elmar Rieger , Universität Bremen; Welche Grundlagen für die Globalisierung? |
| 03.12.2001 | Dr. Elkhan Nuriyev , Arbeitsstelle Friedensforschung Bonn; The Post-Soviet Caucasus: Ethnic Conflicts and Questions of Outside Intervention |
| 04.12.2001 | Prof.Dr. Walter Müller and Reinhard Pollak, Universität Mannheim; Die langfristige Entwicklung sozialer Mobilität |
| 11.12.2001 | Mette Sicard Filtenborg, University of Southern Denmark, at present MZES; Strategic Coalitionbuilding in the European Politiy: A Study of Subnational Authorities, the European Commission and Policy Networks in Baltic Sea Region Affairs |
| 17.12.2001 | Sabine Fischer, HSFK Frankfurt; Zur Wirkung von Ideen in der russischen Westpolitik: Diskurs und außenpolitischer Entscheidungsprozeß während des Kosovo-Krieges |
| 17.12.2001 | Dr. Anna Kim and Dr. Karin Kurz , MZES and Universität Bielefeld; Prekäre Beschäftigung – eine vergleichende Analyse für die Bundesrepublik Deutschland und Großbritannien |
| 18.12.2001 | Dr. Paul Reuber and Dr. Günter Wokersdorfer, Universität Münster; Geopolitische Leitbilder über Europa als "strategische Regionalisierungen" |
| 15.01.2002 | Dr. Heike Solga , Max-Planck-Institut für Bildungsforschung Berlin; Wider die Ökonomisierung der Arbeitsmarktprobleme von Ungelernten. Der Versuch einer Re-Soziologisierung |
| 16.01.2002 | Prof. Dr. Bruno Jobert , CERAT Grenoble; Europe and the reshaping of national forums. The French Case |
| 21.01.2002 | Prof. Han Entzinger , Erasmus-Universität Rotterdam; From multiculturalism to mandatory integration: shifting views on immigration in the Netherlands |
| 22.01.2002 | Dr. Sabine Saurugger, Institut d'Etudes Politiques Lille; Die Entstehung einer Form spezifisch europäischer Interessensvertretung? Französische und deutsche Interessengruppen im Rahmen der EU Ostpolitik |
| 29.01.2002 | Stephan Ganter, Universität Mannheim; Ethnische Grenzziehung und soziale Kontexte |
| 04.02.2002 | Umar Alisultanov, Universität Straßburg; Conflict Structures in the Caucasus |

| 05.02.2002 | Dr. Elisabeth Fix and Dr. Birgit Fix, MZES; Kirche und Sozialstaat – ein Werkstattbericht |
|------------|--|
| 05.02.2002 | Martin Hering, Johns Hopkins University Baltimore ,at present MZES; Institutionelle Weichenstellungen in einem erstarrten Wohlfahrtsstaat: Erklärungen und offene Fragen am Beispiel des deutschen Rentensystems |
| 16.04.2002 | Dr. Ulrich Kohler, Universität Mannheim; Soziostrukturelle Ereignisse und Parteipräferenz |
| 07.05.2002 | Prof. Dr. Walter Müller and Reinhard Pollak, Universität Mannheim; Die langfristige Entwicklung sozialer Mobilität |
| 13.05.2002 | Prof. Dr. Jan van Deth and Sonja Zmerli, Universität Mannheim / MZES; Sozialkapital und politisches Engagement in Europa |
| 04.06.2002 | Prof. Claudio Radaelli, European University Institute / Bradford University; The Europeanisation of Public Policy |
| 11.06.2002 | Rolf van der Velden, Universität Maastricht; Educational systems and the school-to-work transition. A conceptual framework |
| 17.06.2002 | Prof. Dr. Egbert Jahn, Universität Mannheim; Die 'zweite nationale Wiedergeburt'. Nationalismus, nationale Bewegungen und Nationalstaatsbildung in der spät- und postkommunistischen Gesellschaft |
| 25.06.2002 | Prof. Dr. Michael Bommes, Pädagogische Hochschule Freiburg; Is there no alternative to assimilation? On the debate between assimilationists and aransationalists |
| 02.07.2002 | Dr. Peter van Ham , Netherlands Institute of International Relations; The Rise of the Brand State: The Postmodern Politics of Image and Reputation |
| 09.07.2002 | Ursula Henz, London School of Economics; Der Einfluss von informeller Pflege für ältere Menschen auf den Erwerbsverlauf |
| 15.07.2002 | Dr. Paul Thurner , Universität Bielefeld; Mehrebenenverhandlungen bei einer Regierungskonferenz: Theoretische Konzeptualisierung und empirische Ergebnisse |
| 16.07.2002 | Dr. Johannes Huinink, Universität Rostock; Lebensform und Elternschaft: Bestimmungsfaktoren nichtehelicher Geburten in Deutschland und Europa |
| 19.07.2002 | Prof. David Grusky, Cornell University; Are there Big Social Classes? |
| 21.10.2002 | Prof. Norman Schofield , Humboldt Universität Berlin; Electoral Rules and Endogenous Parties |
| 29.10.2002 | Dr. Krystyna Iglicka , Universität Warschau; Recent trends in migration from Poland. Migration into Germany in the light of forthcoming EU enlargement |
| 05.11.2002 | Prof. Dr. Josef Brüderl , Universität Mannheim; Pluralität partnerschaftlicher Lebensformen im Kohortenvergleich |
| 18.11.2002 | Prof. Gary Marks, University of Chapel Hill, North Carolina, at present WZB Berlin; Contrasting Visions of Multi-level Governance |
| 18.11.2002 | Prof. Liesbet Hooghe , University of Chapel Hill, North Carolina, at present WZB Berlin; Commission, National Elite and Public Conceptions of European Governance |

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| 26.11.2002 | Dr. Steffen Hillmert, Max-Planck-Institut für Bildungsforschung, Berlin; |
|------------|--|
| 20.11.2002 | Social inequality in higher education: is vocational training a pathway |
| | leading to or away from university? |
| 06.12.2002 | Prof. Gérard Grunberg , CEVIPOF / Institut d'Etudes Politiques de Paris; The Elections of 2002 in France |
| 16.12.2002 | PD Dr. Frank Schimmelfennig, MZES; Rätsel der Konstitutionalisierung: Parlamentarisierung und Menschenrechtskodifizierung in der EU |
| 17.12.2002 | Dr. Daniele Caramani, MZES; Die Herausbildung von nationalen |
| | Wählerschaften und Parteisystemen im europäischen Vergleich |
| 13.01.2003 | Prof. Stefano Bartolini, Europäisches Hochschulinstitut Florenz; The |
| | Political Consequences of the Italian Mixed Electoral System (1994-2001) |
| 14.01.2003 | Dr. Frank Kalter and Irena Kogan , MZES; Ethnic inequalities at labour market entry in Belgium and Spain |
| 21.01.2003 | Prof. Dr. Wilhelm Heitmeyer , Universität Bielefeld; Gibt es Parallel- gesellschaften? |
| 27.01.2003 | Berthold Rittberger, MZES; The Creation, Interpretation, and Contestation of Institutions. Revisiting Historical Institutionalism |
| 28.01.2003 | Gunnar Otte, Universität Mannheim; Sozialstrukturanalysen mit |
| | Lebensstilen – Bilanz eines Forschungsprojektes |
| 03.02.2003 | Prof. David Farrell, University of Manchester; From Electioneering to |
| | Political Marketing? Political Campaigning in the 21. Century |
| 04.02.2003 | Dr. Betina Hollstein and Dr. Carsten Ullrich, Universität Mannheim; |
| | Informelle Netzwerke im Alter / Erklärungsfaktoren der Akzeptanz |
| 11 02 2002 | wohlfahrtsstaatlicher Institutionen |
| 11.02.2003 | Prof. Dr. Ernst Fehr , Universität Zürich; Human nature and social interaction |
| 29.04.2003 | Charlotte Lauer, ZEW; Education and inequality: a French-German |
| 20.01.2000 | comparison |
| 06.05.2003 | Dr. Karen Phalet, Universität Utrecht; Ethnic educational investment and |
| | occupational inequality: the Belgian case |
| 12.05.2003 | Kerstin Zimmer, Universität Frankfurt; Machtkonstellationen auf der |
| | regionalen Ebene in der Ukraine: Das Beispiel Donetsk |
| 13.05.2003 | Ben Jann, Universität Bern; Lohngerechtigkeit und Geschlechter- |
| | diskriminierung: experimentelle Evidenz |
| 19.05.2003 | Dr. Sabine Fischer, MZES; Identität, Transformation und Außenpolitik: |
| 22.05.2002 | Überlegungen zur russischen Westpolitik in den 1990er Jahren |
| 23.05.2003 | Josh Busby, Georgetown University, Washington; Listen! Pay Attention! The Jubilee 2000 Campaign for Developing Country Debt Relief. |
| 26.05.2003 | Prof. Dr. Simon Hug, Universität St. Gallen; Models of Multilateral |
| _0.00,2000 | Negotiations and Ratification |
| 27.05.2003 | Jason Beckfield, Indiana University, Bloomington; World polity and liberal |
| | economy: the network of intergovernmental organizations and how it |
| | structures trade policy |

| 02.06.2003 | Prof. Dr. Otto Keck , Universität Potsdam; Rationalität und Kommunikation: Auf dem Weg zu einem rationalistischen Konstruktivismus |
|------------|--|
| 16.06.2003 | Prof. Jean Marc Ferry , Freie Universität Brüssel; Die EU-Verfassung zwischen Bundesstaat und Staatenverbund |
| 17.06.2003 | Dr. Birgit Fix, MZES; Kirche und Wohlfahrtsstaat - Forschungsperspektiven |
| 23.06.2003 | Dirk Leuffen, MZES; Cohabitation und Europapolitik: Politische Entscheidungsprozesse im Spannungsfeld zwischen Kooperation und Konfrontation |
| 24.06.2003 | Suzanna Crage, Indiana University, Bloomington; The modern refugee: gender, place, and the identity work of emembering |
| 01.07.2003 | Prof. Dr. Götz Rohwer und Dr. Ulrich Pötter , Universität Bochum; Zum Begriff gesellschaftlicher Verhältnisse |
| 07.07.2003 | Jörn Grävingholt, Deutsches Institut für Entwicklungshilfe (DIE), Bonn; Krisenprävention in Zentralasien: Ansätze der Entwicklungszusammenarbeit |
| 08.07.2003 | Reinhard Pollak, Universität Mannheim; Mechanismen sozialer (Im-) Mobilität. Ergebnisse einer westdeutschlandweiten, retrospektiven Bevölkerungsumfrage |
| 14.07.2003 | Stefan Seidendorf, MZES; 'Europäisierung' deutscher und französischer Identitätsdiskurse: Befunde zur Kommunikations-, Erfahrungs- und Erinnerungsgemeinschaft |
| 15.07.2003 | Irena Kogan, MZES; Employment careers and unemployment dynamics of immigrants in Germany and Great Britain |
| 22.07.2003 | Prof. Tom DiPrete and Prof. Claudia Buchmann, Duke Univesity, USA; What is causing the emerging female advantage in American higher education? |
| 27.10.2003 | Prof. Dr. Ulrich Sedelmeier, Central European University, Budapest, z. Zt. Gast am MZES; Die Europäisierung Mittel- und Osteuropas. Der Einfluss der Europäischen Union auf die Beitrittsländer |
| 28.10.2003 | Dr. Jürgen Schupp , DIW Berlin; Zur Messung von Vertrauen und Fairness – ein Beispiel der Integration von empirischer Sozialforschung und experimenteller Ökonomie |
| 10.11.2003 | Dr. Thomas Bräuninger , Universität Konstanz; Politischer Wandel und die Veränderung von Haushaltsausgaben |
| 11.11.2003 | Dr. Edwald R. Engelen , Universität Amsterdam; Migration, welfare regimes and demographics. Assessing the different solutions to the problems of greying in West-European welfare regimes |
| 17.11.2003 | Dr. Ulf Sverdrup , Universität Oslo, Arena; Implementation of EU-Norms – Nordic Exceptionalism? |
| 24.11.2003 | Rafael Vázquez García, Universität Granada, z. Zt. Gast am MZES; The Decline of Political Trust in Democratic Spain |
| 24.11.2003 | Arndt Wonka, MZES; Internal and External Interactions of the European Commission in the Legislative Decision-Making Process of the EU |

| 25.11.2003 | Martin Schommer, MZES; Mixing Great Britain and Germany. Eine Makrosimulation über die Wirkung sozialer Sicherungssysteme auf die Lebenschancen verschiedener Bevölkerungsgruppen in Großbritannien und der Bundesrepublik |
|------------|---|
| 08.12.2003 | Susan Stewart, MZES; Die Rolle der UNO und internationaler sowie lokaler |
| | NGOs in der Bearbeitung des georgisch-abchasischen Konflikts |
| 09.12.2003 | Prof. Patricia McManus, Indiana University, USA; Movin' on up? |
| | Residential mobility, social mobility and coupled work careers |
| 15.12.2003 | Prof. Dr. Wolfgang C. Müller, Universität Mannheim, Lehrstuhl für |
| | Politische Wissenschaft III; Zum Stand der Koalitionsforschung für die |
| | europäischen Länder |
| 16.12.2003 | Thorsten Kneip, MZES; Soziale Einbettung und Paarbeziehungen |

Professional Services

1) Reviews of Research Proposals and for Other Evaluation Procedures*

| Name | Period | Name of Institution |
|---------------------|--------------|--|
| Brüderl, Josef | occasionally | Fritz-Thyssen-Stiftung, Volkswagen-Stiftung |
| Deth, Jan W. van | occasionally | DFG / Fritz-Thyssen-Stiftung / Economic and Social Research Council (London) / The National Science Foundation (Washington) / Belgian National Fund for Scientific Research / European Science Foundation / Austrian National Science Foundation / Anglo-German Foundation for the Study of Industrial Society / Swiss National Science Foundation / Israel Science Foundation/ University Grants Committee (Hong Kong) / German-Israeli Foundation for Scientific Research and Development / Swedish Research Council |
| Eccar Hartmut | 1999-2002 | Austrian Science Fund (FWF), Vienna |
| Esser, Hartmut | 1999-2002 | Volkswagen Foundation |
| | 1999–2003 | Fritz Thyssen Foundation |
| | 1999-2003 | Friedrich Ebert Foundation |
| | 2000-2003 | DFG |
| | 2001 | DFG Evaluation of the Collaborative Research Centre 580 |
| | 2001 | "Gesellschaftliche Entwicklungen nach dem Systemumbruch. Diskontinuität, Tradition und Strukturbildung", Jena/Halle |
| | 2002-2003 | German-Israeli Foundation, Israel |
| | 2003 | Evaluation of the Research Programme "Modernization, Life course and Aging" at the Department of Sociology & Social Gerontology, Faculty of Social Sciences, University of Amsterdam |
| Fischer, Sabine | 2002 | Zeitschrift für Internationale Beziehungen |
| Fix, Elisabeth | 1999/2000 | Schweizerischer Nationalfonds |
| Jahn, Egbert | 1999-2003 | Volkswagen Foundation |
| | 1999-2003 | Hans Böckler Foundation |
| | occasionally | DFG, Fritz Thyssen Foundation, Alexander von Humboldt Foundation |
| Kalter, Frank | 2003 | European Science Foundation |
| Kohler-Koch, | 1999-2003 | DFG |
| Beate | 2002 | Max-Planck-Projektgruppe, "Recht der Gemeinschaftsgüter" |
| | 2002 | Evaluation of Otto-Suhr-Institut at Freie Universität Berlin |
| | 2002/2003 | Fritz Thyssen Foundation |

^{*} Does not include peer reviews for scientific journals or for academic recruitment procedures.

| Name | Period | Name of Institution |
|--------------------------|--------------|---|
| König, Thomas | 1999 | European Commission |
| Müller, Walter | occasionally | DFG, Schweizerischer Nationalfonds, Volkswagen- Foundation, Fritz Thyssen Foundation, German-Israeli Foundation |
| | 1999–2000 | Member of working groups of the German Science Council (Wissenschaftsrat) for the evaluation of institutes of the "blue list" |
| Pappi, Franz U. | occasionally | DFG, Volkswagen-Stiftung, Fritz Thyssen Foundation, DAAD (German Academic Exchange Service), Alexander-von-Humboldt-Stiftung |
| Poguntke, Thomas | occasionally | Economic and Social Science Research Council (UK), Fund for Scientific Research Flanders (Belgium), European Science Foundation |
| Rothenbacher, Franz | 2000-2003 | Austrian Science Fund (FWF), Vienna |
| Schimmelfennig, Frank | 2000-2002 | Volkswagen Foundation |
| Tseng, Su-Ling | 1996-2002 | The University of Birmingham, UK |

2) Professional Services for Public Institutions and in Scientific Advisory Boards

| Name | Period | Name of Institution |
|----------------------|-----------|--|
| Brauns, Hildegard | 1999–2000 | Eurostat, International expert group for the development of an international socio-economic classification |
| Deth, Jan W. van | 2002- | Chair of the holding association of the Centre for Survey Methodology and Analyses (ZUMA e.V.) |
| | 2002- | Member of the board of the German Social Science Infrastructure Services (GESIS) |
| | 1997– | Speaker of the working group "Wahlen und politische Einstellungen" (elections and political attitudes) of the German Political Science Association (DVPW) |
| Diehl, Claudia | 2000 | Stadtplanungsamt Mannheim, Arbeitsgruppe "Stadtteilentwicklungskonzept Neckarstadt" (Mannheim Office for City Planning, working group for the development of the city district Neckarstadt) |
| Esser, Hartmut | 2001 | German Federal Ministry of the Interior, Independent Commission on "Immigration" |
| | 2002–2003 | Social Science Research Centre Berlin (WZB), Koordinationsstelle "Gesellschaftliche Integration und kulturelle Konflikte" (Coordination Office "Societal Integration and ethnic conflicts") |

| Name | Period | Name of Institution |
|-----------------------|---------------|---|
| Knodt, Michèle | 2000- 2003 | Deutsche Vereinigung für Politiche Wissenschaften (DVPW), member of Advisory Board |
| | 2003- | Deutsche Vereinigung für Politische Wissenschaften (DVPW), member of Executive Board |
| | 1999– | Working Group "Europäische Integration" (AEI), member of Executive Board |
| Kohler-Koch, Beate | 1973- | Institut für Europäische Politik (IEP), member of Direktorium |
| | 1998– | Centre of European Law and Politics at the University of Bremen (ZERP), Chair of Scientific Advisory Board |
| | 1998– | Peace Research Institute Frankfurt (HSFK), member of Scientific Advisory Board |
| | 2003- | International Political Science Association (IPSA), Research Committee on Globalization and Governance, member of Executive Board |
| Larat, Fabrice | 2002- 2005 | Curriculum Development Programme on EU studies with the Chinese Academy of Sciences, Monitoring group, China |
| Müller, Walter | 1990– | holding association of the Centre for Survey Methodology and Analyses (ZUMA e.V.), 1990–2001 vice Chair |
| | 1994– | Max Planck Institute for Human Development Berlin, member of Scientific Advisory Board |
| | 1997- 2003 | European Consortium for Sociological Research (ECSR), Chair |
| | 1998– | Suisse Household Panel Study, Switzerland, member of Steering Board |
| | 1999– | German Federal Statistical Office, member of Jury for the Gerhard Fürst Award |
| | 1999– 2001 | German Federal Ministry for Education and Research, member of the Commission for the Improvement of the Informational Infrastructure for Science and Statistics |
| | 2001– | German Federal Ministry for Education and Research, member of the Founding Committee of the Council for Social and Economic Data |
| | 2002- | German Federal Statistical Office, member of Advisory Board for Statistics |
| | 2002- | DFG, member of Scientific Advisory Board for the special programme "Research Groups for Empirical Education Research" |
| Pappi, Franz Urban | 2000- 2005 | German Social Science Infrastructure Services (GESIS), curatorship |
| Römmele, Andrea | 2003- | Deutsche Vereinigung für Politische Wissenschaften (DVPW), member of Advisory Board |
| Schmitt, Hermann | 2003- | Australian National University, member of Advisory Board of the Australian Social Survey |

3) Membership in Editorial or Advisory Boards of Scientific Journals

| Name | Period | Name of Institution |
|-----------------------|---------------|--|
| Berger, Johannes | 1999- 2003 | Zeitschrift für Soziologie (co-editor, member of Advisory Board) |
| Brüderl, Josef | 2000- | European Sociological Review (consulting editor) |
| | 1999– 2003 | Industrial and Corporate Change, USA (associated editor) |
| | 1992- | Organization Science, USA (Editorial Board) |
| | 2001– | Zeitschrift für Soziologie (member of Advisory Board) |
| Deth, Jan W. van | 1997– | Routledge/ECPR Studies in European Political Science, UK (series editor) |
| | 1996- | Acta Politica, the Netherlands (Editorial Board) |
| Esser, Hartmut | 1999– | Kölner Zeitschrift für Soziologie und Sozialpsychologie (member of Advisory Board) |
| | 1999- | Sociological Forum, USA (Editorial Board) |
| Kohler-Koch, Beate | 1994– | Zeitschrift für Internationale Beziehungen (ZIP) (Editorial Board) |
| | 1995- | Sciences de la Societé, France (Editorial Board) |
| | 1995– | European Law Journal, Italy (Editorial Board) |
| | 1999 - | European Journal of Political Research, the Nethelands (Editorial Board) |
| | 1996 | Zeitschrift für Politikwissenschaft (Editorial Board) |
| Müller, Walter | 1987- | Zeitschrift für Soziologie, Advisory Board |
| | 1999 | European Sociological Review (consulting editor) |
| | 2000- 2001 | International Journal of Sociology (guest editor) |
| Pappi, Franz Urban | 1981– 2005 | Zeitschrift für Soziologie (Advisory Board) |
| | 1996- | Journal of Theoretical Politics (Editorial Board) |
| Poguntke, Thomas | 1992- 2000 | Environmental Politics, UK (Advisory Board) |
| | 2000- | Party Politics, UK (Advisory Board) |
| | 2003 | Routledge/ECPR Studies in European Political Science, UK (series editor) |
| Sahm, Astrid | 1998- | Belarus-News |
| | 2000- | Belaruski Histarycny ahljad, BY |
| | 2002- | German-Belarusian Society (member of the Board) |
| Schimmelfennig, | 2002- | Cooperation and Conflict (Advisory Board) |
| Frank | 2004– | Journal of International Relations and Development (Advisory Board) |

MZES in the Public

| Name | Year | Topic, Event, Location or Institution |
|-------------------|------|---|
| Brüderl, Josef | 2003 | Pluralisation of Family Forms and Living Arrangements; Broad newspaper coverage; Press release |
| Caramani, Daniele | 2003 | EU Eastern Enlargement; RAI3 (Italian Public Television Channel); Interview |
| Esser, Hartmut | 2003 | Marital crisis, infidelity and the increase in divorce rates; "27 th Duisburger Akzente", University of Duisburg; Lecture |
| | 2003 | Intercultural Social Life; Academy Forum Masonicum, Dortmund; Lecture |
| | 2003 | Integration Pilots or Identity Guards? Migrant Organisations in the Integration Process; Friedrich-Ebert-Stiftung, Berlin; Lecture |
| | 2003 | Marital crises, infidelity and the increase of divorce rates; Weisses Kreuz – Zeitschrift für Lebensfragen; Article |
| | 2003 | Divorce; FOCUS magazine No. 10; Interview |
| | 2003 | Trans-national and Interregional Migration Processes; German Society for Demography and Federal Institute for Population Research at the Federal Statistical Office, Wiesbaden; Panel discussion |
| Fix, Birgit | 2002 | Third Sector, Christian charitable welfare associations and the provision of social services in Western Europe; Expert meeting of Caritas Europe; Presentation |
| | 2002 | Europe ante portas – chances and risks for religious welfare associations; Meeting of the Catholic Work Ass. for Ethical Issues of the German Bishops Conference, Presentation |
| | 2003 | Challenges for Caritas in changing market conditions; Future Commission of the Caritas Association of the Diocese, Trier; Presentation |
| | 2003 | The Child Care Policies of Germany and France in Comparison; TV News by the MDR (Regional Section of the First German)TV Channel in Leipzig), Leipzig; Expert interview |
| Gschwend, Thomas | 2002 | Difficult Times for Political Elites in France; University of Mannheim; Invited colloquia |
| | 2002 | Forecasting the 2002 Election; Broad Newspaper Coverage, several TV- and Radio features; Press release |
| | 2002 | Reliability of Online vs. Traditional Polling Results; Freenet.de; Interview |
| | 2002 | Polling and Election Campaigns; Deutschlandfunk – Zur Diskussion; Radio panel discussion |

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|-------------------------------------|------------|---|
| Name | Year | Topic, Event, Location or Institution |
| Gschwend, Thomas | 2002 | The State of Electoral Behaviour Research; Deutschlandfunk – Hintergrund Politik; Interview |
| | 2002 | Impact of Polling Results on Electoral Behaviour; Badische Zeitung; Interview |
| | 2002 | "Wahl-O-Mat" and Electoral Choice; Mannheimer Morgen; Interview |
| | 2002 | Germany after the Election; Konrad Adenauer Foundation; Invited colloquia |
| | 2002 | Campaigns and Elections; Chancellor Schröder; Briefing |
| | 2003 | Schröder's Victory: Against All Odds; Junge Union Nordbaden; Invited colloquia |
| | 2003 | State Election in Bavaria; Süddeutsche Zeitung; Interview |
| Gschwend, Thomas Norpoth, Helmut | 2002 | Methods of Forecasting Elections; Financial Times Deutschland; Article |
| Kohler-Koch, Beate | 2003 | Multilevel Governance and Democracy: a Pressing Research Agenda; Representation of the Land Baden-Württemberg to the European Union, Brussels; Representation |
| Larat, Fabrice | 2002 | France after the Presidential Election and the Election of Parliament; Castle of Mannheim; Panel discussion |
| | 2003 | The Role of the Regions in the New European Governance; Generalidat de Catalunia, Barcelona, Conference |
| Müller, Walter | 2003 | Educational Inequality; Stern; Interview |
| Pollak, Reinhard Müller, Walter | 2003 | Educational Inequality; Frankfurter Rundschau; Article |
| Pollak, Reinhard | 2003 | Field of study (for students) and social background; Rheinische Post; Interview |
| Römmele, Andrea | 2002 | Election campaigns; StRaphael-Gymnasium, Heidelberg; Lecture |
| | 2002 | Bill of the Bundestagsfraktion to the new party finance law; Innenausschuss of the German Bundestag; Expert lecture |
| | 2002 | State election in Hessen; Radio channel HR1; Interview |
| | 2002 | Election campaign 2002; Radio channels SWR, HR, NDR, WDR; Interviews |
| | 2003 | New trends in Political Communication; Bertelsmann Foundation; Lecture |
| Schimmelfennig, Frank | 2003 | Consequences of EU Eastern Enlargement; European Working Group of the Social Democratic Party, Western Palatinate; Presentation |
| | 2003 | U.S. National Security Strategy; National Executive Meeting of the Young Christian Democrats; Presentation / panel discussion |

| Name | Year | Topic, Event, Location or Institution |
|------------------|------|---|
| Schmitt, Hermann | 2002 | German Federal Election; Rhein-Main-Runde, Frankfurt; Public talks |
| | 2002 | Hungary and the European Union; German-Hungarian Forum, Berlin; Public talks |
| | 2004 | European Parliament elections; Deutsche Welle (TV station); TV appearance |
| Thurner, Paul | 2002 | Empirical Negotiation Analysis; Centre for Interdisciplinary Research (ZIF), Bielefeld; Workshop |
| | 2002 | Empirical Negotiation Analysis; Max Planck Institute for Social Sciences, Cologne; Workshop |
| | 2002 | Applied Discrete Choice-Analysis for the Marketing and Public Opinion Research; Association of German Market and Social Researchers (BVM); Workshop |
| | 2003 | Applied Discrete Choice-Analysis for the Marketing and Public Opinion Research; Centre for Survey Research and Methodology (ZUMA); Workshop |
| | 2003 | Applied Discrete Choice-Analysis for the Marketing and Public Opinion Research; Association of German Market and Social Researchers (BVM); Workshop |
| Ullrich, Carsten | 2002 | Health reform; Gesundheit und Gesellschaft (Journal); Article |
| Wüst, Andreas | 2002 | Electoral structure and electoral profiles; Friedrich Ebert Foundation, Berlin; Presentation |
| | 2002 | Electoral profiles and electoral behaviour of naturalized citizens; DPA, "Panorama", ARD (TV station); Interview |
| | 2002 | Electoral behaviour of naturalized citizens; Broad Newspaper Coverage; Press release |
| | 2002 | Election to the Bundestag 2002; Radio Regenbogen (radio station); Election expert |
| | 2002 | Electoral behaviour of naturalized citizens; SWR / Radio Regenbogen (radio station); Interview |
| | 2002 | Election to the Bundestag 2002; Turm 33 Cafédrale, Ludwigshafen; Discussion |
| | 2002 | Marketing and advertising of the parties in the election campaign; Marketing Club Stuttgart; Discussion |
| | 2002 | Non-voters; TV show "Hallo Ü-Wagen", WDR; Interview |
| | 2002 | Elections to the Bundestag 1998 and 2002; Political working group of the Society of Catholic Men and Women, Maria-Laach; Presentation |

Awards and Honours

| Name | Date | Award |
|-----------------------|-------|--|
| De Bièvre, Dirk | 2001 | BP/EUI Transatlantic Essay Competition Award (first place), BP/EUI Chair in Transatlantic Relations, Robert Schuman Centre for Advanced Studies, European University Institute, Italy |
| Deth, Jan W. van | 1996- | Corresponding Member of the Royal Dutch Academy of Sciences and Arts, the Netherlands |
| Diehl, Claudia | 2001 | Research Award, Europäisches Forum für Migrationsstudien (European Forum for Migration Studies) |
| Esser, Hartmut | 2000 | René König Textbook Award of the German Sociological Association |
| | 2001- | Member of Deutsche Akademie der Naturforscher Leopoldina |
| | 2001- | Member of European Academy of Sociology |
| | 2003- | Member of Heidelberger Akademie der Wissenschaften |
| Finke, Barbara | 1999 | Elisabeth Altmann Gottheiner Award for outstanding scientific work in the field of gender studies, University of Mannheim |
| Flora, Peter | 1999- | Member of Academia Europea |
| Gangl, Markus | 2002 | Gerhard Fürst Award, German Federal Statistical Office |
| Gschwend, Thomas | 1999 | Quadrille Ball Scholarship (1999–2000), Germanistic Society of America und Institute of International Education, USA |
| | 2000 | Nomination for Malcolm Jewell Award, Southern Political Science Association, USA |
| | 2002 | Graduate Student Teaching Award, Department of Political Science, State University of New York at Stony Brook, USA |
| | 2002 | Gerhard Fürst Award, German Federal Statistical Office |
| Haug, Sonja | 2000 | Award (first place) for Young Scholars of the German World Population Foundation (DSW) in cooperation with the German Society of Population Studies |
| Kalter, Frank | 2002 | Fritz Thyssen Award (award for the best German language article in the social sciences, third place), Fritz-Thyssen-Stiftung |
| Kielmansegg, | 2001 | Schader Award, Schader-Stiftung, Darmstadt |
| Peter Graf | 2002 | Verdienstmedaille of Baden-Württemberg, Federal State of Baden-Württemberg |
| Kogan, Irena | 2002 | Award for the best young scholar conference presentation, annual conference "Transition in Youth" at the European University Institute, Italy |
| Kohler-Koch, Beate | 1998- | Member of Berlin-Brandenburgische Akademie der Wissenschaften |
| | 2001 | Honorary Professor, Nankai University, Tianjin, China |

| Name | Date | Award |
|-----------------------|-------|--|
| Kotzian, Peter | 2000 | Lorenz von Stein Award, Lorenz-von-Stein-Gesellschaft, University of Mannheim |
| Kristen, Cornelia | 2002 | Marie Curie Fellowship (used for an eight-month research stay at Groningen University) |
| Lohmann, Henning | 2000 | Gerhard Fürst Award, German Federal Statistical Office |
| Müller, Walter | 1999- | Member of Deutsche Akademie der Naturforscher Leopoldina |
| | 2000 | Fritz Thyssen Award (award for the best German language article in the social sciences, first place), Fritz-Thyssen-Stiftung |
| Pappi, Franz U. | 2002- | Member of Deutsche Akademie der Naturforscher Leopoldina |
| Pollak, Reinhard | 2001 | Südwestmetallpreis, Verband der Metall- und Elektroindustrie Baden Württemberg e. V., for his MA- Dissertation |
| Sahm, Astrid | 2000 | Young Scholar Award 1999, German Society for East European Studies (DGO) |
| Steinmann, Susanne | 2000 | Lorenz von Stein Award, Lorenz-von-Stein-Gesellschaft, University of Mannheim |
| Weber, Hermann | 2002 | Title of Honorary Doctor, University of Rostock |
| Zittel, Thomas | 2002 | Kennedy Memorial Fellowship (used for a nine-month research stay at Harvard University) |